

School of Government

MAPP 523 POLITICS, PHILOSOPHY AND PUBLIC POLICY (15 Points)

Trimester Two 2006

COURSE OUTLINE

Contact Details

Course Co-ordinator: Professor Jonathan Boston

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Course Dates, Times and Location

Module Four: Wednesday 30 August 2006 8.30am-6.00pm

Module Five: Wednesday 18 October 2006 8.30am-6.00pm

Module Six: Wednesday 22 November 2006 8.30am-6.00pm

Location: The course will be held on the Pipitea Campus of Victoria University of

Wellington. You will be advised of your classroom one week prior to the

course by email.

Course Objectives

The course is divided into two parts. The first, and larger, part examines the contribution of political, social and moral philosophy to an understanding of the role of the state. In so doing it outlines some of the competing moral frameworks which influence ethical discourse on policy matters, examines some of the key values (e.g. liberty, justice, the minimization of harm, etc.) that need to be considered in evaluating alternative policy options, explores the meaning and possible application of concepts like 'the public interest' and 'the common good', and considers recent debates in New Zealand over the nature of biculturalism, preferential treatment and the policy implications of the Treaty of Waitangi.

The second part of the course explores some of the issues surrounding the application of social science theories and methodologies to the conduct of policy analysis including the different concepts of rationality and the 'rationalist' versus 'incrementalist' debate. Consideration is also given to the current debate over climate change policy – as an example of a large-scale collective action problem involving significant scientific uncertainties, major distributional issues, considerations of intergenerational justice and large policy and political risks.

By the end of the course it is expected that students will be able to:

- explain the strengths and weaknesses of a number of influential moral philosophies and highlight their relevance to policy making;
- apply specific ethical principles, such as the harm principle, to an analysis of particular policy issues;
- outline and apply difference decision rules when faced with competing values; and
- explain the various competing models of policy making and outline the key theoretical and methodological limitations to social-problem solving.

Course Content

Part I: Philosophy and Public Policy: Some Key Issues and Concepts

1. Politics and Markets

- the role of the state contrasting views
- the respective contributions of the disciplines of politics and economics
- the differences between political and market choice mechanisms
- merit goods, public goods, collective action problems, externalities, etc.
- exit, voice and loyalty
- public policy and citizen participation

2. Values, Ethical Frameworks and Public Policy

- consequentialist v non-consequentialist moral philosophies
- the range of values and ethical considerations
- conflicting values and decision rules
- the ends of public policy: what is 'the good society'?
- pluralism, diversity and the limits to tolerance

3. The Public Interest and the Common Good

- the range of aggregative concepts
- an analysis of the common good; possible criteria for application
- the use and meaning of the public interest
- the role of the state in protecting the public interest and pursuing the common good

4. Liberty and the Grounds for Coercion

- the nature and value of liberty
- the presumption in favour of liberty
- grounds for coercion by the state (and others)
- the harm principle and policy applications
- the offence principle and policy applications
- moral legalism and policy applications

5. Neutrality, Perfectionism and Paternalism

- the debate over state neutrality versus perfectionism
- the meaning and value of neutrality
- arguments for and against neutrality
- forms of paternalism
- policy issues and implications

6. Justice: Formal and Material Principles

- forms of justice: substantive v procedural, social, redistributive, retributive, restorative, commutative etc.
- formal principles (e.g. the principle of like treatment, etc.)
- material principles (e.g. equality, need, desert, etc.)
- criminal justice and theories of punishment

Sub-topic: Rawls and his Critics

- justice as 'fairness'
- Rawls' methodology, principles of justice and applications
- a critical assessment of Rawls' theory of justice
- alternative approaches Barry, Hayek, Nozick, etc.
- the boundaries of justice: international distributive justice

7. Rights and Duties

- the nature and limits of rights
- kinds of rights: human, moral, legal, individual, collective, natural, etc.
- negative and positive rights
- absolute rights
- conditional rights
- rights and duties
- the idea of a Code of Social and Family Responsibility

8. Biculturalism, Multiculturalism, Citizenship and the Treaty of Waitangi

- liberalism, the rights of indigenous minorities and equal citizenship
- 'cultural' justice and the role of the state
- biculturalism v multiculturalism
- the implications of the Treaty for public policy in NZ
- the policy implications of increasing social and ethnic diversity
- arguments for and against preferential treatment/positive discrimination

Part II: Politics and Policy Analysis

9. Models of Policy Making

- different concepts of rationality in public policymaking
- the 'rationalist' versus the 'incrementalist' debate and attempts at theoretical resolution
- how to 'muddle through' better

10. Social Science and Social-Problem Solving

- the nature of social science
- the contribution of social science to social problem solving
- a critique and defense of social science
- social science and lay probing

Sub-topic: Path Dependence

- the nature of path dependence
- examples of increasing returns to scale
- contemporary cases
- the implications for policy analysis

11. Climate Change: Scientific, Economic, Political and Ethical Issues

- the scientific debate
- the economics of climate change collective action problems, discount rates, costs and benefits of actions
- the politics of climate change domestic and global
- ethical issues responsibilities for future generations, distributional issues

12. 'The Third Way' and its Critics

- the nature of the Third Way
- strengths and weaknesses of the Third Way
- contemporary trends in political and social philosophy

Fourth Module: Topics 1-4; Fifth Module: Topics 5-8; Sixth Module: Topics 9-12

Expected Workload

Please allow three days of classes, and 6-8 hours of study per week, working on readings, notes and assignments.

