



Newsletter Chair in Regulatory Practice #12-#13 (April 2021 – September 2021)

Welcome from Professor Jeroen van der Heijden, Chair in Regulatory Practice

Welcome to the combined 12th and 13th quarterly Regulatory Practice Newsletter highlighting recent activities, research and news from the Chair in Regulatory Practice (April 2021 – September 2021).

The Chair is based at the [School of Government](#), in the [Victoria University of Wellington](#). It is part of the New Zealand [Government Regulatory Practice Initiative \(G-REG\)](#), a network of central and local government regulatory agencies established to lead and contribute to regulatory practice initiatives.

The Chair has been active behind the scenes over the last six months, but the work has been less visible in the public arena. The fruits of the work done earlier in the year have now become fully visible on the YouTube channel—but at the same time, unfortunately, it is becoming clear that the Covid-situation is affecting the Chair's initial work program.

Research

No news. The focus of the last six months has mainly been on the development and delivery of (online) education programs. (For those who keep a close eye on the newsletters: the systematic review on regulatory failure is still ongoing—[see the previous newsletter](#)).

Education

Regulatory Governance Training for Boards

We delivered the Regulatory Governance Training for Boards program to the first two cohorts in March and April. This is an initiative of the Regulatory Chairs' Group. This programme explores fundamental aspects of regulatory practice as they apply to modern regulatory and compliance organisations. The program's central aim is to increase the regulatory literacy of board members of regulatory agencies and others at the executive level. The program is a series of four online live sessions of two hours each, facilitated by Jeroen. Each session takes 90 minutes and is broken into 4 segments of 20 minutes each. Each segment addresses a critical element of regulatory and compliance history, concepts, and theories. They are pitched in a way relevant to the governance of regulatory and compliance organisations.

Postgraduate Certificate in Public Management

Unfortunately, in 2021, we cannot deliver the Post Graduate Certificate in Public Management (PGCPM) focusing on regulatory governance in practice ([see the previous newsletter](#)). A cohort of at least 24 people is required for the PGCM to go ahead. After extensive marketing through VUW and G-REG, we have not been able to attract this number from the New Zealand regulatory

workforce. We will continue marketing the PGCPM and hope to have a large enough cohort to deliver it in 2022.

The PGCPM is targeted at experienced public officials, particularly regulatory or policy practitioners in or aspiring to management in central or local government organisations. The PGCPM will expand their understanding of the theory and practice of public management and regulatory practice in the 21st Century in Aotearoa/New Zealand and open career development options. Participation leads to upgraded personal competencies and capacities as managers and the ambition, imagination and courage to improve practice in regulatory organisations. For more information, please get in touch with Dr Verna Smith, verna.smith@vuw.ac.nz

YouTube channel: The essence of regulation

Regulation and regulatory governance affect each and every aspect of our lives. From the day we're born to the day we die, we are subject to rules, regulations, monitoring, and enforcement by governments and others. Yet, we often take regulation for granted... until it stands in our way of leading a happy and fulfilling life. To capture the essence of regulation, Jeroen has launched a YouTube channel under that name (*The essence of regulation*). It holds 16 short and snappy videos (5 to 7 minutes each). Each video is a brief lecture and addresses a key theme from the regulatory literature. From here, Jeroen will continue adding videos (but at a slower pace) in 2022.

Students

Jeroen continues supervising the MSc thesis of Michael Howden and the PhD thesis of Geetanjali Sharma (the latter co-supervised with Dr Flavia Donadelli). Geetanjali has successfully completed her (formal) research design in September. Over the next two years, she will conduct research on the regulation of task shifting, a key healthcare workforce management strategy of enhancing practice and reorganising a health workforce via delegation or substitution. The Covid-19 crisis has made task-shifting even more relevant (in New Zealand and beyond), and we are looking forward to seeing this research develop further over the next two years. In addition, Jeroen has continued working with his PhD students Adriana Sanchez Gomes at the University of New South Wales (she has received very positive comments from the examiners) and Sayel Cortes Berrueta at Wageningen University.

