

#### SCHOOL OF HISTORY, PHILOSOPHY, POLITICAL SCIENCE AND INTERNATIONAL RELATIONS

# POLITICAL SCIENCE AND INTERNATIONAL RELATIONS STRA531 STRATEGIC STUDIES

# TRIMESTER 1 2012 5 March to 4 July 2012

#### **Trimester dates**

Teaching dates: First lecture/seminar 5 March; final lecture/seminar 28 May 2012

Last assignment (essay) due: 18 May 2012

Final test to take place on: 8 June 2012 from 5:30pm

#### Withdrawal dates

Information on withdrawals and refunds may be found at <a href="http://www.victoria.ac.nz/home/admisenrol/payments/withdrawlsrefunds.aspx">http://www.victoria.ac.nz/home/admisenrol/payments/withdrawlsrefunds.aspx</a>

#### Names and contact details

Course coordinator:
Professor Robert Ayson
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#### **Class times and locations**

Lecture and seminar times: 5:40pm Mondays

Lecture and Seminar venues: Lecture Theatre 2, Rutherford House, Pipitea Campus

#### **Course Schedule**

The dates and topics for the 12 sessions are as follows:

Monday 5 March 2012: Session 1: Strategy, Violence and War

Monday 12 March 2012: Session 2: Power, Politics and Influence

Monday 19 March 2012: Session 3: Strategic Objectives: Security, Peace, Victory?

Monday 26 March 2012: Session 4: Justice, Law and Strategic Ethics

Monday 2 April 2012: Session 5: Technology: the RMA and the Informational Domain

### Monday 9 April 2012: Mid-Trimester Break: No class

Monday 16 April 2012: Session 6: Making Strategy: Culture, Policy-Making and Systems

Monday 23 April 2012: Session 7: The State System, State Collapse and Intervention

Monday 30 April 2012: Session 8: Counterinsurgency and Stabilisation Operations

Monday 7 May 2012: Session 9: Terrorism, Counter-terrorism and Asymmetry

Monday 14 May 2012: Session 10: Old Armageddon? Proliferation and the Nuclear Age

Monday 21 May 2012: Session 11: Globalisation or Geopolitics? The US and China

Monday 28 May 2012: Session 12: New Armageddon? Climate Change & Resource Security

### **Course delivery**

STRA 531 is a core 30 point course for students in the Strategic Studies programme and for students from related subject areas. It seeks to provide a comprehensive and systematic understanding of strategic studies in the context of leading problems in international security by first building an understanding of the conceptual apparatus of strategic studies before focusing on the application of strategic thinking to a number of these contemporary international issues. It is taught in 12 weekly teaching sessions to allow for step-by-step consideration of the curriculum. These weekly sessions are timed in the evening to allow for part-time students to attend. Most sessions will consist of one or more presentations by the lead teacher (or the guest lecturer where applicable). Time will also be devoted to interactive class discussion which allows students to work with the ideas and issues being raised and for which students need to prepare by keeping up with the readings for each session.

#### Communication of additional information

Additional information and changes will be conveyed to students via the Blackboard website for this course and also on occasions by email directly to students' accounts.

### **Course Prescription**

This course covers strategic thinking from Clausewitz to to the 21st century. Emphasis will be placed on the relationship between force and international politics including the role of strategy in limiting international competition and attempts to restrain the use of force.

#### **Course content**

This course covers strategic thinking from Clausewitz to the 21st century. Emphasis will be placed on the relationship between force and international politics including the role of strategy in limiting international competition and attempts to restrain the use of force. You will find that the course begins by introducing a number of key enduring themes, questions concepts and problems associated with strategic studies before it considers the relevance of these considerations to the contemporary international security environment.