Readings

General Comment on Reading Material for the Course

I have set out below some general items of relevance for much of the course, together with some specific readings for each lecture. You are certainly <u>not</u> expected to read everything on the list, or even most things! Rather, I hope you will read at least two items (e.g. an article and a chapter, etc.) from each topic, and familiarize yourself with at least three of the books in the 'general reading' list (or works of a similar kind). In order to assist you, I have put an * beside some of the more important, or accessible material. Many, but not all, of the items marked are included in the Course Readings. Other material not listed on the reading list will also be provided as deemed necessary (e.g. important articles or short reports on relevant themes that are published during the year).

Further, please let me know if you come across material that you think it would be useful to distribute to the rest of the class, or if you have difficulty locating particular items.

One reason for having a moderately extensive list of readings is to assist you in the preparation of assignments and essays, and also to provide you with a source for future reference.

Some Useful General Reading

Barry, B. (2005) Social Justice Matters Cambridge, Polity Press.

Beauchamp, T. (ed.) (1975) Ethics and Public Policy New Jersey, Prentice-Hall.

Blondel, J. (1981) The Discipline of Politics London, Butterworths.

Christman, J. (2002) <u>Social and Political Philosophy: A Contemporary Introduction</u> London, Routledge.

Feinberg, J. (1973) Social Philosophy New Jersey, Prentice-Hall, Chapters 2-3.

Goodin, R. (1995) <u>Utilitarianism as a Public Philosophy</u> Cambridge, Cambridge University Press.

Goodin, R. and Pettit, P. (eds.) (1993) <u>A Companion to Contemporary Political Philosophy</u> Oxford, Blackwell Publishers.

Kymlicka, W. (1990) Contemporary Political Philosophy: An Introduction Oxford, Clarendon.

Lindblom, C. E. (1977) Politics and Markets New York, Basic Books.

Parsons, W. (1995) <u>Public Policy: An Introduction to the Theory and Practice of Policy Analysis</u> Aldershot, Edward Elgar.

Singer, P. (ed.) (1991) A Companion to Ethics Oxford, Blackwell Publishers.

Stewart, R. (ed) (1986) Readings in Social and Political Philosophy Oxford, Oxford University Press.

* = priority reading

Part I: Philosophy and Public Policy: Some Key Issues and Concepts

1. Politics and Markets

Barry, B. (1970) Sociologists, Economists and Democracy London, Collier-Macmillan.

Buchanan, J. et al. (1980) The Economics of Politics London, IEA.

Ellis, A and Kumar, K (eds.) (1983) <u>Dilemmas of Liberal Democracies</u> New York, Tavistock, Chs. 7 & 10.

*Elster, J. and Hylland, A. (eds.) (1986) <u>Foundations of Social Choice Theory</u> Cambridge, Cambridge University Press.

Hirsch, F. (1977) Social Limits to Growth London, Routledge and Kegan Paul.

*Hirschman, A. (1970) Exit, Voice and Loyalty Cambridge, Harvard.

Hirschman, A. (1986) Rival Views of Market Society and Other Essays New York, Viking Penguin.

*Lindblom, C. E. (1977) Politics and Markets New York, Basic Books.

Lindblom, C. E. (1982) "The Market as Prison", The Journal of Politics, 44, pp. 324-336.

Parsons, W. <u>Public Policy: An Introduction to the Theory and Practice of Policy Analysis</u> Aldershot, Edward Elgar, 1995, Part 1.

*Self, P. (1993) Government by the Market? Melbourne, Macmillan.

Self, P. (2000) Rolling Back the Market New York, St Martin's Press.

The Treasury (1987) Government Management Volume 1 Wellington, Government Printer, Ch. 1.

Udehn, L. (1996) The Limits of Public Choice London, Routledge.

2. Values, Ethical Frameworks and Public Policy

Beauchamp, T. (ed.) (1975) Ethics and Public Policy New Jersey, Prentice-Hall.

Boston, J. (1994) "Love, Justice and the State" in J. Boston and A. Cameron (eds) <u>Voices for Justice</u> Palmerston North, Dunmore Press.

Goodin, R. (1995) <u>Utilitarianism as a Public Philosophy</u> Cambridge, Cambridge University Press, Part 1.

Goodin, R. (1995) "Political Ideals and Political Practice", <u>British Journal of Political Science</u>, 25, pp. 37-56.

*Kymlicka, W (1990) Contemporary Political Philosophy: An Introduction Oxford, Clarendon, Ch. 2.

- *Nussbaum, M. (2000) <u>Women and Human Development: The Capabilities Approach</u> Cambridge, Cambridge University Press, Ch. 1.
- Royal Commission on Genetic Modification (2001) <u>Report and Recommendations</u> Wellington, esp. Chs. 2 & 3.
- *Sen, A. (1987) On Ethics and Economics Oxford, Basil Blackwell.
- Sen, A. (1999) Development as Freedom Oxford, Oxford University Press.
- Singer, P. (ed.) (1991) A Companion to Ethics Oxford, Blackwell Publishers.

