

TE WHARE WĀNANGA O TE ŪPOKO O TE IKA A MĀUI



VICTORIA
UNIVERSITY OF WELLINGTON

School of Social and Cultural Studies

Te Kura Mahinga Tangata

Social Policy

SPOL 302

***GOVERNANCE: NGOS, THE STATE,
AND CIVIL SOCIETY***

Course Outline

CRN 1765: 20 POINTS: TRIMESTER 2, 2011

Teaching dates: 11 July-14 October 2011

Trimester dates: 11 July-12 November 2011

Mid-trimester Break: 22 August-4 September 2011

COURSE COORDINATOR: DR PATRICIA NICKEL

Room 1018, Murphy Building

Tel: 463 6745

E-mail: Patricia.Nickel@vuw.ac.nz

LECTURE: MONDAY 2-3PM & FRIDAY 2-4PM: MY404

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PART A: GENERAL INTRODUCTION TO SCHOOL AND SOCIAL POLICY STAFF

COURSE COORDINATOR AND LECTURER

The staff member with overall responsibility for this course is Dr Patricia Nickel, who is available to discuss any student queries about the course and assessment. Patricia's contact details are:

Tel: 463 6745

E-mail: Patricia.Nickel@vuw.ac.nz

Room: Murphy Building, MY1018

Office hours: Wednesday 11.30 AM – 1:30 PM

If you cannot attend office hours you may schedule an alternative time *at least one week in advance of the requested meeting*.

If you have an urgent problem please leave a message with the School Administrators on 463 5317 or 463 5258.

SCHOOL LOCATION

Social Policy Programme staff are located on level 10 of the Murphy Building. School notice boards are on level 9 and 10, Murphy Building. All notices concerning this course, including information about tutorials, will be posted on the level 9 notice board.

STAFF AND ADMINISTRATION CONTACT

Head of School:	Dr Allison Kirkman, MY1013 Tel: 463 5676 E-m: Allison.Kirkman@vuw.ac.nz
International Student Liaison:	Dr Hal Levine MY1023 Tel: 463 6132 E-m: Hal.Levine@vuw.ac.nz
Maori and Pacific Student Liaison:	Dr Trevor Bradley, MY1101 Tel: 463 5432 E-m: Trevor.Bradley@vuw.ac.nz
Students with Disabilities Liaison:	Dr Russil Durrant, MY1120 Tel: 463 9980 E-m: Russil.Durrant@vuw.ac.nz
School Manager:	Carol Hogan, MY918 Tel: 463 6546 E-m: Carol.Hogan@vuw.ac.nz
School Administrators:	Monica Lichti, Alison Melling, Helen Beaglehole MY921, Tel: 463 5317; 463 5258; 463 5677 E-m: sacs@vuw.ac.nz

WEBSITE

The School's website is at: <http://www.victoria.ac.nz/sacs>.

COMMUNICATION OF ADDITIONAL INFORMATION

Additional information related to SPOL 302 will be communicated via Blackboard or e-mail.

CLASS REPRESENTATIVE

A class representative will be recruited in consultation with the class at the beginning of the course. The class representative's name and contact details will be available to the Victoria University of Wellington Students' Association (VUWSA), the course coordinator and the class (on BlackBoard). The class representative provides a communication channel to liaise with the course coordinator on behalf of students.

COURSE WITHDRAWAL PROCEDURES

If you decide for ANY reason at ANY stage to withdraw from SPOL 302 (or any other course) please see the Faculty of Humanities and Social Sciences for a Course Add/Drop Form. Failure to do so may have consequences for enrolment, bursaries, allowances, loans, etc., i.e. you will get credited with a fail, not a withdrawal, on your record if you do not act promptly.

Information on withdrawals and refunds may be found at:

<http://www.victoria.ac.nz/home/admisenrol/payments/withdrawalsrefunds.aspx>

COURSE WORKLOAD EXPECTATIONS

The design of the course is based upon an assumption that students will need to spend a minimum of 13 hours a week. This workload is in accordance with the guidelines put forward by the Faculty of Humanities and Social Sciences for 20-point courses.

PART B: COURSE OBJECTIVES AND LECTURE OUTLINES

COURSE PRESCRIPTION

In recent decades the New Zealand 'welfare state' has undergone dramatic transformation. This course explores social policy in relationship to collaborative governance and civil society in New Zealand and internationally. The first half of the course will explore the theoretical foundations of governance and civil society, particularly the increasing reliance on NGOs. The second half of the course will engage in critical discussion of case studies and provide the opportunity to practice various methods of collaborative governance.

