

# Meeting of Council, Public - Order Paper and Documents (21/03/2022)

## Public papers

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MEETING OF  
COUNCIL

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ORDER PAPER AND DOCUMENTS

- PUBLIC -

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MONDAY, 21 MARCH 2022  
COUNCIL CHAMBER, LEVEL 2,  
HUNTER BUILDING AND  
VIA ZOOM VIDEOCONFERENCE

## COUNCIL

Order paper for the meeting to be held in the  
Council Chamber, Level 2, Hunter Building, Kelburn Campus and/or  
via Zoom videoconference  
**at 10.00 am on Monday, 21 March 2022**

### PUBLIC BUSINESS

10.00 am	Whakatau to welcome Mr David McLean		<i>DVC Māori</i>
10.05 am	<b>Karakia</b>		<i>Chancellor</i>
	1. Welcome and Apologies		<i>Chancellor</i>
	2. Disclosure of Interests		<i>Chancellor</i>
10.10 am	3. Council Minutes and Matters Arising from the meeting held on 14 February 2022		<i>Chancellor</i>
	<b>Items for Noting</b>		
10.15 am	4. Report from the Chancellor	VUWC 22/19	<i>Chancellor</i>
10.30 am	5. Report from the Acting Vice-Chancellor	VUWC 22/20	<i>Acting VC</i>
10.40 am	6. Financial report to 28 February 2022	VUWC 22/21	<i>CFO</i>
10.45 am	7. Committee reports		
	<ul style="list-style-type: none"> <li>• Te Aka Matua Committee, 28 February 2022</li> <li>• Audit &amp; Risk Committee, 7 March 2022</li> <li>• Finance Committee, 7 March 2022</li> </ul>		<i>Committee Chairs</i>
10.50 am	8. Media and Social Media report	VUWC 22/22	<i>Vice-Chancellor</i>
	9. Forthcoming Events and next Council meeting	VUWC 22/23	<i>Chancellor</i>
	<b>Items for Approval</b>		
10.55 am	10. Conferment of Qualifications	VUWC 22/24	<i>Chancellor</i>
	11. Appointment of Council member	VUWC 22/25	<i>Chancellor</i>
	12. Committee appointments	VUWC 22/26	<i>Chancellor</i>
11.00 am	13. 2021 Annual Report	VUWC 22/27	<i>CFO</i>
11.15 am	14. <b>Speaker</b> Mr Ralph Zambrano - VUWSA President	VUWC 22/28	<i>VUWSA President</i>
11.45 am	15. Resolution to Exclude the Public	VUWC 22/29	<i>Chancellor</i>
	<b>Governing Documents</b>		
Appendix	Council Manual		
	Te Tiriti o Waitangi Statute		
	Standing Orders/Code of Conduct		
	Committee Terms of Reference		

**Karakia**

## **Agenda Item 1**

### **Welcome/Acknowledgements/Apologies**

- To:**
- a) Welcome
  - b) Acknowledge
  - c) Note any apologies

**To resolve:**                   **that the apologies be sustained.**

## Agenda Item 2

### Disclosure of Interests

**To receive:** any declarations and disclosures of interest by members of Council.

**To note:**

- 1 that the Disclosures of Interest register is attached.
- 2 that the register is always available on the resource centre of board books.

**To consider:**

- 1 **declarations and conflicts of interest by Council Members.**
- 2 **whether a conflict of interest exists or is perceived to exist and, if so, how the matter should be managed.**

NAME	ENTITY	POSITION	START DATE (or entry date)	END DATE	UPDATED
<b>John Allen</b>	Be. Accessible Charitable Trust	Chair	June 2012		
	WellingtonNZ	Chief Executive	1 June 2020		
	Koi Tu, Centre for Informed Futures at Auckland University	Board Member	2 May 2020		
	Regional Skills Committee – Ministerial appointee	Member	July 2020		
	Wellington Uni-Professional	Director	August 2020		
	Creative HQ (Holding Co Wellington Regional Economic Development Agency Limited)	Director	June 2020		
	Related party: son undertaking postgraduate study at the University during 2022				
<b>Richard Arnold</b>	Nil				
<b>Brigitte Bönisch-Brednich</b>	Nil				
<b>Jaistone Finau</b>	Trusthouse Foundation Porirua Regional Advisory Committee	Member	8/6/21		
	Taura Pasifika	National President	1/1/21		
<b>Tiana Jakicevich</b>	Ngā Rangahautira	Academic	1/2/19		
	Te Ara Whatu	Officer/Faculty Rep			
	Greenpeace Voting Assembly	Collective member	1/1/19		
	New Zealand Climate Action Network	Member	17/5/21		
		Board Member	28/1/22		
<b>Alan Judge</b>	Aquatx Holdings Ltd	Chair/Shareholder	24/12/2008		
	Biotelliga Holdings Ltd	Shareholder	13/7/2017		
	The Dame Malvina Major Foundation	Trustee	28/3/2011		
	Habit Group Holdings Limited	Chair	31/12/18		
	Victoria University of Wellington Foundation Board of Trustees	Trustees	15/2/21		
	Maxwell Fernie Trust	Trustee	21/3/21		

NAME	ENTITY	POSITION	START DATE (or entry date)	END DATE	UPDATED
<b>Dianne McCarthy</b>	NZ Institute of Economic Research	Deputy Chair	2019		
	Malaghan Institute of Medical Research	Trustee	2015		
	Hearing Research Foundation	Trustee	1995		
	Dodd Walls centre for Photonic and Quantum Technologies	Member Gov. Group	2015		
	Bragato Research Institute	Director	2019		
	Royal Society of NZ Endowment Fund Trust	Trustee	2019		
<b>David McLean</b>	Westpac NZ Ltd	Ex-CEO	2014		
	Bibi McLean Trustee Limited	Director	2019		
	Ian McLean Consultancy Services Limited	Shareholder	1999		
	NACEW	Member	2019		
	KiwiRail Holdings Ltd	Chair	1.10.22		
	NZ Railways Corporation	Chair	1.10.22		
<b>Cath Nesus</b>	Nesus & Associates	Director	Feb 2004		
<b>Maryan Street</b>	KiwiRail	Group Manager, Employee Relations	20/4/15	29/4/22	
<b>James Te Puni</b>	Whitireia Foundation	Board Member/ Trustee	Aug 2019		
	Barnados NZ	Board Chairman	Nov 2015		
	Te Ahuru Mowai*	Kaiwhakahaere Matua/CEO	Mar 2020		
	<i>*Te Ahuru Mowai partnered with Victoria University of Wellington in a submission for the Māori and Public Housing Renewable Energy fund in March 2021.</i>			March 2021	
	<i>Update - Te Ahuru Mowai and Te Herenga Waka were successful in a joint bid to the Māori Housing Renewable Energy Fund administered under MBIE.</i>			Nov 2021	
Waikanae Christian Holiday Park	Trustee		March 2021		