# **Learning objectives**

Students passing this course should be able to:

- 1. Analyse the relationship between force and politics
- 2. Analyse the mix of competition and cooperation in strategic relationships
- 3. Evaluate major aspects of the evolution of strategic thought
- 4. Apply strategic thinking to a range of international security problems

# **Expected workload**

STRA 531 is a 30 point course delivered over one trimester, representing one sixth of a 180 point Masters degree. Students should allocate at least 300 hours of work to this course including (but certainly beyond) attendance at the twelve class sessions. This averages out at 20 hours per week over 15 weeks from the week of the first lecture to the study week after the last lecture. This workload will rise and fall depending on whether assignments are due but students should devote time to reading and writing each week

### Readings

(Note: A selection of the essential readings from each section will be made available on Blackboard to assist students in their preparation).

A series of required and further readings for each of the 12 teaching sessions has been selected for students to assist with their studies. Students are expected to read *all of the Required Readings* – please consult the Blackboard site for STRA 531. As time allows, they should also follow up on the Further Readings by using the resources of the Victoria University of Wellington library (including the valuable electronic journal holdings). Students working on a long assignment topic should read beyond the required and further readings, locating additional reading material which will deepen their research and the quality of their written work.

The required and further readings for each of the twelve sessions are as follows:

### Session 1: Strategy, Violence and War

### **Required Readings**

- Colin Gray, *Modern Strategy*, Oxford: Oxford University Press, 1999, pp. 16-47.
- Carl von Clausewitz, *On War*, Indexed edition, edited and translated by Michael Howard and Peter Paret, Princeton: Princeton University Press, 1976, Book 1, Ch 1 & Bk 8 Ch 6B; pp. 75-89, 605-610.
- Robert Ayson, 'Strategic Studies', in Chris Reus-Smit and Duncan Snidal (eds), *The Oxford Handbook of International Relations*, Oxford: Oxford University Press, 2008, pp. 558-575.

### **Further Readings**

- Thomas Schelling, *The Strategy of Conflict*, Cambridge MA: Harvard University Press, 1960.
- Sun Tzu, *The Art of War* (various editions/translations).
- Hew Strachan, 'The Lost Meaning of Strategy', Survival, 47:3, Autumn 2005, pp. 33-54.
- Alan Beyerchen, 'Clausewitz, Nonlinearity and the Unpredictability of War', *International Security*, 17:3, Winter 1992/93, pp. 59-90.

### Session 2: Power, Politics and Influence

#### Required Readings

- Bruce D. Porter, *War and the Rise of the State: The Military Foundations of Modern Politics*, New York: The Free Press, 1994, pp. 1-22, 306-11.
- Lawrence Freedman, 'Strategic studies and the problem of power', in Thomas G. Mahnken and Joseph A. Maiolo (eds), *Strategic Studies: A Reader, London*: Routledge, 2008, pp. 22-33.
- Thomas Schelling, *Arms and Influence*, New Haven CT: Yale University Press, 1966, pp. 1-34.

### **Further Readings**

- Samuel Huntington, *The Soldier and the State*, Cambridge: Belknap Press, 1964.
- Bernard Brodie, War and Politics, New York: Macmillan, 1973.
- Pierre Hassner, *Violence and Peace*, Budapest: Central European University Press, 1997.
- R. Harrison Wagner, *War and the State: The Theory of International Politics*, Ann Arbor: University of Michigan Press, 2009.

### Session 3: Strategic Objectives: Security, Peace, Victory?

### **Required Readings**

• Lawrence Freedman, *Deterrence*, Cambridge: Polity Press, 2005, pp. 26-42, 133.

- Terry L. Diebel, *Foreign Affairs Strategy: Logic for American Statecraft*, Cambridge: Cambridge University Press, 2007, pp. 281-321.
- Barry Buzan, Ole Waever and Jaap de Wilde, *Security: A New Framework for Analysis*, Boulder: Lynne Rienner, 1998, pp. 49-70.

#### **Further Readings**

- Colin Gray, Defining and Achieving Decisive Victory, Carlisle: Strategic Studies Institute, 2002, available at www.strategicstudiesinstitute.army.mil/pubs/display.cfm?pubID=272
- Michael Howard, *The Causes of War and Other Essays*, London: Temple Smith, 1983.
- Ken Booth, *Theory of World Security*, Cambridge: Cambridge University Press, 2008.

