

School of Government

MAPP 561

**SPECIAL TOPIC: GOVERNANCE AND INNOVATION
FOR SUSTAINABLE DEVELOPMENT
(15 Points)**

Trimester 1 / 2012

COURSE OUTLINE

Names and Contact Details

Course Coordinator: **Dr Valentina Dinica**
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School Office Hours: 8.30am to 5.00pm, Monday to Friday

Trimester Dates

From Monday 5 March to Friday 8 June 2012

Withdrawal from Course

Notice of withdrawal must be in writing / emailed to the Masters Administrator. Ceasing to attend or verbally advising a member of staff will NOT be accepted as a notice of withdrawal.

1. Your fees will be refunded if you withdraw from this course on or before **Friday 16 March 2012**.

2. The standard last date for withdrawal from this course is **Friday 18 May 2012**. After this date, students forced to withdraw by circumstances beyond their control must apply for permission on an ‘*Application for Associate Dean’s Permission to Withdraw Late*’ including supporting documentation. The application form is available from either of the Faculty’s Student Customer Service Desks.

Class Times and Room Numbers

Dates:	Thursday afternoons 8 + 15 + 22 + 29 March and 10 + 17 + 24 + 31 May 2012 (8 classes only)
Class Times:	2.40pm – 5.30pm
Location:	Room RWW 413, Level 4, Railway West Wing, Pipitea Campus

Course Content

This course aims to develop creating thinking about major societal challenges of our era: how to design and manage innovative systems of governance able to set the course of development on a more sustainable path? Experiences across the world over the past decades have shown that interventions by means of traditional policies instruments are not sufficient to address the relentless degradation of natural, environmental and cultural resources. New kinds of institutional arrangements are needed if we, and future generations, are to enjoy a satisfying and fulfilling life, without disrupting the ecological support systems of our planet, exhausting its non-renewable resources, or destroying cultural heritage.

The expanding scope and complexity of societal problems in the 21st century makes it very difficult for governments to deal with sustainability problems without the active support and cooperation of private partners. Policy networks, public-private partnerships, and participatory approaches to policy-making have a clear role to play in addressing the challenges confronting modern governments. This course will explore the central elements of a ‘new governance for sustainable development’.

The following topics will be addressed throughout the course:

- explorations of the concepts of ‘*governance*’ and ‘*sustainable development*’, and how innovative governance arrangements can be designed and managed to achieve a range of sustainability goals
- the concept of ‘governance coherence’:
 - vertical governance interactions and mechanisms for sustainable development, connecting local communities to regional, national and international actors;
 - challenges to ‘good governance’ in the context of decentralization and globalization issues;
 - horizontal governance interactions and mechanisms, facilitating progress towards sustainability goals: coordination across policy areas/sectors; the role of policy networks;

- models and frameworks for the design of *adaptive governance* arrangements, able to deal with (long term) uncertainties;
- the development and monitoring of *indicators* for sustainable development across a range of policy/economic areas.

Course Learning Objectives

By the end of this course, students will be able:

1. to develop critical insight into the concepts of sustainable development and governance, and operationalize sustainability goals for various economic sectors and areas of governance – social, environmental, cultural;
2. to critically apply frameworks and models of innovative governance arrangements and to assess their suitability for a variety of sustainable development goals and challenges;
3. to evaluate the opportunities and threats for the achievement of sustainability goals, drawing on the class explorations of successful governance systems for sustainability and of the conditions under which they can be expected to yield positive results.
4. to think creatively in the face of uncertainties, by developing new principles and mechanisms of good governance, when textbooks are silent.

Course Delivery and Readings

The course will be delivered in 8 sessions of 3 hours each. Each session will consist of teaching and student contributions in the form of group work, presentations, and debates. Students are required to read the indicated articles (provided via Blackboard) *before* each session. Class participation will be marked as mentioned under the “Assessment Requirements” section below. Each session will be centred around a special topic, as follows:

Session 1 (Thursday 8 March 2012):

Sustainable development: conceptualizations and dimensions

Readings:

- (A) United Nations Conference on Environment and Development (1992). “UN Declaration on Environment and Development”, Rio de Janeiro.
- (B) Lucas Seghezze (2009): The five dimensions of sustainability, *Environmental Politics*, 18:4, 539-556.
- (C) Miller, G. Twinning-Ward L. (2005). “Sustainable development”, in *Monitoring for a sustainable tourism transition: the challenge of developing and using indicators*. CABI Publishing pp. 36-59
- (D) Scottish Executive Social Research. (2006). Chapter 3 Theories and principles for sustainable development, in *Sustainable Development: A Review of International Literature*. Scotland.