NAME	ENTITY	POSITION	START DATE (or entry date)	END DATE	UPDATED
<b>James Te Puni cont.</b>	Titahi Ltd	Director and Shareholder	March 2021		
	Switched On Group	Director	Nov 2021		
	Waiuta Residential Limited (Residential Property rental)	Director and Shareholder	Jul 201		
	Titahi Asset Holdings Ltd (Commercial Property rental)	Director and Shareholder	Apr 2021		
<b>Jennifer Windsor</b>	NZ Contemporary China Research Centre	Member of Advisory board	2015*		
	Victoria University of Wellington Confucius Institute	Member of Advisory Board	2015*		
	Adam Art Gallery Collection Funding Trust	Trustee	2015		
	Adam Art Gallery Collection Trust	Trustee	2015		
	Adam Art Gallery Advisory Board	Acting Chair	2020		
	*Acting PVC HSSE Sarah Leggott will hold the role whilst Professor Windsor is Acting				

**Agenda Item 3**

**Council Minutes, Meeting held on 14 February 2022  
Any Matters Arising not covered by agenda items**

**To receive:** the public Minutes of the Meeting of Council held on 14 February 2022.

**To resolve:** that the Minutes of the Meeting of Council held on 14 February 2022 be approved.



## COUNCIL

**Minutes of the Council Meeting held on Monday, 14 February 2022  
in the Council Chamber, Level 2, Hunter Building and via Zoom Videoconference  
from 9.00 am to 1.15 pm**

**PRESENT:** Mr John Allen (Chancellor)  
Professor Richard Arnold  
Professor Brigitte Bönisch-Brednich  
Mr Jaistone Finau  
Professor Grant Guilford (Vice-Chancellor)  
Ms Tiana Jakicevich *via Zoom*  
Mr Alan Judge  
Dr Dianne McCarthy (Pro Chancellor)  
Ms Cath Nesus  
Mr Neil Paviour-Smith  
Hon Maryan Street  
Mr James Te Puni  
Ms Caroline Ward (Secretary to Council)

**APOLOGIES:** Nil

**IN ATTENDANCE:** Professor Rawinia Higgins, Deputy Vice-Chancellor, Māori  
Ms Katherine Edmond, Director, Communications  
Mr Simon Johnson, General Counsel  
Professor Wendy Larner, Provost  
Dame Winnie Laban, Assistant Vice-Chancellor, Pasifika  
Mr Mark Loveard, Chief Operating Officer  
Mr Blair McRae, Deputy Vice-Chancellor, Engagement  
Mr Les Montgomery, Chief Financial Officer  
Ms Teneya Nicol, Pasifika Engagement Adviser  
Mr Phil O'Connell, Director Safety, Health and Wellbeing  
Ms Cathy Powley, Director, Future Students  
Mr Nigel Riley, Director, Marketing  
Mr Tu Temera, Marae Operational Manager  
Professor Jennifer Windsor, Pro Vice-Chancellor FHSS and Dean Education  
  
Dr Mele Tupou-Vaitohi, Pasifika Research Fellow  
Fa'amatua'inu Aaron Nonoa, Manager Pasifika Student Success  
Dr Zaramasina Clark, Lecturer  
Ms Edwina Harris, President, Pasifika Students' Council  
Ms Fabie Filo, Pasifika Engagement Adviser

**22.01**

**WHAKATAU**

**Noted:** that Mr Tu Temara led the Whakatau to formally welcome new Council members Hon Maryan Street, Professor Richard Arnold and Mr Jaistone Finau to Te Herenga Waka. He acknowledged outgoing former Chancellor, Mr Neil Paviour-Smith, retiring Vice-Chancellor Professor Grant Guilford and new Chancellor, John Allen.

**Noted:** that the Deputy Vice-Chancellor, Māori, Professor Rawinia Higgins presented Mr Paviour-Smith and Professor Guilford with taonga uncovered during demolition in preparation for the Living Pā acknowledging that this was a fitting gift and recognises their support of the Living Pā.

## 22.02 PASIFIKA WELCOME FOR JAISTONE FINAU PRESENTATION OF KIE TONGA TO THE CHANCELLOR

- Noted:**
- 1 that Associate Professor Hon. Luamanuvao Dame Winnie Laban, (Assistant Vice-Chancellor (Pasifika) paid tribute to Mr Jaistone Finau, elected student representative and the University's first Pasifika member of Council in its 125 year history.
  - 2 that Dame Winnie presented a Kie Tonga mat to Chancellor John Allen—a gift from the Tonga Leaders Council to mark a donation of \$37,410 from the University community to help Tonga recover from the effects of the devastating volcano and tsunami in January. The donation was presented at a special event last week to Lord Fakafanua, Speaker of Tonga's parliament, who received it on behalf of the Tonga Leaders Council.