### Session 4: Justice, Law and Strategic Ethics

### Required Readings

- Justin Morris, 'Law, Politics and the Use of Force', in John Baylis et al (eds), *Strategy in the Contemporary World*, 2d ed., Oxford: Oxford University Press, 2007, pp. 101-121.
- P.W. Singer, 'The Ethics of Killer Applications: Why Is It So Hard To Talk About Morality When it Comes to New Technology', *Journal of Military Ethics*, 9:4, 2010, pp. 299-312.
- Hedley Bull, 'Strategic Studies and Its Critics', World Politics, 20:4, July 1968, pp. 593-605.

### **Further Readings**

- Martin Ceadal, *Thinking About Peace and War*, Oxford: Oxford University Press, 1989.
- Michael Walzer, Just and Unjust Wars, New York: Basic Books, 1977.
- Terry Nardin (ed), *The Ethics of War and Peace*, Princeton: Princeton University Press, 1988.
- Ilan Cooper and Eric Patterson, 'UN Authority and the Morality of Force, *Survival*, 53:6, December 2011-January 2012, pp. 141-158.

### <u>Session 5: Technology: the RMA and the Informational Domain</u>

### Required Readings

- Stephen J. Blank, 'Preparing for the Next War: Reflections on the Revolution in Military Affairs', in John Aquilla and David Ronfeldt, (eds), *In Athena's Camp: Preparing for Conflict in the Information Age*, Santa Monica: RAND, 1997, pp. 61-77.
- Stephen Biddle, *Military Power: Explaining Victory and Defeat in Modern Battle*, Princeton: Princeton University Press, 2004, pp. 52-77, 262-70.
- Jacqueline Newmyer, 'The Revolution in Military Affairs With Chinese Characteristics', *Journal of Strategic Studies*, 33:4, August 2010, pp. 483-504.

### **Further Readings**

- P.W. Singer, *Wired for War: The Robotics Revolution and 21<sup>st</sup> Century Conflict*, New York: Penguin, 2009.
- Lawrence Freedman, *The Revolution in Strategic Affairs*, London: IISS, 1998.
- Alvin and Heidi Toffler, War and Anti-War, Boston: Little Brown, 1993.

# Session 6: Making Strategy: Culture, Policy-Making and Systems

### Required Readings

- Williamson Murray and Mark Grimsley, 'Introduction: On strategy', in Williamson Murray et al, *The Making of Strategy: Rulers, States and War*, Cambridge: Cambridge University Press, 1994, pp. 1-23.
- P.H. Liotta and Richmond M. Lloyd, 'From Here to There: The Strategy and Force Planning Framework', *Naval War College Review*, 58:2, Spring 2005, pp. 121-137.
- Alistair Iain Johnston, 'Thinking About Strategic Culture', *International Security*, 19:4, Spring 1995, pp. 32-64.

### **Further Readings**

- Peter Paret (ed), *Makers of Modern Strategy from Machiavelli to the Nuclear Age*, Princeton: Princeton University Press, 1986.
- Colin Gray, 'Strategic culture as context: the first generation of theory strikes back', *Review of International Studies* 25:1, January 1999, pp. 49–69.
- C.F. Kurtz and D.J. Snowden, 'The new dynamics of strategy: Sense-making in a complex and complicated world', *IBM Systems Journal*, 42:3, 2003, pp. 462-483.

### Session 7: The End of State System, State Collapse and Intervention

### **Required Readings**

- Martin van Creveld, *On Future War*, London: Brassey's 1991, pp. 1-32.
- Mary Kaldor, *New & Old Wars: Organized Violence in a Global Era*, Cambridge: Polity, 1999, pp. 69-89, 162-4.
- International Commission on Intervention and State Sovereignty, *The Responsibility to Protect*, Ottawa: International Development Research Centre, 2001, pp. 1-18.