Session 2 (Thursday 15 March 2012):
Governance: structures, models and principles for sustainability.

Readings:

- (A) OECD (2006). “Good Practices in the National Sustainable Development Strategies of OECD Countries”. Paris.
- (B) Meijer E. and D. Stead. (2004). “Policy integration: what does it mean and how can it be achieved? A multi-disciplinary review”, Conference paper presented at the 2004 Berlin Conference on the Human Dimensions of Global Environmental Change: Greening of Policies – Inter-linkages and Policy Integration.
- (C) Lawrence, G. (2004). “Promoting Sustainable Development: the Question of Governance”, Plenary Address XI World Congress of Rural Sociology Trondheim Norway 25-30 July 2004.

Supplementary reading (for students who did not attend SOG Policy Analysis courses):

- Hajer M. and H. Wagenaar. (2003). “Introduction”, in *Deliberative Policy Analysis: Understanding Governance in the Network Society*. UK. pp. 5-31.
- Fisher, F. (1998). “Beyond Empiricism: Policy Inquiry in Post-positivist Perspective”, in *Policy Studies Journal*, 26(1): 129-146.

Session 3 (Thursday 22 March 2012):
Environmental policy instruments. Transition Management and Green Growth

Readings:

- (A) Gunningham, N. (2007). “Reconfiguring environmental regulation: Next-generation policy instruments”, in *Industrial Innovation and Environmental Regulation: Developing Workable Solutions* edited by S. Parto and B. Herbert-Copley. United Nations University Press. Hong Kong.
- (B) Duval, R. (2008), “A Taxonomy of Instruments to Reduce Greenhouse Gas Emissions and their Interactions”, *OECD Economics Department Working Papers*, No. 636, OECD Publishing. <http://dx.doi.org/10.1787/236846121450>
- (C) Kemp, R. and Loorbach, D. (2003). “Governance for Sustainability through Transition Management”, paper for Open Meeting of the Human Dimensions of Global Environmental Change Research Community, Oct 16-19, 2003 Montreal, Canada

Supplementary reading (for students who did not attend SOG Policy Analysis courses):

- Verdung, E. (1998). “Policy instruments: typologies and instruments”, in *Carrots Sticks and Sermons – policy instruments and their evaluation*, Eds. M.L. Belemans-Videc, C.L. Rist and E. Verdung. Transaction Publishers. Pp 21-55.

Session 4 (Thursday 29 March 2012):
Policy processes under uncertainty and complexity: adaptive policy-making and governance

Readings:

- (A) Walker, W.E., S. Adnan Rahman and J. Cave. (2001). “Adaptive Policies, Policy Analysis, and Policy-making,” *European Journal of Operational Research* 128: 282-289.
- (B) Folke, C., T. Hahn, P. Olsson, J. Norberg (2005). “Adaptive governance of social-ecological systems, in *Annual Review of Environmental Resources* (30):441–473.

- (C) Balint, P.J., R.E. Stewart, Desai, A., Walters, L.C. (2009). Chapter “Adaptive management”, in *Wicked Environmental Problems: Managing Uncertainty and Conflict*. Island Press. Washington.

Session 5 (Thursday 10 May 2012):
Indicators for sustainable development

Readings:

- (A) United Nations. (2007). Indicators of Sustainable Development: Guidelines and Methodologies. New York. Chapter 5: pp. 39-45.
- (B) OECD. (1993). OECD CORE SET OF INDICATORS FOR ENVIRONMENTAL PERFORMANCE REVIEWS A synthesis report by the Group on the State of the Environment. Pp. 1-15.