## 22.03 WELCOME / ACKNOWLEDGEMENTS / APOLOGIES

- Noted:**
- 1 that the Chancellor led the Karakia.
  - 2 that the Chancellor thanked Mr Temara for the Whakatau and Dame Winnie for the Pasifika tribute for Mr Finau. He recorded his appreciation for the Kie Tonga. He welcomed all Council members to their first formal meeting of 2022 and particularly acknowledged new Council members at their first meeting of Council, Professor Richard Arnold and Mr Jaistone Finau.
  - 3 that the Chancellor thanked outgoing former Chancellor Mr Paviour-Smith for his 9 years of service on the University Council bringing integrity, wisdom and sound judgement as he worked closely with Professor Guilford who will give a farewell address later in the meeting (see Minute 22.08). When the country moves from 'red' to orange or green under the Covid-19 protection framework, farewell events will be held for Mr Paviour-Smith and Professor Guilford.
  - 4 that as the meeting was livestreamed under the requirements of the ongoing epidemic notice the Chancellor warmly welcomed those who may be watching via this medium.
  - 5 that since the last Council meeting confirmation had been received from the Minister of Education that Mr David McLean had been appointed to the vacancy created by Mr Paviour-Smith at the conclusion of his final term on Council as at 28 February 2022. Mr McLean will take up this appointment on 1 March 2022 for an initial term of four years.
  - 6 that the meeting was held under 'red' under the government's Covid-19 protection system framework which limits gatherings to under 100 and requires spacing, scanning and vaccination for people to attend. All requirements had been met in order to hold the meeting under these protocols.

- 7 that due to travel disruption as a result of Cyclone Dovi over the weekend Ms Jakicevich had not been able to reach Wellington and was attending via Zoom.
- 8 that Mr Allen noted his appreciation of the real honour taking over the Chancellorship from Mr Paviour-Smith represents.
- 9 that there were no apologies.

#### 22.04 DECLARATIONS AND DISCLOSURE OF INTERESTS

**Received:** declarations and disclosure of interests by members of Council.

- Noted:**
- 1 that declarations of Disclosures of Interest had been received from Professor Arnold and Mr Finau and the register updated accordingly.
- 2 that there were no further disclosures relating to items on the agenda.

#### 22.05 COUNCIL MINUTES, MEETING HELD ON 6 DECEMBER 2021

**Received:** the public Minutes of the meeting of Council held on 6 December 2021.

**Resolved:** that the public Minutes of the meeting of Council held on 6 December 2021 be approved.

**Paviour-Smith/Judge  
Carried  
220001**

#### 22.06 REPORT FROM THE CHANCELLOR

**Received:** a Council paper from Mr John Allen dated 8 February 2022 providing his first report as Chancellor (document VUWC 22/01).

- Noted:**
- 1 that the report was taken as read.
- 2 that Council's Workshop hui held at the Wharewaka on 1 February had considered strategic context and issues. A good degree of alignment and common approach was apparent with discussion on the type of institution we want Te Herenga Waka – Victoria University of Wellington to be. A world-class Capital City University with our Marae at our heart which is shaping the policies, direction and future of Aotearoa New Zealand through research and by educating and giving voice to a future generation of leaders.
- 3 that Council looked forward to increased engagement with University communities and the City.

**Resolved:** that the Chancellor's report be noted.

**McCarthy/Te Puni  
Carried  
220002**

#### 22.07 REPORT FROM THE VICE-CHANCELLOR

**Received:** a report from the Vice-Chancellor dated 25 November 2021 (document VUWC 22/02).

- Noted:**
- 1 that the report was taken as read.

- 2 that the appointment of Ms Leanne Gibson to the role of Chief Information Officer was highlighted. Council recorded a vote of thanks to outgoing and long-serving Director of Digital Solutions, Mr Stuart Haselden.
- 3 that the resignation of Ms Vanessa Pye Director, Titoko, Centre for Student Services was received with sadness. Council acknowledged her excellent work on the Student Success Programme to bring it through some extremely difficult stages.
- 4 that the ongoing support of the GAMA Foundation, a charitable trust run by Grant and Marilyn Nelson, was acknowledged with their long-standing support of the University, especially in important public policy matters.
- 5 that Professor Beverly Lawton of the Wellington Faculty of Health had secured a 2021 Health Delivery Research Project Grant of \$1.38 million for her work, Hapu Whanau to improve health outcomes by implementing iwi-owned service hubs.
- 6 that Professor Rawinia Higgins' work to fund eight proposals through the Mātauranga Māori Research Fund to a total value of \$233,800 was highlighted. The Fund aims to develop capacity in mātauranga Māori and kaupapa Māori research as well as strengthen research-based relationships with Māori.
- 7 that Professor Margaret Hyland's appointment to the NIWA board and the alignment with the CRI sector this affords was recognised.
- 8 that the success of the Faculty of Humanities and Social Sciences in their first trimester of transnational teaching was acknowledged.
- 9 that Hunter Fellowships were presented in a small ceremony late December 2021 to Mr Craig Stevens, Mr Leo Lonergan and Mr Brent Manning in recognition of their long and diligent service on the Foundation Board of Trustees.
- 10 that staff contributions to important societal issues via Newsroom and The Conversation were increasingly important in a social media world.
- Action:*
- 11 that the report by internship student Rouxi Hu, following a Wellington University International sponsored project to understand preferences and recommendations of international and domestic students from diverse backgrounds on implementing the Student Engagement Framework, was requested.

**Resolved:** that the report from the Vice-Chancellor be noted.

Street/McCarthy  
Carried  
220003

22.08

### FAREWELL ADDRESS FROM PROFESSOR GRANT GUILFORD, VICE-CHANCELLOR

**Received:**

1 a farewell address from Professor Grant Guilford as he retires from the University on 4 March 2022 after 8 years of service.

2 a response from Mr Neil Paviour-Smith, Council member since June 2013 and former Chancellor (2018-2021).

**Noted:**

1 that the outgoing Vice-Chancellor highlighted a number of changes and progressions during his eight years at Te Herenga Waka including a strong focus on embedding and living our values as a University and a consolidation of the University's identity as a global-civic university with our marae at its heart.

2 that academic engagement with the issues of our time was now a third pillar of the University alongside learning & teaching, and research. Other achievements included the University's outstanding research excellence

and its success in the PBRF, although the University has not yet achieved a global reputation that matches its research excellence. He also commented on growth in student numbers, particularly Māori and Pasifika, the wide range of partnerships that have been formed and are thriving and a continuing focus on equity, diversity and inclusion. Professor Guilford concluded by saying he is confident Te Herenga Waka will continue to thrive and its city and region will continue to benefit from the contributions of its outstanding staff and students.