3. The Public Interest and the Common Good

- Bardach, E. (1981) "On Representing the Public Interest", Ethics, 91, 3, April, pp 486-490.
- Barry, B. (1964) "The Public Interest", Proceedings of the Aristotelian Society, Supp 38, pp 1-18.
- *Barry, B. (1965) Political Argument London, Routledge and Kegan Paul, Chapters X-XV.
- Benditt, T. (1973) "The Public Interest", Philosophy and Public Affairs, 2, Spring, pp 291-311.
- Boston, J. and Cameron, A. (eds.) (1994) Voices for Justice Palmerston North, Dunmore Press, Ch 5.
- *Cochren, C. (1974) "Political Science and the Public Interest", <u>Journal of Politics</u>, 36, May, pp 327-355.
- Connoll, W. (1981) "The public interest and the common good" in <u>Appearance and Reality in Politics</u> Cambridge, Cambridge University Press.
- *Dahl, R (1989) Democracy and its Critics New Haven, Yale University Press, chapters 20 & 21.
- Douglas, B. (1980) "The Common Good and the Public Interest", Political Theory, 8, 1, pp. 103-117.
- Flathman, R. (1966) <u>The Public Interest: an Essay Concerning the Normative Discourse of Politics</u> New York, Wiley.
- *Friedrich, C. (ed.) (1966) The Public Interest New York, Atherton Press.
- Hague, D. (1975) <u>Public Policy and Private Interests: The Institutions of Compromise</u> London, Macmillan.
- Held, V. (1970) The Public Interest and Individual Interests New York, Basic Books.
- Lewin, L. (1991) <u>Self-Interest and the Public Interest in Western Politics</u> New York, Oxford University Press.
- Lilla, M. (1985) "What is the Civic Interest?", The Public Interest, 81, pp. 64-81.
- McEachern, A. and Al-Arayed, J. (1984) "Discerning the Public Interest", <u>Administration and Society</u>, pp. 439-453.
- Oppenheim, F. (1975) "Self-Interest and the Public Interest", Political Theory, 3, pp 259-276.

- Simons, R. (1995) <u>Competing Gospels: Public Theology and Economic Policy</u> Alexandria, E.J. Dwyer (esp. Ch. 5).
- Smith, T. (1999) "Aristotle on the Conditions for and Limits of the Common Good", <u>American Political Science Review</u>, 93, 3, pp. 625-636.
- Schubert, G. (1960) <u>The Public Interest: a Critique of the Theory of a Political Concept</u> Westport Conn, Greenwood Press, reprinted 1982.
- Tullock, G. (1984) "A (Partial) Rehabilitation of the Public Interest Theory", <u>Public Choice</u>, 42, pp. 89-99.

4. Liberty and the Grounds for Coercion

- Ahdar, R. (2001) Worlds Colliding: Conservative Christians and the Law Aldershot, Ashgate.
- Beauchamp, T (ed.) (1975) Ethics and Public Policy New Jersey, Prentice-Hall, Part 5 (Moral Enforcement).
- Cohen-Almagor, R. (1993) "Harm Principle, Offence Principle, and the Skokie Affair", <u>Political</u> Studies, 41, pp. 453-470.
- *Feinberg, J (1973) Social Philosophy New Jersey, Prentice-Hall, Chapters 2-3.
- Feinberg, J. (1980) <u>Rights, Justice and the Bounds of Liberty: Essays in Social Philosophy</u> Princeton, Princeton University Press.
- Goodin, R (1990) "Liberalism and the Best-Judge Principle", Political Studies, 38, pp. 181-195.
- Kymlicka, W (1990) Contemporary Political Philosophy: An Introduction Oxford, Clarendon.
- Mill, J S (1956 edition) On Liberty New York, Liberal Arts Press.
- Raphael, D D (1976) Problems of Political Philosophy London, Macmillan, Ch. 5.
- *Sartorius, R (ed.) (1983) <u>Paternalism</u>, Minneopolis, University of Minnesota Press, esp. Chs. 1, 2, 3 and 13.
- *Wilkinson, T M (1995) "Rationality, Efficiency and the Market", in J Boston (ed.) <u>The State Under</u> Contract, Wellington, Bridget Williams Books.

5. Neutrality, Perfectionism and Paternalism

- Ahdar, R. (2001) Worlds Colliding: Conservative Christians and the Law Aldershot, Ashgate.
- Brighouse, H. (1995) "Neutrality, Publicity and State Funding of the Arts", <u>Philosophy and Public Affairs</u>, 24, pp. 35-63.
- *Caney, S (1992) "Consequentialist Defences of Liberal Neutrality", <u>The Philosophical Review</u>, 41, pp. 457-477.

- Caney, S (1992) "Liberalism and Communitarianism: a Misconceived Debate", <u>Political Studies</u>, 40, pp. 273-289.
- Caney, S. (1995) "Anti-perfectionism and Rawlsian Liberalism", Political Studies, 43, pp. 248-264.
- Christman, J. (2002) <u>Social and Political Philosophy: A Contemporary Introduction</u> London, Routledge.
- Clarke, S. (1999) "Contractarianism, Liberal Neutrality, and Epistemology", <u>Political Studies</u>, 47, pp. 627-642.
- Davey, J. (2000) <u>Another New Zealand Experiment: A Code of Social and Family Responsibility</u> Wellington, Institute of Policy Studies.
- Dworkin, R. (1985) A Matter of Principle Cambridge, Mass., Harvard University Press, Ch. 11.
- Galston, W. (1991) <u>Liberal Purposes: Goods, Virtues, and Diversity in the Liberal State</u> Cambridge, Cambridge University Press.
- *Goodin, R and Reeve, A (eds.) (1989) <u>Liberal Neutrality</u> London, Routledge.
- MacLeod, C. (1997) "Liberal Neutrality or Liberal Tolerance?" Law and Philosophy, 16, pp. 529-559.
- Neal, P and Paris, D (1990) "Liberalism and the Communitarian Critique: A Guide for the Perplexed", Canadian Journal of Political Science, 23, pp. 419-439.
- Rawls, J (1988) "The Priority of the Right and Ideas of the Good", Philosophy and Public Affairs, 17.