Other news

On 25 May, Jeroen met with three representatives of the Chair's Advisory Board (MBIE, CAA and The Treasury), G-REG and VUW to discuss the progress of the Chair and to kick-off a discussion about the continuation of the Chair in Regulatory practice after the current funding expires (which will be in April 2023). More news will follow throughout 2022.

Outreach

Workshops and (online) seminars delivered:

- Workshop in the G-REG continuing education series: Risk Governance and Risk Based Regulation (28 April 2021, WLG)
- Workshop for MPI: Regulatory stewardship: Suboptimal parts for an optimal whole? (18 May, WLG)
- Online seminar for Taituarā: Behavioural Insights and Regulatory Practice (20 May)

- Online seminar for ANZOG/NRCop: Regulatory stewardship: why and how the Kiwis manage regulation as an asset (26 May)
- Workshop in the G-REG continuing education series: Risk Governance and Risk Based Regulation (2 June 2021, AKL)
- Online seminar for VUW: [Governing sustainable cities: From coercion to persuasion to embracing diversity?](#) (23 June)
- Online seminar for ANZOG: Ten Global Trends in Regulation (2 July)
- Online seminar for the Behavioural Insights Teams (BIT) Australia and University of Technology Sydney (UTS): Making markets better: A behavioural perspective (13 July)
- Online seminar for the Australian Department of Prime Minister & Cabinet: The good, the bad, and the ugly of regulation in the 21st Century (20 August 2021)

Upcoming workshops in the G-REG continuing education series:

- Systems thinking in theory and practice (22 November 2021, WLG; 29 November 2021, AKL)
- Responsive Regulation in theory and practice (23 November 2021, WLG; 30 November 2021, AKL)
- Behavioural insights informed regulation ('nudging') in theory and practice (24 November 2021, WLG; 1 December 2021, AKL)
- Risk regulation in theory and practice (25 November 2021, WLG; 2 December 2021, AKL)
- Regulatory stewardship: What can we learn from elsewhere? (26 November 2021, WLG; 3 December 2021, AKL)

Publications/output

- *Academic*
 - Jeroen van der Heijden (2021) "Exploring the implementation of urban climate governance alternatives in three major US cities", *Policy & Society*, 40(1), 116-135.
 - Jeroen van der Heijden and Seung-Hun Hong (2021) "Urban climate governance experimentation in Seoul", *Urban Affair Review*, 57(4), 1115-1148.
 - Jeroen van der Heijden, et.al. (2021) "Have Policy Process Scholars Embraced Causal Mechanisms? A Review of Five Popular Frameworks.", *Public Policy and Administration*, 36(2), 163-186.
 - Jeroen van der Heijden (2021) "Regulation as Public Service, Public Servants as Regulators." IN: Sullivan, H. and Dickson, H. (eds), *Palgrave Handbook of the Public Servant*. New York: Palgrave Macmillan, 704-720.
 - Jeroen van der Heijden and Graeme Hodge (2021) "Ten global trends in regulation: A future outlook." IN: Sullivan, H. and Dickson, H. (eds), *Palgrave Handbook of the Public Servant*. New York: Palgrave Macmillan, 741-759.
 - Jeroen van der Heijden (2021) "[Sustainable and Climate Resilient Cities? Stop Experimenting, Start Scaling](#)", *Buildings & Cities*. 21 September.
- *Blogs*
 - 1 April 2021: [The politics of regulation: Four dominant perspectives](#)
 - 8 April 2021: [Politically contentious issues in regulation](#)
 - 29 June 2021: [The insidious regulatory challenges of the 21st Century](#)
 - 19 July 2021: [Brief book review – Algorithmic Regulation](#)
 - 14 September: [Brief book review – Cambridge Handbook of Compliance](#)