### **Further Readings**

- Robert I. Rotberg, 'The New Nature of Nation-State Failure, *Washington Quarterly*, 25:2, June 2002, pp. 83-96.
- Benjamin Reilly, 'The Africanisation of the South Pacific', *Australian Journal of International Affairs*, 54:3, 2000, pp. 261-8.
- S. Neil MacFarlane, *Intervention in Contemporary World Politics*, Adelphi Paper 350, London: IISS, 2002.
- Hedley Bull, (ed), Intervention in World Politics, Oxford: Clarendon Press, 1984.

### Session 8: Counterinsurgency, Peacekeeping and Stabilisation Operations

### **Required Readings**

- David Kilkullen, *Counterinsurgency*, Melbourne: Scribe, 2010, pp. 17-49, 230-1.
- Mats Berdal, *Building Peace After War*, Abingdon: Routledge for IISS, 2009, pp. 95-134, 199-207.
- Roland Paris, *At War's End: Building Peace After Civil Conflict*, Cambridge: Cambridge University Press, 2004, pp. 151-178.

# **Further Readings**

- John A. Nagl, Learning to eat soup with a knife: counterinsurgency lessons from Malaya and Vietnam, Chicago: University of Chicago Press, 2005.
- Michael W. Doyle and Nicholas Sambanis, *Making War and Building Peace: United Nations Peace Operations*, Princeton: Princeton University Press, 2006.
- James F. Dobbins, 'America's Role in Nation-Building: From Germany to Iraq', *Survival*, 45:4, Winter 2003-4, pp. 87-110.
- Jacob Bercovitch and Richard Jackson, *Conflict Resolution in the Twenty-First Century*, Ann Arbor: University of Michigan Press, 2009.

# Session 9: Terrorism, Counter-terrorism and Asymmetry

# **Required Readings**

- Ivan Arreguin-Toft, 'How the Weak Win Wars: A Theory of Asymmetric Conflict', *International Security*, 26:1, Summer 2001, pp. 93-128.
- Max Abrahms, 'What Terrorists Really Want: Terrorist Motives and Counterterrorism Strategy', *International Security*, 32:4, Spring 2008, pp. 78-105.
- Robert Ayson, 'After a Terrorist Nuclear Attack: Envisaging Catalytic Effects', *Studies in Conflict and Terrorism*, 33:7, July 2010, pp. 571-593.

### **Further Readings**

- Bruce Hoffman, *Inside Terrorism*, New York: Columbia University Press, 2006.
- Robert Pape, *Dying to Win: The Strategic Logic of Suicide Terrorism*, New York: Random House, 2005.
- Gil Merom, How democracies lose small wars: state, society, and the failures of France in Algeria, Israel in Lebanon, and the United States in Vietnam, Cambridge: Cambridge University Press, 2003.
- Simon Cottee and Keith Hayward, 'Terrorist (E)motives: The Existential Attractions of Terrorism, *Studies in Conflict and Terrorism*, 34:12, December 2011, pp. 963-986.

### Session 10: Old Armageddon? Proliferation and the Nuclear Age

### **Required Readings**

- Muthiah Alagappa, 'Exploring Roles, Strategies and Implications', in Muthiah Alagappa (ed.), *The Long Shadow: Nuclear Weapons and Security in 21<sup>st</sup> Century Asia*, Stanford: Stanford University Press, 2008, pp. 78-107.
- Thomas Schelling and Morton Halperin, *Strategy and Arms Control*, New York: Twentieth Century Fund, 1961, pp. 9-24.
- Tom Sauer, 'A Second Nuclear Revolution: From Nuclear Primacy to Post-Existential Deterrence', *Journal of Strategic Studies*, 32:5, October 2009, pp. 745-767.

# **Further Readings**

- Lawrence Freedman, *The Evolution of Nuclear Strategy*, various editions.
- Scott Sagan and Kenneth Waltz, *The Spread of Nuclear Weapons: A Debate*, New York: Norton, 1995.
- C. Braun and C.F. Chyba, 'Proliferation Rings: New Challenges to the Nuclear Nonproliferation Regime', *International Security*, 29: 2, Fall 2004, pp. 5-49.