6. Justice: Formal and Material Principles

- Barrett, Maxine (1988) "Standards and Foundations for Social Policy" in <u>Report of the Royal Commission on Social Policy</u> Volume 111, Part 1 Wellington, Government Printer.
- Barry, B. (2005) Social Justice Matters Cambridge, Polity Press.
- Beauchamp, T (ed.) (1975) Ethics and Public Policy New Jersey, Prentice-Hall, Part 2 (Criminal Punishment).
- Boston, J., Dalziel, P. and St John, S. (eds.) (1999) <u>Redesigning the Welfare State in New Zealand</u> Auckland, Oxford University Press, Ch. 2.
- Braybrooke, D. (1987) Meeting Needs Princeton, Princeton University Press.
- *Copp, D. (1992) "The Right to an Adequate Standard of Living: Justice, Autonomy, and Basic Needs", Social Philosophy and Policy, 9, pp. 231-261.
- Campbell, J (1988) <u>Justice</u> London, Macmillan.
- *Caney, S. (2001) "Review Article: International Distributive Justice", <u>Political Studies</u>, 49, pp. 974-997.

- Dobson, A. (ed) (1999) <u>Fairness and Futurity: Essays on Environmental Sustainability and Social Justice</u> Oxford, Oxford University Press.
- *Feinberg, J (1973) Social Philosophy New Jersey, Prentice-Hall, Ch. 7.
- *Galston, W. (1980) Justice and the Human Good Chicago, The University of Chicago Press, Ch. 5.
- Hurrell, A. and Woods, N. (eds) (1999) <u>Inequality, Globalization and World Politics</u> Oxford, Oxford University Press.
- Jacob, L. (2004) <u>Pursuing Equal Opportunities: The Theory and Practice of Egalitarian Justice</u> Cambridge, Cambridge University Press.
- Miller, D. (1976) Social Justice Oxford, Clarendon.
- *Miller, D. (1999) Principles of Social Justice Cambridge, Mass., Harvard University Press.
- Miller, D. (2003) Political Philosophy: A Very Short Introduction Oxford, Oxford University Press.
- Rachels, J. (ed.) (1975) Moral Problems New York, Harper & Row, Part 5 (Punishment)
- Raphael, D. D. (1976) Problems of Political Philosophy London, Macmillan, Ch. 7.
- Report of the Commission on Social Justice (1994) Social Justice London, Vintage.
- *Scheffler, S. (1976) "Natural Rights, Equality and the Minimal State", <u>Canadian Journal of Philosophy</u>, 6, pp. 59-76.
- Scott, J. et al., (2001) "Just Deserts: An Experimental Study of Distributive Justice Norms", <u>American Journal of Political Science</u>, 45, 4, pp. 749-767.
- NZ Church leaders (1993) "Social Justice Statement", Wellington.

Sub-topic: Rawls and his Critics

- Barry, B. (1973) The Liberal Theory of Justice Oxford, Oxford University Press.
- Barry, B. (1989) <u>Theories of Justice: A Treatise on Social Justice, Volume 1</u> Berkeley, University of California Press.
- de Crespigny, A. (1976) "F A Hayek: Freedom for Progress" in de Crespigny, A and Minogue, K (eds) Contemporary Political Philosophers London, Methuen and Co.
- *Gorovitz, S. (1975) "John Rawls: A Theory of Justice" in de Crespigny, A and Minogue, K (eds) <u>Contemporary Political Philosophers</u> London, Methuen, pp 272-290.
- Hayek, F. A. (1973,1976,1979) Law, Liberty and Legislation London, Routledge and Kegan Paul.
- Jones, P. (1995) "Review Article: Two Conceptions of Liberalism, Two Conceptions of Justice", British Journal of Political Science, 25, pp. 515-550.
- Lebacqz, K. (1986) Six Theories of Justice Minneapolis, Augsburg.

- Nozick, R. (1974) Anarchy, State & Utopia Oxford, Basil Blackwell.
- Nozick, R. (1989) The Examined Life: Philosophical Meditations New York: Simon & Schuster.
- Plant, R. (1985) "Welfare and the Value of Liberty", <u>Government and Opposition</u>, 20, Summer, pp 298-314.
- *Rawls, J. (1971) A Theory of Justice Oxford, Oxford University Press.
- Rawls, J. (1993) Political Liberalism New York, Columbia University Press.
- Rawls, J. (2001) Justice as Fairness: A Restatement Cambridge, Mass., Harvard University Press.
- Raphael, D. D. (1980) Justice and Liberty London, Athlone Press, Ch. 7.
- The Royal Commission on Social Policy (1988) <u>The Role of the State: Five Perspectives</u> Wellington, March.

7. Rights and Duties

- Boston, J. (1998) "The Code of Social and Family Responsibility', <u>Stimulus: The New Zealand Journal of Christian Thought and Practice</u>, 6, pp. 43-47.
- Dasgupta, P. (1986) "Positive Freedom, Markets and the Welfare State", Oxford Review of Economic Policy, 2, pp. 25-36.
- Davey, J. (2000) <u>Another New Zealand Experiment: A Code of Social and Family Responsibility</u> Wellington, Institute of Policy Studies.
- Dworkin, R. (1977) Taking Rights Seriously Cambridge, Harvard University Press.
- *Feinberg, J. (1973) Social Philosophy New Jersey, Prentice-Hall, Chs. 4-6.
- Kelman, S. (1986) "A Case for In-Kind Transfers", Economics and Philosophy, 2, pp. 55-73.
- King, D. and Waldron, J. (1988) "Citizenship, Social Citizenship and the Defence of Welfare Provision", British Journal of Political Science, 18, 4, pp. 415-443.
- Sen, A. (1984) Resources, Values and Development Oxford, Basil Blackwell, chs. 12-13.
- *Singer, P. (1979) "Rights and the Market", in T. Beauchamp and N. Bowie (eds) <u>Ethical Theory and</u> Business New Jersey, Prentice-Hall.
- von Tunzelmann, A. (1996) <u>Social Responsibility and the Company; A New Perspective on Governance, Strategy and the Community</u> Wellington, Institute of Policy Studies.
- Waldron, J (ed.) (1984) Theories of Rights Oxford, Oxford University Press.