3 that former Chancellor Neil Paviour-Smith paid tribute to the Vice-Chancellor on behalf of the Council, acknowledging not only his retirement as VC but also his long and distinguished career in veterinary science and academia. He noted the campus developments that have taken place under Professor Guilford's leadership, significant achievements in research including PBRF, efforts to enrol and retain more Māori students and the updating of the University's Te Tiriti o Waitangi Statute, the increased focus on health and safety, wellbeing and sustainability initiatives and a strengthened identity as Te Herenga Waka. He acknowledged Professor Guilford's aspiration for the University to lead in providing an outstanding student experience and the initiatives to deliver on this and concluded by noting that Professor Guilford leaves the University in a sound financial position.

4 that Council recorded a formal vote of thanks to Professor Guilford for his contributions to the University and advised that Council would be delivering him a kauri tree to plant in one of his native forest restoration projects as a thank you gift.

5 that once Wellington moves out of the 'red' setting, it is intended that events will be held to farewell Professor Guilford and Mr Paviour-Smith.

6 that Professor Guilford's full address is attached as Appendix 1 and the recording of the public session of this meeting will be kept in perpetuity for future historians.

**Resolved:**

1 **that the farewell address from Professor Guilford and the response from Mr Paviour-Smith be noted.**

Allen/McCarthy  
Carried  
220004

2 **that the Council recorded a formal vote of thanks to Professor Grant Guilford.**

Allen/McCarthy  
Carried  
220005

*that the agenda was re-ordered to bring forward Dame Winnie's presentation*

**22.09**

**PASIFIKA AT TE HERENGA WAKA – VICTORIA  
UNIVERSITY OF WELLINGTON**

**SPEAKER – ASSOCIATE PROFESSOR HON LUAMANUVAO  
DAME WINNIE LABAN – ASSISTANT VICE-CHANCELLOR,  
PASIFIKA**

**Received:**

a presentation from Associate Professor Hon. Luamanuvao Dame Winnie Laban – Assistant Vice-Chancellor (Pasifika) on Pasifika at Te Herenga Waka – Victoria University of Wellington (document VUWC 22/18).

- Noted:**
- 1 that the goal of the Pasifika team is to ensure student and staff involvement and visibility in all aspects of University life. Pasifika student numbers are increasing, retention rates are better, and work is continuing to encourage more Pasifika students into postgraduate study.
  - 2 that there is a discrepancy in Pasifika student achievement rates of University Entrance, compared to other groups, and young Pasifika females are consistently achieving at higher levels than their male counterparts.
  - 3 that 46.1% of Pasifika people in New Zealand are less than 20 years old and 70% of Pasifika school children attend low decile schools.  
that there was a need to encourage more Pasifika students into STEM courses.
  - 4 that the Fale malae project proposed for the Frank Kitts park former car park was detailed.
  - 5 that Dame Winnie was invited to put ideas forward on how the Council can assist. She asked that Pasifika achievement be written into the KPIs of the Senior Leadership team, in addition to the Vice-Chancellor and Provost and suggested that a Pasifika dashboard to monitor and track Pasifika students be created.  
*Action:*
  - 6 that Council set down on its workplan a regular update on Pasifika matters.

**Resolved:** that the presentation from Associate Professor Hon. Luamanuvao Dame Winnie Laban be noted.

**Finau/Te Puni  
Carried  
220006**

*the meeting adjourned at 10.35 am  
the meeting reconvened at 11.05 am*

## **22.10 FINANCIAL REPORT TO 31 DECEMBER 2021**

**Received:** the Financial report to 31 December 2021 (document VUWC 22/03).

- 1 that the report was taken as read.
- 2 that the difficulties of budgeting during a pandemic which is now approaching its third year were acknowledged. Council acknowledged the acute stress caused by working within tight financial constraints over the past year.
- 3 that the result for 2021 was respectable in light of all of the difficulties.

**Resolved:** that the Financial report to 31 December 2021 be noted.

**Judge/McCarthy  
Carried  
220007**

## **22.11 MEDIA AND SOCIAL MEDIA REPORT**

**Received:** a Council paper from the Vice-Chancellor dated 8 February 2022 providing a Media and Social media report (document VUWC 22/04).

**Noted:** 1 that the report was taken as read.

- 2 that the University's support of staff making comments in the media in an unfettered manner was acknowledged and appreciated. This support was always in place as long as the University's values were upheld.
- 3 that the University's partnership with the Dominion Post was highlighted in particular activity around promotion of the University's 125<sup>th</sup> anniversary activities.

**Resolved:** that the Media and Social Media report be noted.

**Arnold/Te Puni  
Carried  
220008**

## **22.12 2022 NEW YEAR HONOURS**

**Received:** a Council paper from the Secretary to Council dated 8 February 2022 regarding the 2022 New Year Honours (document VUWC 22/05).

**Noted:** that the report was taken as read and the success of graduates and friends acknowledged.

**Resolved:** that Council conveys its congratulations to all Te Herenga Waka – Victoria University of Wellington graduates, friends and stakeholders honoured in the 2022 New Year Honours.

**Allen/Street  
Carried  
220009**

## **22.13 FORTHCOMING EVENTS AND NEXT COUNCIL MEETING**

**Received:** a Council paper from the Secretary to Council dated 8 February 2022 listing Forthcoming Events (document VUWC 22/05).

**Noted:**

- 1 that the Council paper was taken as read.
- 2 that all events are under review due to the ongoing COVID-19 pandemic. The events team is keeping up with government advice as the country adapts to the new traffic light system and Covid-protection framework.
- 3 that the next meeting of Council will take place on Monday, 21 March 2022 at 9.00am in the Council Chamber, Level 2, Hunter Building, Kelburn Campus, Wellington and/or via Zoom.

**Resolved:** that the Council paper on Forthcoming Events and the date and details of the next Council meeting on Monday, 21 March 2022 be noted.