# Session 11: Globalisation or Geopolitics? The US and China

#### Required Readings

- Hugh White, Power Shift: Australia's Future Between Washington and Beijing, *Quarterly Essay*, No. 39, 2010, pp. 25-47.
- Rosemary Foot, 'China and the United States: Between Cold and Warm Peace', *Survival*, 51:6, December 2009-January 2010, pp. 123-146.
- Andrew F. Hart and Bruce D. Jones, 'How Do Rising Powers Rise?', *Survival*, 52:6, December 2010-January 2011, pp. 63-88.

#### Further Readings

- Joseph F. Nye Jr, 'American and Chinese Power After the Financial Crisis', *Washington Quarterly*, 33:4, October 2010, pp. 143-153.
- Gregory Chin and Ramesh Thakur, 'Will China Change The Rules of Global Order', *Washington Quarterly*, 33:4, October 2010, pp. 119-138.
- Wang Jisi, 'China's Search for Stability with America', *Foreign Affairs*, 84:5, September-October 2005, pp. 39-48.

### Session 12: New Armageddon? Climate Change and Resource Security

### **Required Readings**

- Alan Dupont, 'The Strategic Implications of Climate Change', *Survival*, 50:3, June-July 2008, pp. 29-54.
- Paul F. Herman Jr and Gregory Treverton, 'The Political Consequences of Climate Change', *Survival*, 51:2, April-May 2009, pp. 137-148.
- Robert Layton, *Order and Anarchy: Civil Society, Social Disorder and War*, Cambridge: Cambridge University Press, 2006, pp. 92-137.

### **Further Readings**

- Jon Barnett and W. Neil Adger, 'Climate change, human security and violent conflict', *Political Geography*, 26, 2007, pp. 639-655.
- Jonathan Boston et al, *Climate Change and Security: Planning for The Future*, Wellington: Institute of Policy Studies, 2009.
- Daniel Yergin, 'Ensuring Energy Security', *Foreign Affairs*, 85:2, March-April 2006, pp. 69-82.

### **Assessment requirements**

Assessment task	Weighting	Due date	Learning outcomes	Word length
1. Short essay	25%	Monday 2 April 2012	1, 3	2,500
2. Long essay	40%	Friday 18 May 2012	1-4	5,000
3. Final Test	35%	Friday 8 June 2012 at 5:30pm	1-4	3 hour test

There will be three pieces of assessment for this course:

- 1. A first written assignment (25%) to be submitted to the Blackboard site for STRA531. Please write 2,500 words on ONE of the following topics:
  - (a) Using a significant number of the required readings from the first three sessions of the course, identify the most important idea or debate in strategic studies for a person who is new to the subject. This review should highlight the analytical strengths and weaknesses of the pieces of literature you are referring to, and conclude by identifying and justifying at least one 'must read'.
  - (b) Using the required readings from the first three sessions of the course, consider the following claim: 'Strategic writing from Clausewitz to Freedman has been unable to reconcile the ends of influencing other political actors with the means of destructive violence.'

- 2. A second and longer written assignment (40%) to be submitted to the Blackboard Site. Please write 5,000 words on ONE of the following topics:
  - (a) 'Deterrence has been dead as a strategy since the end of the Cold War.' Evaluate this claim.
  - (b) 'Attempts to restrain war by international law are largely symbolic: observance is voluntary, there are no real enforcement mechanisms, and states can fairly much do as they please when it comes down to it.' Evaluate this claim.
  - (c) 'Revolutions in military affairs are most often exaggerated and always short-lived.' Evaluate this claim.
  - (d) 'The strategic culture debate has not helped us explain the crucial decisions the states make about strategic affairs one iota.' Evaluate this claim.
  - (e) 'Intervention may sometimes be good for some folks but it is always bad for international order.' Evaluate this claim.
  - (f) 'America's declining willingness to take on long nation-building campaigns means that the recent era of counterinsurgency and stabilisation operations has come and gone. All we will have left is a little bit of traditional peacekeeping.' Evaluate this claim.
  - (g) What makes terrorism stop?
  - (h) 'There is simply no chance whatsoever of a nuclear disarmed world.' Evaluate this claim.
  - (i) Identify a particular strategic thinker and their work on a particular strategic concept or issue (eg Thomas Schelling on coercion, Colin Gray on strategic culture; Lawrence Freedman on deterrence, Bruce Hoffman on terrorism). Examine the development of this concept or issue in this thinker's work, their main sources of influence, and critically evaluate the consistency and logic of this thinking. (Please check the suitability of your choice in advance with Professor Ayson).
  - (j) A topic formulated in consultation with Professor Ayson. If you wish to choose this option, please consult Professor Ayson by Friday 16 April 2012.
- **3.** Open Book Test (35%) to be sat, and submitted, VIA BLACKBOARD. A list of questions, dealing with the entire course, will be put up on Blackboard at **5.30pm on the day of the test**. Students will be required to answer 3 essay-style questions from the list. Answers are to be submitted VIA BLACKBOARD by **9.00pm**.