8. Biculturalism, Multiculturalism, Citizenship and the Treaty of Waitangi

- Ahdar, R. (2003) "Indigenous Spiritual Concerns and the Secular State: Some New Zealand Developments", Oxford Journal of Legal Studies, 23, 4, pp. 611-637.
- Barry, B. (2001) <u>Culture and Equality: An Egalitarian Critique of Multiculturalism</u> Cambridge, MA, Harvard University Press.
- Brash, D. (2004) "Nationhood". An address to the Orewa Rotary Club, 27 January.
- Esman, M. (1992) "The State and Language Policy", <u>International Political Science Review</u>, 13, pp. 381-396.
- Durie, M. (1998) <u>Te Mana, Te Kawanatanga: The Politics of Maori Self-Determination</u> Auckland, Oxford University Press.
- *Durie, M. (2005) 'Race and Ethnicity in Public Policy: Does it Work?' <u>Social Policy Journal of New Zealand</u>, 24, pp. 1-11.
- Fabre, C. and Miller, D. (2003) "Justice and Culture: Rawls, Sen, Nussbaum and O'Neill", <u>Political Studies Review</u>, 1, pp. 4-17.
- Flera, A. and Elliott, J. (1992) <u>The Nations Within: Aboriginal-State Relations in Canada, the United States and New Zealand</u> Toronto, Oxford University Press.
- Held, D. and Kelly, P. (eds) (2002) Multiculturalism Reconsidered Cambridge, Polity Press.
- Kymlicka, W. (1989) Liberalism, Community and Culture Oxford, Oxford University Press.
- *Kymlicka, W. (1996) <u>Multicultural Citizenship: A Liberal Theory of Minority Rights</u> Oxford, Clarendon Press.
- Kymlicka, W. and Norman, W. (eds) (2000) <u>Citizenship in Diverse Societies</u> Oxford, Oxford University Press.
- Ladley, A. (2005) "The Treaty and Democratic Government", Policy Quarterly, 1, 1, pp. 20-27.
- Mulgan, R. (1989) Maori, Pakeha and Democracy Auckland, Oxford University Press.
- *Mulgan, R. (1989) "Should Indigenous Peoples Have Special Rights?" Orbis, Summer, pp. 375-388.
- Oddie, G. and Perrett, R. (eds) (1992) <u>Justice, Ethics and New Zealand Society</u> Auckland, Oxford University Press.
- *Quentin-Baxter, A. (ed.) (1998) <u>Recognising the Rights of Indigenous Peoples</u> Wellington, Institute of Policy Studies.
- Sharp, A. (1990) Justice and the Maori Auckland, Oxford University Press.
- White, N. and Ladley, A. (2005) 'Claims to Treaty and other Rights: Exploring the Terms of Crown-Maori Negotiation', Policy Quarterly, 1, 2, pp. 3-9.
- Wilson, M. and Yeatman, A. (eds.) (1995) <u>Justice and Identity: Antipodean Practices</u> Wellington, Bridget Williams Books.

Part II: Politics and Policy Analysis

9. Models of Policy Making

- Dror, Y (1984) "Muddling Through Science or Inertia?" <u>Public Administration Review</u>, 24, 3, pp. 153-157.
- *Gregory, R (1997) "Political Rationality or Incrementalism?" in M. Hill (ed.) <u>The Policy Process: A Reader</u> (2nd ed.) London, Prentice-Hall/Harvester Wheatsheaf.
- Gregory, R. (2004) 'Political Life and Intervention Logic: Relearning Old Lessons?', <u>International Public Management Journal</u>, 7, 3, pp. 299-315.
- Goodin, R E (1982) Political Theory and Public Policy Chicago, Chicago University Press, Chs. 2-3.
- Howlett, M and Ramesh, M (1995) <u>Studying Public Policy: Policy Cycles and Policy Subsystems</u> New York, Oxford University Press, Chs. 7-9.
- *Lindblom, C E (1959) "The Science of Muddling Through", <u>Public Administration Review</u>, 19, 2, pp. 79-88.
- *Lindblom, C. E. (1979) "Still Muddling, Not Yet Through", <u>Public Administration Review</u>, 39, 6, pp. 517-26.
- Parsons, W. <u>Public Policy: An Introduction to the Theory and Practice of Policy Analysis</u> Aldershot UK, Edward Elgar, 1995, Part 3.
- Premfors, R. (1981) "Review Article: Lindblom and Wildavsky", <u>British Journal of Political Science</u>, 11, pp. 201-226.
- Wildavsky, A. (1979) Speaking Truth to Power: The Art and Craft of Policy Analysis Boston, Little Brown & Co, Chs. 2 and 5.

10. Social Science and Social-Problem Solving

- Allison, G. T. (1971) <u>Essence of Decision: Explaining the Cuban Missile Crisis</u> Boston, Little Brown & Co, Chapters 1-2.
- Flyvbjerg, B. (2001) <u>Making Social Science Matter: Why Social Inquiry Fails and How it Can Succeed Again Cambridge Cambridge University Press.</u>
- *Lindblom, C. E. (1990) <u>Inquiry and Change: The Troubled Attempt to Understand and Shape Society</u> New Haven, Yale University Press
- Lindblom, C. E. and Cohen, D. K. (1979) <u>Usable Knowledge: Social Science and Social Problem Solving New Haven</u>, Yale University Press.
- Lindblom, C. E. and Woodhouse, E. J. (1993) <u>The Policy-Making Process</u> (3rd ed.) Englewood Cliffs, Prentice-Hall.
- Paris, D. C. and Reynolds, J. F. (1983) <u>The Logic of Policy Inquiry</u> New York, Longman, Chapters 4-5.