LEARNING OBJECTIVES

At the conclusion of the course, student should be able to

- Understand and critically evaluate the theoretical foundations of governance and civil society as they relate to social policy.
- Understand the rise of governance in New Zealand.
- Understand and critically evaluate various theoretical frameworks informing governance.
- Evaluate cases of collaborative governance according to their foundation in theories of governance and civil society.
- Practice various methods of engaging in collaborative governance and be able to relate them to theoretical foundations.

TOPIC OUTLINE

- Overview of the Shift to Governance
- The State and Civil Society
- The Public Sphere and Dynamics of Engagement
- Collaboration
- Governance
- Deliberative Democracy
- Associational Democracy
- Theory in Practice
- NGOs in International Governance and Social Policy
- Collaborative Processes and Methods of Engagement.

COURSE SCHEDULE

DATE	TOPIC AND ASSIGNED READINGS
11 July, Monday	Introduction to the course: An overview of the current social policy and governance environment in New Zealand.
15 July, Friday	<p>Lecture: History of the state and civil society in socio-political thought.</p> <p>Assigned Reading: Ehrenberg, John, 1999. <i>Civil Society: The Critical History of an Idea</i>, New York: New York University Press. ISBN: 0814722075. Chapter 6: "Civil Society and Intermediary Organizations," pp. 144-169.</p> <p>Naidoo, Kumi, Ed., 1999, <i>Civil Society at the Millennium</i>, West Hartford: Kumarian Press. Inc. ISBN: 1-56549-101-7. Chapter 2, "Why We Must Listen to Citizens," pp. 17-26, and 4, "Civil Society and Indigenous Peoples," pp. 43-56.</p>
18 July, Monday	Lecture: Contemporary theories of state and civil society.
22 July, Friday	<p>Lecture: The public sphere: The history of an idea</p> <p>Assigned Reading: Baiocchi, Gianpaolo, 2003. "Emergent Public Spheres: Talking Politics in Participatory Governance," <i>American Sociological Review</i>, 68:1, pp. 52-74.</p>
25 July, Monday	Lecture: The public sphere: Criticisms
29 July, Friday	<p>Lecture: Collaboration</p> <p>Assigned Reading: Young, Dennis R. 2000. "Alternative Models of Government-Nonprofit Sector Relations: Theoretical and International Perspectives," <i>Nonprofit and Voluntary Sector Quarterly</i>, 29, pp. 149-172.</p> <p>Teisman, Geert R. & Klijn, Erik-Hans, 2002. "Partnership Arrangements: Governmental Rhetoric or Governance Scheme?" <i>Public Administration Review</i>, 62:2, pp. 197-205.</p>
1 August, Monday	Lecture: Governance I

5 August, Friday	<p>Lecture: Governance II</p> <p>Assigned Reading:</p> <p>Lowndes, Vivien & Skelcher, Chris, 1998. "The Dynamics of Multi-organizational Partnerships: an Analysis of Changing Modes of Governance," <i>Public Administration</i>, 76: 2, pp. 313-333.</p> <p>Boyte, Harry C., 2005. "Reframing Democracy: Governance, Civic Agency, and Politics," <i>Public Administration Review</i>, 65:5, pp. 536-546.</p>
8 August, Monday	Lecture: Associational democracy
12 August, Friday	<p>Lecture: Deliberative democracy</p> <p>Assigned Reading:</p> <p>Warren, Mark E. 2000. <i>Democracy and Association</i>, Princeton: Princeton University Press. ISBN: 0691050775. Chapter Four, "The Democratic Effects of Association," pp. 60-93.</p> <p>Guttman, Nurit, 2007. "Bringing the Mountain to the Public: Dilemmas and Contradictions in the Procedures of Public Deliberation Initiatives That Aim to Get "Ordinary Citizens" to Deliberate Policy Issues," <i>Communication Theory</i> 17:4, pp. 411–438.</p>
15 August, Monday	<p>Review for test, essay preparation</p> <p>Assigned Reading:</p> <p>Fung, Archon, 2003. "Survey Article: Recipes for Public Spheres: Eight Institutional Design Choices and Their Consequences," <i>Journal of Political Philosophy</i>, 11: 3, pp. 338-367.</p> <p>Goven, J., 2003. "Deploying the consensus conference in New Zealand: Democracy and de-problematization," <i>Public Understanding of Science</i>, 12, pp. 423–440.</p>
19 August, Friday	IN-CLASS TEST
22 August-2 September: Mid-Trimester Break	
5 Sept, Monday	<i>The Art of the Steal</i>