Session 6 (Thursday 17 May 2012):
Governance for sustainable tourism

Readings:

- (A) United Nations Environmental Programme and World Tourism Organization. (2005), Chapter 3 “Structures and strategies”, in *Making Tourism More Sustainable – A Guide for Policy Makers*. Madrid. Pp. 49-57.
- (B) United Nations Environmental Programme and World Tourism Organization. (2005), Chapter 5 “Instruments for more sustainable tourism”, in *Making Tourism More Sustainable – A Guide for Policy Makers*. Madrid. Pp. 71-113.
- (C) Connell, J., S.J. Page, T. Bentley. (2009). “Towards sustainable tourism planning in New Zealand: Monitoring local government planning under the Resource Management Act”, in *Tourism Management* (30): 867–877.
- (D) Page, S.J., and K. Thorn (2010). Towards Sustainable Tourism Development and Planning in New Zealand: The Public Sector Response Revisited *Journal of Sustainable Tourism*, 10: 3, 222 — 238

Session 7 (Thursday 24 May 2012):
Sustainable water management

Readings:

- (A) Land and Water Forum. 2010. *Report of the Land and Water Forum: A Fresh Start for Fresh*. Wellington.
- (B) OECD. (2009). *Managing Water for All: An OECD Perspective on Pricing and Financing*. Paris.

Session 8 (Thursday 31 May 2012):
Managing transitions towards sustainability

Readings:

- (A) Meijer, I. and Hekkert, M. P.(2007) 'Managing Uncertainties in the Transition Towards Sustainability: Cases of Emerging Energy Technologies in the Netherlands', *Journal of Environmental Policy & Planning*, 9: 3, 281 — 298
- (B) Hendrik, C.M. (2008). “On inclusion and network governance: the democratic disconnect of Dutch energy transitions”, in *Public Administration* 86(4): 1009–1031.

Blackboard is Victoria University’s online environment that supports teaching and learning by making course information, materials and other learning activities available via the internet through the myVictoria student web portal.

To access the Blackboard site for this course:

1. Open a web browser and go to www.myvictoria.ac.nz .
2. Log into myVictoria using your ITS Username (on your Confirmation of Study) and password (if you’ve never used the Victoria University computer facilities before, your initial password is your student ID number, on your Confirmation of Study, Fees Assessment or student ID card – you may be asked to change it when you log in for the first time).
3. Once you’ve logged into myVictoria, select Blackboard (from the options along the top of the page) to go to your Blackboard homepage.
4. The “My Courses” section displays the courses you have access to – select the appropriate link to access the course-specific Blackboard site. Please note that only courses that are actually using Blackboard and have been made available to students by their respective course coordinator will be displayed.

You are recommended to ensure that your computer access to Victoria University’s computer facilities, such as myVictoria and Blackboard, is working BEFORE your course starts. If you have any problems, you should contact the ITS Helpdesk on (04) 463 5050 or its-service@vuw.ac.nz , or visit the Helpdesk on level 2 of the Railway West Wing, Pipitea Campus. See www.victoria.ac.nz/its/student-services/ for more information.

Expected Workload

The learning objectives set for each course are demanding and, to achieve them, candidates must make a significant commitment in time and effort to reading, studying, thinking, and completion of assessment items outside of contact time. Courses vary in design but all require preparation and learning before the first day of the course and regular learning is also necessary (students who leave everything to the last moment rarely achieve at a high level).

Expressed in input terms, on average, the time commitment required usually translates to approximately 150 hours for a 15-point course.

Assessment Requirements

There will be two assignments for this course. In addition, class participation will also be assessed.

Assignments	Weight	Due Date	Recommended word length
1. Essay	45%	Monday 23 April 2012	2,700 words
2. Policy paper based on a governance challenge	45%	Friday 8 June 2012	2,700 words
3. Participation in class activities	10%	Ongoing	n/a

General Notes for Assignments

The assignments for the course will be delivered and discussed in class, and will be posted on Blackboard. Assignment 1 will be based on the readings for Sessions 1, 2, 3 and 4. Assignment 2 will be based on the readings for Sessions 5, 6, 7, and 8.