Sub-topic: Path Dependence

- Alexander, G. (2001) "Institutions, Path Dependence and Democratic Consolidation", <u>Journal of Theoretical Politics</u>, 13, 3, pp. 249-70.
- *Pierson, P. (2000) "Increasing Returns, Path Dependence, and the Study of Politics", <u>America Political Science Review</u>, 94, 2, pp. 251-267.
- Pierson, P. (2000) "The Limits of Design: Explaining Institutional Origins and Change", <u>Governance</u>, 13, 4, pp. 475-499.

11. Climate Change: Scientific, Economic, Political and Ethical Issues

Scientific Issues

- Barrett, P. (2006) "Will Unchecked Global Warming Destroy Civilisation by Century's End? What Three Degrees of Global Warming Really Means", <u>Policy Quarterly</u>, 2 (1), pp. 5-9.
- Challenor, P.G., Hankin, K.S. and Marsh, R. (2006) "Towards the Probability of Rapid Climate Change", in Schellnhuber, H.J., Cramer, W., Nakicenovic, N., Wigley, T. and Yohe, G. (eds.) (2006) Avoiding Dangerous Climate Change Cambridge, Cambridge University Press, pp. 55-63.
- Diamond, J. (2006) Collapse London, Penguin Books.
- Flannery, T. (2005) <u>The Weather Makers</u> Melbourne, Victoria, The Text Publishing Company.
- *Houghton, J. (2004) <u>Global Warming: The Complete Briefing Third Edition</u>, Cambridge, Cambridge University Press.
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Wright, R. (2004) A Short History of Progress Melbourne, Victoria, The Text Publishing Company.

Policy Issues

Congressional Budget Office (2003) <u>The Economics of Climate Change: A Primer Washington</u>, D.C., Congressional Budget Office.

Helm, D. (ed) (2005) Climate-change Policy Oxford, Oxford University Press.

House of Lords Select Committee on Economic Affairs (2005) "Government Response to the Economics of Climate Change", <u>3rd Report of Session 2005-06</u> London, The Stationery Office Ltd.

New Zealand Government (2005) <u>Review of New Zealand's Climate Change Policies</u> Wellington, November.

Pachauri, R. (2005) "Avoiding Dangerous Climate Change", in Schellnhuber, H.J., Cramer, W., Nakicenovic, N., Wigley, T. and Yohe, G. (eds.) (2006) <u>Avoiding Dangerous Climate Change</u> Cambridge, Cambridge University Press, pp. 3-5.

Schneider, S.H. et al. (eds) (2002) Climate Change Policy: A Survey Washington, Island Press.

Schneider, S.H. and Lane, J. (2006) "An Overview of 'Dangerous' Climate Change", in Schellnhuber, H.J., Cramer, W., Nakicenovic, N., Wigley, T. and Yohe, G. (eds.) (2006) <u>Avoiding Dangerous Climate Change</u> Cambridge, Cambridge University Press, pp. 7-23.

Stern, N. (2006) The Stern Review of the Economics of Climate Change (London, forthcoming).

Ward, M. (2006) "Is There Now a Role for Economic Instruments in New Zealand's Domestic Climate Change Policy?", <u>Policy Quarterly</u>, 2 (1), pp. 21-24.

*Watson, R.T. (ed.) (2001) Climate Change 2001: Synthesis Report, <u>A Report of the Intergovernmental Panel on Climate Change</u> Cambridge, Cambridge University Press.

Some relevant websites:

www.ipcc.ch
www.climateark.org
www.realclimate.org
www.vuw.ac.nz/sog/events/info-climate.aspx
www.climatechange.govt.nz/resources/reports/policy-review-05

12. 'The Third Way' & Conclusions

Chatterjee, S. et al., (1999) <u>The New Politics: A Third Way for New Zealand</u> Palmerston North, Dunmore Press.

*Giddens, A. (1998) The Third Way London, Polity Press.

Giddens, A. (2000) The Third Way and its Critics London, Polity Press.

Giddens, A. (ed.) (2001) The Global Third Way Debate London, Polity Press.

Scanlon, C. (2001) "A Step to the Left? Or Just a Jump to the Right? Making Sense of the Third Way on Government and Governance", Australian Journal of Political Science, 36, pp. 481-498.

Other reading material will be provided as required.

Assessment Requirements

The purpose of assessment is three-fold: to ensure that you have met the standard of work required of the course; to give you feedback on your performance to assist you with your future study; and to provide the teaching staff with feedback on the progress of the class. You will be assessed on the basis of your individual work.

Your grade in this paper will be based on your performance in the following:

- 1. Two short assignments of no more than 1,000 words (25% each), and
- 2. An essay of no more than 3000 words (50%).

Please post / hand-in ALL assignments to:

Francine McGee, School of Government, Victoria University of Wellington, Level 8 Reception, Rutherford House, 23 Lambton Quay, P.O. Box 600, Wellington.

Students should keep a copy of all submitted work.