**Nesus/Paviour-Smith  
Carried  
220010**

## **22.14 ENTRIES IN THE SEAL REGISTER**

**Received:** a Council paper from the Secretary to Council dated 3 February 2022 regarding Entries in the Seal Register (document VUWC 22/07).

**Noted:**

- 1 that the Council paper was taken as read.
- 2 that there have been six entries in the Seal Register during the period 24 October 2018 to 14 February 2022 covering the renewal of the Vice-Chancellor's contract and banking documentation.

- 3 that the Seal Register is held in the Secretary to Council's office.

**Resolved:** that the Council paper on Entries in the Seal Register be noted.  
Guilford/Judge  
Carried  
220011

## 22.15 CONFERMENT OF QUALIFICATIONS

**Received:** the list of degrees, diplomas and certificates granted on 14 February 2022 (document VUWC 22/08).

**Noted:** that former student member of Council during 2020 and 2021, Ms Rhianna Morar, graduated today with a BA and LLB with first class honours. Council recorded a vote of congratulations to Ms Morar on this high degree of achievement.

**Resolved:** 1 that Council grants the degrees, diplomas and certificates in this University on the persons listed in document VUWC 22/08 and that their names be entered on the Roll of Graduates on 22 February 2022.  
2 that a motion of congratulations to the Graduates be recorded.

Jakicevich/Finau  
Carried  
220012

## 22.16 PEOPLE AND CULTURE COMMITTEE TERMS OF REFERENCE

**Received:** the proposed Terms of Reference for the People and Culture Committee from the Chancellor dated 8 February 2022 (VUWC 22/09).

**Noted:** 1 that the proposed People and Culture Committee Terms Reference build on and extend the Terms of Reference for the Human Resources Committee and if approved will replace the Human Resources Committee.  
2 that the Human Resources Committee considered the proposed Terms of Reference in detail at its meeting held on 3 February 2022 and this recommendation was unanimously supported by Council members.

**Resolved:** on the recommendation of the Human Resources Committee:  
  
the Terms of Reference for the People and Culture Committee, to replace the Terms of Reference for the Human Resources Committee, be approved.

Allen/ Bönisch-Brednich  
Carried  
220013

## 22.17 APPOINTMENT OF FOUNDATION TRUSTEES

**Received:** a Council paper from the Chancellor dated 26 January 2022 regarding the appointment of Foundation Trustees (document VUWC 22/10).

- Resolved:**
- 1 that Mr Alan Judge be re-appointed as a Trustee of the Victoria University of Wellington Foundation under rule 2.2 of the First Schedule to the Trust Deed for a term of office of one year from 1 January 2022 to 31 December 2022.
  - 2 that Mr Ralph Zambrano, having been nominated by the Victoria University of Wellington Students' Association, be appointed as a Trustee of the Victoria University of Wellington Foundation under rule 2.3 of the First Schedule to the Trust Deed for a term of office of one year from 1 January 2022 to 31 December 2022.

Nesus/Jakicevich  
Carried  
220014

**22.18 RESOLUTION CONCERNING THE EXCLUSION OF THE PUBLIC**

**Received:** a recommendation that certain items be taken with the public excluded (document VUWC 22/11).

**Resolved:**

- 1 that the public be excluded from the following parts of the proceedings of this meeting, namely agenda items 16-24. The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under the sections of the OIA identified below.	Ground(s) under section 48(1) for the passing of this resolution
16. Minutes of the meeting held on 6 December 2021	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
17. Safety, Health & Wellbeing report	s 9(2)(a) and s 9(2)(b)(ii)	LGOIMA s48(1)(a)(ii)
18. Chancellor's report	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
19. Vice-Chancellor's report	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
20. Student marketing and recruitment update	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
21. Financial report to 31 December 2021	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
22. Rutherford House roof repairs	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)

23. Appeal Committee hearings	s 9(2)(a)	LGOIMA, s48(1)(a)(ii)
24. Council only time	s 9(2)(a)	LGOIMA, s48(1)(a)(ii)

This resolution is made in reliance on section 48(1)(a) of the Local Government Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 or section 9 of the Official Information Act 1982, as the case may require, which would be prejudiced by holding of the whole or the relevant part of the proceedings of the meeting in public are set out above.

- 2 that such members of the senior leadership as the Chancellor and the Vice-Chancellor request be permitted to remain at this meeting, after the public has been excluded, with the exception of agenda item 20, because of their knowledge of the relevant matters identified above which will be of assistance in relation to those matters.

Allen/Judge  
Carried  
220015

#### **Agenda Item 4**

### **Report from the Chancellor**

**To receive:** a Council paper from the Chancellor, Mr John Allen, dated 14 March 2022 (document VUWC 22/19).

**To resolve:** **that the Chancellor's report be noted.**



## COUNCIL PAPER

TO	The University Council
FROM	John Allen, Chancellor
DATE	14 March 2022
SUBJECT	<b>Chancellor's report to 21 March 2022 Council meeting</b>
DOCUMENT #	VUWC 22/19

Kia ora tatou,

In my second report as Chancellor, I would like to warmly welcome Professor Jennifer Windsor as she commences in the role of Acting Vice-Chancellor. During the handover period from former Vice-Chancellor Professor Grant Guilford, I have had the opportunity to work closely with Jennifer and have full confidence that she will capably lead Victoria through the time it takes to appoint our next permanent Vice-Chancellor.

I also welcome new Council member Mr David McLean who was appointed to the University Council by the Minister of Education commencing 1 March 2022. Mr McLean fills the vacancy created at the conclusion of former Chancellor, Neil Paviour-Smith's final term on Council.

Aotearoa, New Zealand is still at red under the COVID-19 protection framework and since my last report the Omicron variant is throughout the community with over 240,000 cases at the time of writing. In this context the University year commenced with over 900 students in our Halls of Residence testing positive for the virus which called upon the capacity of our staff to deliver in dual mode to ensure that teaching and learning could continue. I commend all staff for this effort. The impact on our students is understood and as they start to recover and emerge from isolation, I sincerely hope they can return to enjoy the full Victoria experience and benefits of face-to-face contact with their fellow students and University staff.