Students are required to **complete a statement** to accompany each assignment, attesting to the fact that the work is entirely their own. For each of the assignments mentioned in the above table, students must fill in, sign and submit the form that can be found on the last page of this course outline. The form will also be posted on Blackboard. The deadline for submitting the form is the same as the deadline for submitting the assignment for which the form was signed.

Assignments are always due by **5.00pm** on the date specified. Please deliver your assignment in Microsoft Word format only and indicate on the cover sheet: your document's word length and full name.

Note that all assignments are to be submitted BY EMAIL ATTACHMENT to sog-assignments@vuw.ac.nz. Extensions may only be granted to those who meet the University's aegrotat rules, viz. medical certificate or personal bereavement, or critical personal circumstances involving the health of a close relative, or exceptional circumstances beyond the student's control. Students should keep a copy of all submitted work. **There is no final examination for this course.**

Quality Assurance Note

Your assessed work may also be used for quality assurance purposes, such as to assess the level of achievement of learning objectives as required for accreditation and audit purposes. The findings may be used to inform changes aimed at improving the quality of FCA programmes. All material used for such processes will be treated as confidential, and the outcome will not affect your grade for the course.

Class Attendance

If, before enrolment for a course, you are aware that you will not be able to attend for part of a class, you must notify the Director of Master's Programmes when you enrol explaining why you will not be able to attend. The Director of Master's Programmes will consult with the relevant course coordinator. In such circumstances, you may be declined entry into a course.

You are required to attend at least 20 hours of the total 24 contact hours scheduled for this course. If you become aware after a course starts that you will be unable to attend a significant part of a class (i.e. more than two hours), you must advise the course coordinator explaining why you will be unable to attend. The course coordinator may excuse you from attendance and may also require you to complete compensatory work relating to the course content covered during your absence.

Penalties

The ability to plan for and meet deadlines is a core competency of both study and public management. Failure to meet deadlines disrupts course planning and is unfair on students who do submit their work on time. It is expected therefore that you will complete and hand in assignments by the due date. **Marks will be deducted at the rate of five per cent (of the final grade) for every day by which the assignment is late and no assignments will be accepted after five working days beyond the date they are due.** For example, if you get 65% for an assignment, but you handed it in on Monday when it was due the previous Friday, you will get a mark of 50%. A written assignment that exceeds the word limit by more than 200 words will be penalised by 5%.

If ill-health, family bereavement or other personal circumstances beyond your control prevent you from meeting the deadline for submitting a piece of written work or from attending class to make a presentation, you can apply for and may be granted an extension to the due date. You should let your Course Coordinator know as soon as possible in advance of the deadline (if circumstances permit) if you are seeking an extension. Where an extension is sought, evidence, by way of a medical certificate or similar, may be required by the Course Coordinator.

Mandatory Course Requirements

In order to pass this course, you must:

- (A) submit both assignments; and
- (B) attend a minimum of 20 hours out of the total of 24 teaching hours

Communication of Additional Information

Any additional information will be conveyed to students in class. Blackboard may also be used for such announcements and for course-related materials. Please check the Blackboard regularly.

NOTE: Information emailed to you via Blackboard can only be sent to your @myvuw.ac.nz email address (the free email address created for you when you enrol and accessed via the myVictoria student web portal). If you want to receive these emails at your preferred email address (e.g. your home or work email address), it is **essential** that you activate your @myvuw.ac.nz email address before the start of the course and you modify the settings so all emails sent to it are automatically forwarded to your preferred email address. Please go to www.victoria.ac.nz/its/student-services/FAQs.aspx#Email_Forward for more information.

You are recommended to ensure that your computer access to Victoria University's computer facilities, such as myVictoria, Blackboard and email, is working BEFORE your course starts.

If you have any problems, you should contact the ITS Helpdesk on (04) 463 5050 or its-service@vuw.ac.nz , or visit the Helpdesk on level 2 of the Railway West Wing, Pipitea Campus. See www.victoria.ac.nz/its/student-services/ for more information.

Academic Integrity, Plagiarism, and the Use of Turnitin

Plagiarism is presenting someone else's work as if it were your own, whether you mean to or not.