1. **First Assignment** (1,000 words) Date due: Tuesday 19 September 2006

EITHER:

Identify the key values (or ethical principles) at stake in ONE of the following current policy issues:

- 1. the question of whether same-sex couples should be permitted to adopt children;
- 2. the question of whether cannabis use should be decriminalized;
- 3. the question of whether parents should be allowed to smack their children; and
- 4. the question of whether research on human stem cells should be permitted.

OR:

Utilitarianism may be robust philosophically, but is of little practical use in policy-making". Critically assess the validity of these claims.

OR:

"However well intentioned, designed and implemented, all policies are harmful in some identifiable and significant respect. Policy makers thus have no choice but to choose the lesser of two evils". Comment on the merits of these claims.

2. **Second Assignment** (1,000 words) Date due: Tuesday 31 October 2006

EITHER:

You are a policy adviser to Minister for State Services. She has recently called for a review of all government policies where particular groups, especially ethnic groups, receive (or appear to receive) preferential treatment. Prepare a briefing note for the Minister outlining the arguments for and against positive discrimination (preferential treatment/affirmative action/equity funding). What conclusions can you draw? Please refer to local examples and evidence wherever possible.

OR

You are a policy adviser to the Minister for the Environment. He is concerned that the interests of future generations are not properly protected via current policy-making processes and regulatory frameworks. Is he correct, and if so does it matter? Write a brief report to the Minister assessing his concerns.

OR:

You are a policy adviser to the Minister of Justice. He has asked for your advice on the whether active, voluntary euthanasia should be made legal. Write a brief report to the Minister setting out the key issues (i.e. ethical, medical, legal, etc.) that need to be explored in coming to a judgement on this matter.

OR:

You are a policy adviser to the Prime Minister. She has asked for your advice on how a democratic society should go about making decisions on highly controversial policy issues where parties are typically deeply divided, such as abortion, human cloning, genetic modification, sexual conduct and drugs policy. Write a brief report to the Minister outlining the relevant principles that should be taken into account in choosing between different decision rules. In so doing, assess the relative merits of at least TWO different decision-making processes (e.g. citizens' juries, referenda, 'free' votes by parliamentarians, normal parliamentary processes, etc.).

3. Essay (3000 words) Date due: Tuesday 27 November 2006

EITHER

The Families Commission is required under its Act "to encourage and facilitate the development and provision ... of policies designed to promote or serve the interests of families". At the same time, the Commission must have proper regard to the kinds, structures and diversity of families. With reference to the Commission's mandate and the debate over whether the state should be neutral with respect to different conceptions of 'the good life', discuss the "interests of families" and critically assess whether these interests can be properly promoted in a context where the state does not favour one family model over any other.

Critically assess the proposition that indigenous minorities do not need, are not entitled to, and should not be granted rights of a special character. Is the failure to recognize the rights of indigenous minorities a breach of cultural justice?

OR

The principle of equality of opportunity is one of the most widely recognized and supported principles of social justice. But what does it actually mean and how does it differ from other principles of equality (e.g. equality of resources or equality of outcome). Further, what are the policy implications, if any, of taking the principle of equality of opportunity seriously? Draw on current policy issues and examples to illustrate your answer.

OR

"In the last century thousands of social scientists trying to practice methods much like those of the natural sciences have swarmed over institutions and social processes to try and extract propositions hidden to the lay mind. For all that effort and for all its presumed usefulness, I cannot identify a single social science finding or idea that is undeniably indispensable to any social task or effort". C.E. Lindbolm (1990) <u>Inquiry and Change: The Troubled Attempt to Understand and Shape Society</u> (New Haven, Yale University Press), p. 136.

Critically assess Lindblom's claim with particular reference to the use of social science in policy analysis. Give examples to illustrate your arguments.

ANZSOG candidates taking this course as an elective should note that they take it for 24 points, and not 15 points. Accordingly, the learning outcomes to be achieved by ANZSOG candidates are wider and deeper than those expected for non-ANZSOG candidates. The content of those learning outcomes, and the means whereby they will be assessed, will be negotiated and confirmed with the course co-ordinator at the start of the course.

Penalties

The ability to plan for and meet deadlines is a core competency of both advanced study and public management. Failure to meet deadlines disrupts course planning and is unfair on students who do submit their work on time. It is expected therefore that you will complete and hand in assignments by the due date. Marks will be deducted at the rate of five for every working day by which the assignment is late (weekends and public holidays excluded) and no assignments will be accepted after five working days beyond the date they are due. For out of town students, two calendar days' grace is given to allow for time in the post. **Electronic versions of assignments may be submitted by email, but MUST be followed by a hard copy.**

If ill-health, family bereavement or other personal emergencies prevent you from meeting the deadline for submitting your assignments, you can apply for, and may be granted, an extension to the due date. **Note that this applies only to extreme unforeseen circumstances and is not necessarily awarded.** You should let your course co-ordinator/lecturer know as soon as possible in advance of the deadline if you are seeking an extension.

Mandatory Course Requirements

To fulfil the mandatory course requirements for this course, you are required to:

- 1. submit all assignments by the due date, unless you have been granted an extension; and
- 2. attend all contact sessions of the course. If you are unable to attend a session, you must inform the course co-ordinator as soon as possible and you may be required to submit a further item of assessment.

Communication of Additional Information

If additional information needs to be communicated to students in MAPP 523, this will occur in class and/or via notices on Blackboard.

Faculty of Commerce and Administration Offices

Railway West Wing (RWW) - FCA Student and Academic Services Office

The Faculty's Student and Academic Services Office is located on the ground and first floors of the Railway West Wing. The ground floor counter is the first point of contact for general enquiries and FCA forms. Student Administration Advisers are available to discuss course status and give further advice about FCA qualifications. To check for opening hours, call the Student and Academic Services Office on (04) 463-5376.