9 Sept, Friday	<i>The Art of the Steal</i> Discussion
12 Sept, Monday	Lecture: Philanthropy I
16 Sept, Friday	Lecture: Philanthropy II Assigned Reading: Eikenberry, Angela M., 2007. "Philanthropy, Voluntary Association, and Governance Beyond the State: Giving Circles and Challenges for Democracy," <i>Administration & Society</i> 39: 857-882.
19 Sept, Monday	Lecture: NGOs and social policy
23 Sept, Friday	Lecture: Global governance, INGOs, and social policy Assigned Reading: Ahmed, Shamima & Potter, David M., 2006. <i>NGOs in International Politics</i> , ISBN: 1565492307. Chapter 4, "NGO Relations with States," pp. 57-74. Deacon, Bob, Hulse, Michelle & Stubbs, Paul. 1997. <i>Global Social Policy: International Organizations and the Future of Welfare</i> . London: SAGE Publications Ltd. ISBN 0-8039-8954-7. Chapter 3, "The Social Policy of Global Agencies," pp. 57-90.
26 Sept, Monday	Lecture: E-Governance
30 Sept, Friday	Lecture: Open Space Technology Assigned Reading: Owen, Harrison, 1997. <i>Open Space Technology: A User's Guide</i> . San Francisco: Berrett-Koehler Publishers, Inc. Chapter One, "Open Space Technology?" pp. 1-13.
3 October, Monday	Practice: Open Space Technology Attendance Required.

7 October, Friday	<p>Lecture: Negotiation</p> <p>Assigned Reading:</p> <p>Fisher, Roger; Ury, William & Patton, Bruce 1991. <i>Getting to Yes: Negotiating Agreement Without Giving In</i>. New York: Penguin. Chapter 2.</p> <p>Fisher, Roger & Shapiro, Daniel, 2005. <i>Beyond Reason: Using Your Emotions as You Negotiate</i>. New York: Penguin. Chapters 1, 2, pp. 203-204, 206-211.</p>
10 October, Monday	<p>Practice: Negotiation</p> <p>Attendance Required.</p>
14 October, Friday	<p>Lecture: Putting it all together</p>

PART C: COURSE ASSESSMENT REQUIREMENTS

MANDATORY COURSE REQUIREMENTS

To meet the mandatory requirements of the course students must:

- a) Submit the two written assignments.
- b) Sit the in-class test.
- c) Participate in practice exercises as assigned according to course size.

ASSESSMENT

Assessment Item	Weighting	Due Date
In-class test	20%	2pm, Friday, 19 August, 2011
Assignment 1	40%	4pm, Monday, 12 Sept, 2011
Assignment 2	40%	4pm, Friday, 14 Oct, 2011
Participation	0%	2pm, Monday ³ & 10 Oct, 2011

IN-TERM ASSESSMENT WORK

- The objective of the two written assignments is to foster critical thinking, problem solving, and analytical through a critical analysis of the application of theories of collaborative governance in the context of social policy in New Zealand and the global environment in which New Zealand is situated.
- The objective the in-class test is to help students to organize their reading and lecture attendance in relationship to assessment.
- The objective of the participation assessment is to facilitate active student engagement in the learning process and to provide students with practice in the methods of citizen engagement in the policy process.

IN-CLASS TEST

Date: 2:10pm – 3pm, Friday, 19 August, 2011

Weighting: 20%

The test will be conducted during the scheduled lecture time on Friday, 19 August 2011, in the normal lecture room. The test will be based on tutorial readings and lectures.

ASSIGNMENT 1 – ESSAY

Due: 4pm, Monday, 12 September, 2011

Weighting: 40%

Length: 2,500 words

Choose **ONE** of the following options:

1. Discuss the transformation from *government* to *governance* and its implications for social policy in New Zealand.
2. Make an argument for or against the application of the principles of deliberative democracy to social policy.
3. Compare and contrast the use of the historical use of the phrase civil society in relationship to its current use in New Zealand social policy.

You are required to reference the relevant literature from the lectures and course readings and to reference two outside sources (books or journal articles).

ASSIGNMENT 2 – ESSAY

Due: 4pm, Friday, 14 October, 2011

Weighting: 40%

Length: 2,500 words

This essay will require that you research your answer to **ONE** of the following questions:

1. What is the relationship between NGOs (or philanthropy) and the state in New Zealand? (choose either philanthropy or NGOs)
2. How does the New Zealand government incorporate practices of collaborative governance and deliberation into the policy-making cycle?
3. How do globalization and intergovernmental organizations impact governance and social policy in New Zealand and/or the world?