After 23 days, the protest at Parliament was brought to an end on 2 March. It resulted in the closure of our Pipitea campus, and this had a significant impact on staff and students. I salute the efforts of the Chief Operating Officer and his team who ensured the safety of staff, students and property. In the final stages of the protest an incredible effort by the University's security staff saw the Old Government Buildings protected from an attempted arson. A staged return to normal operation is now underway.

A list of engagements is attached at Appendix 1 and key among these was the farewell with Stakeholders for Professor Grant Guilford. Regrettably this was held on-line due to limitations around gatherings, and it is planned to hold a suitable in person event to farewell Professor Guilford when circumstances allow.

The Council agreed at its hui held at the Wharewaka in early February to establish key priorities for the year and to publish those to the University Community. I have set out some of the ideas canvassed in this report to provide a context and a framework for our conversation. It is important at the outset to recognise the strong sense of alignment and ambition which was reflected at the hui. Council members recognised the potential for our University to be both a role model for change and to enable and support the next generation of leaders who will bring these changes to life – particularly in relation to Te Tiriti. We challenged ourselves to think about the type of leadership required from us to ensure that this University in its teaching, its research, its governance, its advocacy, and its engagement with students and communities is firmly focused on the future. This must include addressing the drivers of inequality and disadvantage, the challenge of climate change and technological advances and the significant opportunities presented for a University in Wellington, the capital of Aotearoa New Zealand, which is prepared to think originally and creatively about what is meant by future success and how it might be achieved.

The possible priorities we explored included:

- To secure our position as a world leading Capital City civic university with our Marae at our heart. We are a place-based university. And while we engage with issues of international significance and prepare our students to succeed in a rapidly changing and deeply interconnected world, we do so from an Aotearoa New Zealand standpoint – honouring Te Tiriti, and confident in our history and the rich diversity of our Pacific nation. We intend to be the university of choice for Māori and Pasifika students and academics. We value and prioritise face to face teaching and an active on campus experience for our students. These things differentiate us in an increasingly homogenous international market for education.
- To build a high trust, low ego, collaborative culture within Council and across the University. This allows all voices to be heard, encourages debate and respectful disagreement, and enables the wider collaboration (across faculties, and institutions) to enable us to deliver to our academic mission.
- To enhance communication and engagement across the University and with stakeholders. This reflects the belief that transparency builds trust and from a Council perspective will see us deal with more of our agenda in the public session and being more visible on campus.
- To attract, retain, and grow the best academics and students, the best teachers and researchers and to increase the impact we have in shaping the public policy discussion on major issues confronting our country and our world. These include our response to climate change, leading debate on the future constitutional arrangements for our country, and the challenges of inequality and social cohesion. We expect our university community to be at the forefront of the research, debate and advocacy of these future defining issues.
- To challenge ourselves to change and evolve as an institution. Respecting our 125 years of heritage but recognising that different approaches will be needed to take us forward. We need to get much better at change management both in relation to the delivery of critical projects and to helping people understand why we are changing and what the benefits of the programme once delivered will be. Universities can be very nimble and agile, our Covid response has demonstrated this, but our long-term strategic thinking needs to be carefully defined, carefully prioritised, carefully

communicated and carefully resourced if we are going to deliver the outcomes we are looking to achieve.

The purpose of the above is to stimulate some discussion on and provide some insight into the sort of approach we as a Council are taking to some of the major challenges ahead of us. This needs to be looked at alongside the University's strategic plan which looks to invest in developing capabilities and assets in many of the areas Council has identified.

The Council works as part of a much wider team. We all have different responsibilities across the University community but unless we work together, we will not achieve our potential. We will not always be aligned on issues - debate, difference and doubt lies at the heart of much academic discourse. We can however be aligned on the way in which we engage in those debates and the qualities we want to see in THW- VUW graduates and scholars.

Of course, one of the key tasks we are currently working on as a Council is the selection of a new Vice-Chancellor for our University. This work is progressing well with high levels of interest from a range of outstanding candidates from within and outside our community. We have selected a long-list and by the end of this month expect to have a long-short list finalised. I am confident that amongst those long listed we will find a remarkable leader for this University in these times. As always, I reconfirm my willingness to be contacted by any member of our community with ideas for the future of the University. My contact details are [chancellor@vuw.ac.nz](mailto:chancellor@vuw.ac.nz).

Ngā mihi, nā

John Allen  
**Chancellor**

## Appendix 1

Chancellor engagements – period 14 February to 14 March 2022

Weekly	Meeting with Vice-Chancellor, Acting Vice-Chancellor and Secretary to Council
14 February	Met with DVC Māori regarding Council training for Te Tiriti o Waitangi and Te Ao Māori
15 February	Met with Deans
17 February	Attended the Chancellors' meeting followed by the Chancellors' and Vice-Chancellors' summit meeting
24 February	Met with Perrett Laver
25 February	Relationship meeting with the Office of the Auditor General
28 February	Attended the Te Aka Matua Committee meeting
28 February	Chaired the Victoria Honours Committee meeting
1 March	Conducted an exit interview with Professor Grant Guilford
2 March	Hosted a virtual farewell for Professor Grant Guilford with University stakeholders
3 March	Met with Perrett Laver
3 March	Chaired a VC Appointment Committee meeting
3 March	Met with staff from the Law School
7 March	Chaired the Audit & Risk Committee meeting
7 March	Attended the Finance Committee meeting
8 March	Met with the VUWSA President
8 March	Co-hosted an event for the National Music Centre at which Dame Kerry Prendergast was acknowledged

## **Agenda Item 5**

### **Report from the Acting Vice-Chancellor**

**To receive:** a report from the Acting Vice-Chancellor, Professor Jennifer Windsor, dated 14 March 2022 (document VUWC 22/20).