Easterfield (EA) - FCA/Education/Law Kelburn Office

The Kelburn Campus Office for the Faculties of Commerce and Administration, Education and Law is situated in the Easterfield Building - it includes the ground floor reception desk (EA 005) and offices 125a to 131 (level 1). The office is available for the following:

- Duty tutors for student contact and advice.
- Information concerning administrative and academic matters.
- Forms for FCA Student and Academic Services (e.g. application for academic transcripts, requests for degree audit, COP requests).
- Examinations-related information during the examination period.

To check for opening hours call the Student and Academic Services Office on (04) 463-5376.

General University Policies and Statutes

Students should familiarise themselves with the University's policies and statutes, particularly the Assessment Statute, the Personal Courses of Study Statute, the Statute on Student Conduct and any statutes relating to the particular qualifications being studied. See the Victoria University Calendar available in hard copy or under 'About Victoria' on the VUW home page at www.vuw.ac.nz.

Student and Staff Conduct

The Statute on Student Conduct together with the Policy on Staff Conduct ensure that members of the University community are able to work, learn, study and participate in the academic and social aspects of the University's life in an atmosphere of safety and respect. The Statute on Student Conduct contains information on what conduct is prohibited and what steps are to be taken if there is a complaint. For information about complaint procedures under the Statute on Student Conduct, contact the Facilitator and Disputes Advisor or refer to the statute on the VUW policy website at www.vuw.ac.nz/policy/studentconduct. The Policy on Staff Conduct can be found on the VUW website at www.vuw.ac.nz/policy/staffconduct.

Academic Grievances

If you have any academic problems with your course, you should talk to the tutor or lecturer concerned; class representatives may be able to help you in this. If you are not satisfied with the result of that meeting, see the Head of School or the relevant Associate Dean; VUWSA Education Co-ordinators are available to assist in this process. If, after trying the above channels, you are still unsatisfied, formal grievance procedures can be invoked. These are set out in the Academic Grievances Policy which is published on the VUW website at www.vuw.ac.nz/policy/academicgrievances.

Academic Integrity and Plagiarism

Academic integrity is about honesty – put simply, it means **no cheating**. All members of the University community are responsible for upholding academic integrity, which means staff and students are expected to behave honestly, fairly and with respect for others at all times.

Plagiarism is a form of cheating which undermines academic integrity. The University defines plagiarism as follows:

The presentation of the work of another person or other persons as if it were one's own, whether intended or not. This includes published or unpublished work, material on the Internet and the work of other student or staff.

It is still plagiarism even if you re-structure the material or present it in your own style or words.

Note: It is, however, perfectly acceptable to include the work of others as long as that is acknowledged by appropriate referencing.

Plagiarism is prohibited at Victoria and is not worth the risk. Any enrolled student found guilty of plagiarism will be subject to disciplinary procedures under the Statute on Student Conduct and may be penalised severely. Consequences of being found guilty of plagiarism can include:

- an oral or written warning
- cancellation of your mark for an assessment or a fail grade for the course
- suspension from the course or the University.

Find out more about plagiarism, and how to avoid it, on the University's website at www.vuw.ac.nz/home/studying/plagiarism.html.

Students with Impairments

The University has a policy of reasonable accommodation of the needs of students with disabilities. The policy aims to give students with disabilities the same opportunity as other students to demonstrate their abilities. If you have a disability, impairment or chronic medical condition (temporary, permanent or recurring) that may impact on your ability to participate, learn and/or achieve in lectures and tutorials or in meeting the course requirements, please contact the Course Co-ordinator as early in the course as possible. Alternatively, you may wish to approach a Student Adviser from Disability Support Services (DSS) to discuss your individual needs and the available options and support on a confidential basis. DSS are located on Level 1, Robert Stout Building, telephone (04) 463-6070, email disability@vuw.ac.nz. The name of your School's Disability Liaison Person is in the relevant prospectus or can be obtained from the School Office or DSS.

Student Support

Staff at Victoria want students to have positive learning experiences at the University. Each Faculty has a designated staff member who can either help you directly if your academic progress is causing you concern, or quickly put you in contact with someone who can. Assistance for specific groups is also available from the Kaiwawao Māori, Manaaki Pihipihinga or Victoria International.

In addition, the Student Services Group (email <u>student-services@vuw.ac.nz</u>) is available to provide a variety of support and services. Find out more at <u>www.vuw.ac.nz/st_services/</u>.

VUWSA employs Education Co-ordinators who deal with academic problems and provide support, advice and advocacy services, as well as organising class representatives and Faculty delegates. The Education Office (telephone (04) 463-6983 or (04) 463-6984, email education@vuwsa.org.nz) is located on the ground floor, Student Union Building.

<u>Manaaki Pihipihinga - Maori and Pacific Mentoring Programme (Faculty of Commerce and Administration)</u>

This is a mentoring service for Maori and Pacific students studying at all levels. Weekly one hour sessions are held at the Kelburn and Pipitea Campuses in the Mentoring Rooms, 14 Kelburn Parade, and Room 210 and 211, Level 2, Railway West Wing. Sessions cover drafting and discussing assignments, essay writing, and any questions that may arise from tutorials and/or lectures. A computer suite networked to Cyber Commons is available for student use.

To register with Manaaki Pihipihinga, please contact one of the following:

Puawai Wereta Room 210, Level 2 Railway West Wing Tel. (04) 463-8997

Email: puawai.wereta@vuw.ac.nz

Fa'afoi Seiuli Room 109 B 14 Kelburn Parade Tel. (04) 463-5842

Email: faafoi.seiuli@vuw.ac.nz