You are required to reference the relevant literature from the course readings and lectures, to reference three outside sources (books, journal articles, or, for Essay Two, web pages), and to provide at least one empirical (“real world”) example, e.g. World Bank, Office of the Community and Voluntary Sector.

PARTICIPATION

Due: 2-3pm, Monday 3 & 10 Oct, 2011

Weighting: 0%

Your participation in practice exercises is a course requirement. Unless previously excused by the Course Coordinator, you are required to attend the practice exercises on 3 & 10 Oct, 2011.

Please note the following assignment policies for this course:

- a. I do not under any circumstances accept work by email.
- b. I do not under any circumstances read drafts prior to the due date.

PART D: COURSE ASSESSMENT PROTOCOLS AND PROCEDURES

HANDING IN ESSAY AND OTHER WRITTEN ASSIGNMENTS

When?

It is the policy of the School of Social and Cultural Studies that all written assignments must be handed in by **4 pm** on the due date.

Where?

Assignments must be placed in the assignment box located to the side of the lifts on level 9, Murphy Building.

Assignments **MUST NOT** be placed in individual staff pigeonholes, or under staff office doors, or handed to lecturers or tutors. This is to ensure that all work is properly recorded when submitted, and to avoid problems that have arisen in the past when work has "gone missing".

At 4 pm the assignment box is emptied, the work date-stamped and its receipt recorded, and then handed to the appropriate markers.

SCHOOL ASSIGNMENT COVER SHEET

Please include a School Assignment Cover sheet when submitting your assignments. You may wish to have your own front sheet, but please ensure you place the School's cover sheet on the top as this ensures critical identifying information is provided. A sample School Assignment Cover sheet is at the end of this Outline. Further copies may be found at the School Administration office, and on the Assignment Box, on level 9 of Murphy building.

Students MUST keep a photocopy of every written assignment

Unless students have followed this procedure, the School will not accept responsibility for pieces of written work claimed to have been handed in.

ACADEMIC INTEGRITY AND PLAGIARISM

Academic integrity means that university staff and students, in their teaching and learning are expected to treat others honestly, fairly and with respect at all times. It is not acceptable to mistreat academic, intellectual or creative work that has been done by other people by representing it as your own original work.

Academic integrity is important because it is the core value on which the University's learning, teaching and research activities are based. Victoria University's reputation for academic integrity adds value to your qualification.

The University defines plagiarism as presenting someone else's work as if it were your own, whether you mean to or not. 'Someone else's work' means anything that

is not your own idea. Even if it is presented in your own style, you must acknowledge your sources fully and appropriately. This includes:

- Material from books, journals or any other printed source
- The work of other students or staff
- Information from the internet
- Software programs and other electronic material
- Designs and ideas
- The organisation or structuring of any such material

Find out more about plagiarism, how to avoid it and penalties, on the University's website: <http://www.victoria.ac.nz/home/study/plagiarism.aspx>

GRADES

The Social Policy Programme follows University policy in giving letter grades for all internally assessed work instead of giving numerical marks. The following table sets out the range of marks within which each letter grade is assigned. Your final grade and marks for the course will be an aggregate of the grades you achieve during the course.

Pass	A+	85% or over	Evidence of familiarity with relevant reading and sound understanding of concepts, plus individual interpretation and insights of a higher order. An A grade will not be achieved without such insight. Work well presented with logical structure and clarity of expression.	
	A	80%-84%		
	A-	75%-79%		
	B+	70-74%		
	B	65%-69%		
	B-	60%-64%		
Fail	C+	55%-59%	Work lacks originality, individual insights and not strong on understanding. However, material used is relevant and presentation is satisfactory.	
	C	50%-54%		
	D	40%-49%		Little evidence of reading or comprehension. No insight. Poor presentation.
	E	Below 40%		
				A clear failure to reach an adequate standard on the criteria set out.

Good expression (spelling, grammar, punctuation and sentence construction) and accurate referencing are considered important for this course. Consequently, **10% of the mark** for each assignment will be allocated according to the standard of expression and referencing.

Appendix A (page 13) includes information about the preferred format for referencing and bibliographies for this course.

LATE PENALTIES

Late submissions for student assignments in all Sociology and Social Policy undergraduate courses are subject to a penalty. The exact deduction will be calculated on the basis of one half mark per day late for each 10 marks, i.e. 1 mark will be deducted each day for an assignment worth 20% of the total course mark.