**To resolve:** **that the report from the Acting Vice-Chancellor be noted.**



## COUNCIL PAPER

TO	Members of Council
FROM	Acting Vice-Chancellor, Professor Jennifer Windsor
AUTHOR	Acting Vice-Chancellor, Professor Jennifer Windsor
DATE	14 March 2022
SUBJECT	<b>Acting Vice-Chancellor's Report - Public</b>
REF TO STRAT PLAN	All
DOCUMENT #	VUWC 22/20

### Executive Summary

This report notes the priority activities of the various offices, faculties, and service units of the University during the period since the last Council Report was compiled.

### Public Report

- The protest occupation of the Pipitea campus in February forced the closure of the campus for teaching purposes, resulting in major disruption for staff and the 8,000 Law and Commerce students serviced by the Pipitea campus. 200- and 300-level courses had to be moved online and 100-level courses relocated to the Kelburn campus. The closure also disrupted the operations of the research units and UniProfessional housed at Pipitea. There was only minor physical damage to buildings but a significant mess to be cleaned up in the grounds of Old Government Building and grounds to be restored, after the Police cleared out the protestors.
- A Pipitea campus recovery team—with property, people, and operational streams—has been set up under Pro-Vice-Chancellor Government, Law and Business, Professor Mark Hickford and the University's General Counsel Simon Johnson. The clean-up exercise is proceeding quickly. The resumption of teaching activity at Pipitea will occur on a phased basis. First will be 200 and 300-level, and postgraduate courses. Second will be 100-level courses, which may be brought back to Pipitea after the mid-term break in April. Management would particularly like to acknowledge and thank the University's security team for going above and beyond to keep our staff and premises safe in challenging circumstances.

- A number of online events to farewell former Vice-Chancellor Grant Guilford were held at the beginning of March. The online events were well attended, with one session held for stakeholders and a number of drop-in sessions held for staff to say goodbye.
- Faculty of Law's Dr Michelle Zang was awarded a Borrin Foundation Justice Fellowship, valued at \$120,000, to research how the interests of indigenous groups around the world have been impacted under the current international trade regimes and investment laws and policies. Meanwhile, Faculty of Law lecturer Luke Fitzmaurice was awarded a Borrin Foundation Travel Award, valued over \$9,600 to travel to his tūrangawaewae in the far north. Luke will learn more about his own whakapapa and about the tikanga of Te Hiku. He will also travel to Canada to learn more about indigenous legal education.
- Director of Te Tātai Hauora o Hine—the National Centre for Women's Health Research Aotearoa Professor Beverley Lawton has been awarded the 2021 Women of Influence Awards in the Innovation, Science, and Health category.
- Te Tātai Hauora o Hine—National Centre for Women's Health Research Aotearoa was awarded the Ministry of Health contract for Te Ara Wairoa, valued at \$1 million, which includes seven Centre-named investigators.
- The midwifery team from the School of Nursing, Midwifery, and Health Practice is working with University of Otago Department of Obstetrics and Gynaecology on an IPE project (which was piloted last year). The University of Otago team has received a \$20,000 University Teaching Development Grant to conduct an evaluation of the project. Associate Professor Robyn Maude will be named as Assistant Investigator and two midwifery teaching staff are involved.
- Fale Malae Trust continues to progress work with stakeholders and partners to establish New Zealand's Fale Malae in the heart of the capital city, with regular meetings continuing throughout this year. The Fale Malae Project Team presented to 195 attendees at the Ministry for Pacific Peoples and Ministry for Health National Ministers General Assembly Fonu at the end of February.
- On behalf of the former Vice-Chancellor Grant Guilford, the Assistant Vice-Chancellor (Pasifika) coordinated the University's response to the natural disasters in Tonga earlier this year in conjunction with Wellington Tongan Council of Community Leaders. A total of \$37,410 was fundraised by the University. The donation was presented to the Tongan Leaders' Council on at the beginning of February by Chancellor John Allen at a ceremony in Porirua, with a group of approximately 20 University staff and students attending.
- Wellington School of Business and Government has received \$850,000 over three years from a coalition of ministries led by Ministry of Justice, to go towards the Chair in Restorative Justice.

- International taonga pūoro artist and practitioner Jerome Kavanagh (Mokai Patea, Maniapoto, Kahungunu, Irish: Caomhanach) has been announced as the 2022 Creative New Zealand/New Zealand School of Music—Te Kōkī Composer-in-Residence. Jerome will work on five projects across the 10-month residency. In addition to collaborative and solo album releases, these projects also include fortnightly concerts focused on the healing power of taonga pūoro, and his 'Power to the Puoro' programme in schools across the Wellington region, which aims to inspire budding musicians to explore the world of taonga pūoro.
- New Zealand School of Music—Te Kōkī's unique instrument resources have recently been enriched by a large collection of kōauau, nguru, and porotiti made by Wellington carver Sam Palmer, for use by students studying taonga puoro. Funded by a Teaching and Learning grant from the Faculty of Humanities and Social Sciences and organised by ethnomusicologist Brian Diettrich, the collection is part of the continuing strategic focus on Māori performing arts at New Zealand School of Music—Te Kōkī.
- New Zealand School of Music—Te Kōkī classical singer Jenny Wollerman is featured in Aotearoa NZ Festival of the Arts, with her *21 Songs for 21 Voices* creation of original works by 21 female composers from Aotearoa, including Māori, Pasifika, Chinese-Malaysian, and Ghanaian New Zealanders, and emerging artists alongside key national figures Dame Gillian Whitehead and Eve de Castro Robinson. Other prominent New Zealand School of Music—Te Kōkī performers on the Festival programme include Jian Liu, Wade Kernot, and the NZSQ.
- New Zealand School of Music—Te Kōkī SM has partnered with Youthtown, MusicWorks, Yamaha, and the Aotearoa Jazz Education & Performance Charitable Trust to launch a nationwide competition open to secondary and intermediate students developing jazz performance skills. The February launch at the Bedlam & Squalor music venue and bar, drew teachers, school band directors, City Council, and MP music enthusiasts to hear about this exciting new opportunity, spearheaded by Dr Rodger Fox.
- Essayist and non-fiction writer Megan Dunn will be the 2022 Te Herenga Waka Creative New Zealand Writer in Residence, based at the International Institute of Modern Letters (IIML). During her time at the IIML, Megan will work on a memoir composed of linked thematic essays, which she describes as the completion of her 'life-writing trilogy'.
- At the beginning of March, Wellington UniVentures' partner Booster Financial Services launched its innovation fund on the NXZ. Seven Wellington UniVentures' start-ups are part of this fund (40 percent of the total number on inception). The Booster Innovation Fund listing provides a mechanism for regular kiwis to invest in innovation-led start-ups.