'Someone else's work' means anything that is not your own idea. Even if it is presented in your own style, you must still acknowledge your sources fully and appropriately. This includes:

- material from books, journals or any other printed source
- the work of other students or staff
- information from the Internet
- software programs and other electronic material
- designs and ideas
- the organisation or structuring of any such material.

Acknowledgement is required for all material in any work submitted for assessment unless it is a 'fact' that is well-known in the context (such as "Wellington is the capital of New Zealand") or your own ideas in your own words. Everything else that derives from one of the sources above and ends up in your work – whether it is directly quoted, paraphrased, or put into a table or figure, needs to be acknowledged with a reference that is sufficient for your reader to locate the original source.

Plagiarism undermines academic integrity simply because it is a form of lying, stealing and mistreating others. Plagiarism involves stealing other people's intellectual property and lying about whose work it is. This is why plagiarism is prohibited at Victoria.

If you are found guilty of plagiarism, you may be penalised under the Statute on Student Conduct. You should be aware of your obligations under the Statute, which can be downloaded from the policy website (www.victoria.ac.nz/home/about/policy/students.aspx). You could fail your course or even be suspended from the University.

Plagiarism is easy to detect. The University has systems in place to identify it.

Student work provided for assessment in this course may be checked for academic integrity by the electronic search engine www.turnitin.com . Turnitin is an on-line plagiarism prevention tool which compares submitted work with a very large database of existing material. At the discretion of the Head of School, handwritten work may be copy-typed by the School and subject to checking by Turnitin. Turnitin will retain a copy of submitted materials on behalf of the University for detection of future plagiarism, but access to the full text of submissions will not be made available to any other party.

There is guidance available to students on how to avoid plagiarism by way of sound study skills and the proper and consistent use of a recognised referencing system. This guidance may be found at the following website: www.victoria.ac.nz/home/study/plagiarism.aspx .

If in doubt, seek the advice of your course coordinator. **Plagiarism is simply not worth the risk.**

For the following important information, follow the links provided

Academic Integrity and Plagiarism

www.victoria.ac.nz/home/study/plagiarism.aspx

General University Policies and Statutes

Find key dates, explanations of grades and other useful information at

www.victoria.ac.nz/home/study

Find out about academic progress and restricted enrolment at

www.victoria.ac.nz/home/study/academic-progress.aspx

The University's statutes and policies are available at www.victoria.ac.nz/home/about/policy , except qualification statutes, which are available via the Calendar webpage at www.victoria.ac.nz/home/study/calendar.aspx (See Section C).

Further information about the University's academic processes can be found on the website of the Assistant Vice-Chancellor (Academic) at

www.victoria.ac.nz/home/about_victoria/avcacademic/default.aspx

AVC (Academic) Website: information including: Conduct, Academic Grievances, Students with Impairments, Student Support

www.victoria.ac.nz/home/about_victoria/avcacademic/Publications.aspx

Faculty of Commerce and Administration Offices

www.victoria.ac.nz/fca/studenthelp/

Te Putahi Atawhai

Maori and Pacific Mentoring Programme

www.victoria.ac.nz/tpa/



School of Government

Assignment Cover Sheet

Instructions

Please complete and sign this form and attach it as the cover page to your assignment.

Student Name (Please print) _____

Student ID _____ **Course Code** _____

Course Coordinator/Tutor/Supervisor _____

Due Date _____ **Word Count** _____

Plagiarism Declaration

Plagiarism is a form of cheating which undermines academic integrity. Plagiarism is prohibited at Victoria. Plagiarism is presenting (without due acknowledgement) someone else's work as if it were your own, whether you mean to or not. Plagiarism takes many forms and includes material from books, journals or any other printed source, the work of other students or staff, information from the internet and other electronic material. You are expected to adhere to the VUW Statute on Student Conduct and its references to plagiarism. The Statute may be accessed at www.victoria.ac.nz/home/about/policy/students.aspx.

I have read and understand the University's policy on plagiarism outlined above and declare that this assignment is my own work and that all source material used in this assignment is accurately acknowledged.

Signed _____ **Date** _____