Note that assessment work will not be accepted for marking more than 7 days after the due date or 7 days after an approved extension date. Work must still, however, be submitted to meet the mandatory course requirements.

EXTENSIONS

If you are given an extension, a new submission date will be identified and you will have to submit the work in the same manner as above by 4 p.m. on that day. Failure to do so will result in penalties being applied in the same manner as those for the original submission date.

Also, see information on requesting extensions on page 4 of this Outline.

ILLNESS AND MEETING THE ASSESSMENT REQUIREMENTS OF THE COURSE

If illness or bereavement prevents you from submitting an assignment by the due date, then you may be given an extension of time. Extensions on the basis of personal circumstances will also be considered. Any requests for extension must be discussed with Patricia Nickel. Note however, that extensions must be applied for before the date on which the assignment is due, and that the period of extension will not exceed the period of illness. You may be asked to produce a medical certificate.

WHERE TO FIND MORE DETAILED INFORMATION

Find key dates, explanations of grades and other useful information at www.victoria.ac.nz/home/study. Find out how academic progress is monitored and how enrolment can be restricted at www.victoria.ac.nz/home/study/academic-progress.

Most statutes and policies are available at www.victoria.ac.nz/home/about/policy, except qualification statutes, which are available via the *Calendar* webpage at: www.victoria.ac.nz/home/study/calendar.aspx (See Section C).

Other useful information for students may be found at the website of the Assistant Vice-Chancellor (Academic), at: www.victoria.ac.nz/home/about_victoria/avcacademic.

PART E: COURSE READING

COURSE READINGS/ESSENTIAL TEXTS

There are prepared Student Notes available for this course which you can purchase for approx \$30.00.

All undergraduate textbooks and student notes will be sold from the Memorial Theatre foyer from 4 to 22 July 2011, while postgraduate textbooks and student notes will be available from the top floor of vicbooks in the Student Union Building, Kelburn Campus. After week two of the trimester all undergraduate textbooks and student notes will be sold from vicbooks on Level 4 of the Student Union Building.

Students can order textbooks and student notes online at www.vicbooks.co.nz or can email an order or enquiry to enquiries@vicbooks.co.nz. Books can be couriered to students or they can be picked up from nominated collection points at each campus. Students will be contacted when they are available.

Opening hours are 8.00am – 6.00pm, Monday – Friday during term time (closing at 5.00pm in the holidays). Phone: 463 5515.

APPENDIX A

REFERENCES

The preferred style of referencing for this course is in-text rather than footnotes, i.e. information about the source of the reference is added into the text. This includes the author, date of publication and, where appropriate, the page number. For example:

A study of organisational behaviour showed (Howat 1985) a link between...
Clerehan (1989) showed in her study...
In a study of gender differences ... (Mills 1988:22).

You may use footnotes instead, but make sure you are consistent with format.

Full details of all references are to be listed in the bibliography at the end of the assignment.

Please make sure you reference not only direct quotes and statistics, but also all ideas and information sourced from other material.

BIBLIOGRAPHIES

A bibliography is a list of all the references and sources you have used in preparation for the assignment, including those not directly cited in the essay. The references should be listed in alphabetical order by the author's surname.

An acceptable format is: Author's surname, initials (date of publication) *Title of book* (in italics) Publisher: place published. See the list of recommended readings in this course outline for examples of references in this format.

For information sourced from websites, the format is: Name of website, author (if given) *title or heading of article* (if given), full web address, the date (day/month/year) you accessed the information.

The main thing to remember is to be consistent and careful with your presentation, particularly with punctuation. Depending on the type of reference source used, a different format may be required.

Student Learning Support Services has an excellent on-line guide to references and bibliographies at http://www.victoria.ac.nz/st_services/slss/whats-on/study-skills.aspx.

Office use only

Date Received:

(Date Stamp)

School of Social and Cultural Studies

Te Kura Mahinga Tangata

CULTURAL ANTHROPOLOGY

CRIMINOLOGY

SOCIOLOGY & SOCIAL POLICY

Assignment Cover Sheet

(please write legibly)

Full Name: _____
(Last name) (First name)

Student ID: _____ Course (eg ANTH101): _____

Tutorial Day: _____ Tutorial Time: _____

Tutor's name: _____

Assignment Due Date: _____

CERTIFICATION OF AUTHENTICITY

I certify that this paper submitted for assessment is the result of my own work, except where otherwise acknowledged.

Signed: _____ Date: _____