This is a three hour test and you have been given an extra 30 minutes to get yourself organised. It is therefore expected that everyone will sit the test at the same time.

As this test is conducted via Blackboard, students need to have access to a computer with an internet connection – this should all be organised by the student in advance to avoid any problems.

Penalties may apply to tests that are submitted after the 9.00pm deadline. Students should keep a copy of all submitted work.

#### **Penalties**

Written assignments handed in beyond the stated deadlines (and beyond extended deadlines) when an extension has been requested and agreed before the original deadline with one of the course coordinators, will be penalised on the basis of 5 percentage marks per day, including weekend days.

### Mandatory course requirements

To gain a pass in this course each student must:

- Submit the written work specified for this course, on or by the specified dates (subject to such provisions as are stated for late submission of work)
- Attend all of the lectures and seminars conducted (permission must be gained in advance for any absences)
- Complete the final test

#### **Class Representative**

A class representative will be elected in the first class, and that person's name and contact details will be available to VUWSA, the Course Coordinator, tutors and the class. The class representative provides a communication channel to liaise with the Course Coordinator on behalf of students.

#### **Academic Integrity and Plagiarism**

Academic integrity means that university staff and students, in their teaching and learning are expected to treat others honestly, fairly and with respect at all times. It is not acceptable to mistreat academic, intellectual or creative work that has been done by other people by representing it as your own original work.

Academic integrity is important because it is the core value on which the University's learning, teaching and research activities are based. Victoria University's reputation for academic integrity adds value to your qualification.

The University defines plagiarism as presenting someone else's work as if it were your own, whether you mean to or not. 'Someone else's work' means anything that is not your own

idea. Even if it is presented in your own style, you must acknowledge your sources fully and appropriately. This includes:

- Material from books, journals or any other printed source
- The work of other students or staff
- Information from the internet
- Software programs and other electronic material
- Designs and ideas
- The organisation or structuring of any such material

Find out more about plagiarism, how to avoid it and penalties, on the University's website: http://www.victoria.ac.nz/home/study/plagiarism.aspx

#### WHERE TO FIND MORE DETAILED INFORMATION

Find key dates, explanations of grades and other useful information at <a href="https://www.victoria.ac.nz/home/study">www.victoria.ac.nz/home/study</a>. Find out how academic progress is monitored and how enrolment can be restricted at <a href="https://www.victoria.ac.nz/home/study/academic-progress">www.victoria.ac.nz/home/study/academic-progress</a>. Most statutes and policies are available at <a href="https://www.victoria.ac.nz/home/study/academic-progress">www.victoria.ac.nz/home/study/academic-progress</a>. Most qualification statutes, which are available via the <a href="https://www.victoria.ac.nz/home/study/calendar.aspx">www.victoria.ac.nz/home/study/calendar.aspx</a> (See Section C).

Other useful information for students may be found at the website of the Assistant Vice-Chancellor (Academic), at <a href="https://www.victoria.ac.nz/home/about-victoria/avcacademic">www.victoria.ac.nz/home/about-victoria/avcacademic</a>.

This outline was prepared by Robert Ayson.