- Pou Hapai Senior Adviser Rhonda Thomson represented the Office of the Deputy Vice-Chancellor Māori at a Living Pā panel event hosted by the Adam Art Gallery at the beginning of March. The purpose of the event was to introduce the Living Pā project to an interested audience external to the university, such as architects, Wellingtonians interested in architecture and urban issues, and staff and students of the Wellington Faculty of Architecture and Design Innovation.
- The 2022 Government Law: Year in Review was hosted online in February. This half day seminar explained and discussed key developments in government law over the past year. The seminar had 288 registrations for the event and included speakers from Te Herenga Waka—Victoria University of Wellington, Crown Law, University of Otago, University of Ottawa, and International video vignettes from Australia, Chile, Iceland, and the United Kingdom.
- An Intergovernmental Panel on Climate Change (IPCC) report came out at the beginning of March— the leading authority on the impacts of climate change that informs global policy. The School of Biological Sciences Christopher Cornwall's first author work was cited in that chapter as providing evidence to support the impacts of climate change on coral reef and kelp forest ecosystems.
- James Beattie from the Centre of Science in Society was awarded the Garden History Award by the Royal New Zealand Horticultural Society for long-standing services for scholarship on and the understanding and promotion of the field.
- The Head of Wellington School of Architecture, Professor Robyn Phipps, is chairing the Ministry of Education's Technical Advisory panel on ventilation in educational facilities. This project includes investigating solutions that can rapidly, and cost effectively be deployed to improve the ventilation in New Zealand's 33,000 classrooms and associated school spaces. The research has immediate transfer and uptake in schools, and is being used by many Science, Technology, Engineering, and Maths (STEM) teachers as an opportunity to bring Indoor Air Quality into the school curriculum.
- The Sustainability Office has worked alongside all cafes on campus to get rid of single-use coffee cups on campus for the start of Trimester 1. All cafes are independent retailers and have voluntarily co-operated on this initiative to reduce waste to landfill. Communications have gone out to staff and students to advise them of the need to have their drink at the café, bring their own cup or use an Auraki returnable mug.
- Consultation with the University community has been ongoing about the draft Sustainability Outcomes Framework. The Framework has been drafted with the intention of providing direction for everyone at the University to contribute to our sustainability goals. The Framework covers the five functional areas of the University (the avenues we have to contribute to a sustainable future)—Learning and Teaching, Research, Engagement, Operations, and Governance.

- The 2022 HR Staff Development programme is 'virtual by design' until at least May, with the following topics offered online: Mental Fitness, Working Together, Te Hāpai—introduction to te reo Māori and tikanga. Additionally, in response to current needs, one hour webinars will be offered on the following topics: Leading in a Hybrid Environment; Communicating Clearly; Unconscious Bias; Managing Difficult Conversations; and Career Satisfaction, along with some topics specifically for leaders. In 2021, a total of 85 workshops were delivered to 1,155 staff.
- Adam Art Gallery's (AAG) current exhibition, *Listening Stones Jumping Rocks* draws together items from the Victoria University of Wellington Art Collection with key loaned works to offer a re-examination of the boundaries between human and non-human, life and living, speculation and imagination. The show is curated by Associate Professor Susan Ballard and Sophie Thorn, AAG's Curator Collections. The exhibition was presented to coincide with the first conference to be held in New Zealand of the Association for the Study of Literature, Environment and Culture (Australia and New Zealand).
- The Communications team has been providing round the clock support to the incident managers and teams dealing with the Omicron outbreak and its impact on University operations, the protests that closed the Pipitea campus and the outbreak of COVID-19 in our Halls of Residence at the start of Trimester One. The Group has organised rosters to ensure each person who is a critical knowledge source for communications has back-up cover and is able to be rostered off from time to time. Communications around the impact of Omicron on University operations has required regular all staff and student emails and a major programme of work to revamp the Staff Intranet and the University website. The situation at Pipitea has been fast moving and has required regular communication, often out of business hours, to both affected staff and students and the wider University community. There has also been high demand for assistance with communication to students within our Halls of Residence, often on a twice daily basis, and to their parents and whanau. At the same time, the Communications Group has dealt with constant calls and requests from a wide range of media outlets.
- Assistant Vice-Chancellor (Pasifika) Dame Winnie Laban attended a luncheon in February hosted by e-Learning Porirua, celebrating an agreement reached between Te Herenga Waka—Victoria University of Wellington and the e-Learning Trust to establish a scholarship endowment for Pasifika school leavers from Porirua and surrounding areas to study Engineering or Computer Science at the University.
- The Engagement and Events team continue to monitor COVID-19 traffic light level announcements and assess their impact on future events. Events can comfortably be held in the green and orange settings with vaccine passports being checked at all events. The team continues to provide advice and support to departments across the University.

- There will be a brief launch event for key staff unveiling a timeline exhibition in the Hub to celebrate the 125th anniversary of Te Herenga Waka—Victoria University of Wellington. This will be livestreamed and recorded. The timeline will also be in digital form for alumni across New Zealand and the world to be able to take part in the 125th celebrations.
- International alumni events are slowly re-starting with a virtual event for alumni in the Washington DC area in late February and in-person events in Perth and the San Francisco Bay area in March/April. Events in other regions are being planned for 2022 as COVID-19 restrictions allow.
- The first direct mail appeal for 2022 was launched at the end of February on behalf of the Development Office. This is a targeted appeal and incorporates the 125<sup>th</sup> anniversary of the University. The appeal is focused on raising funds for our Alumni Appeal Scholarships Programme.
- Alumni have recently received an invitation to sign up to the Alumni as Mentors programme, which allows final-year students to connect with alumni in mutually beneficial partnerships aimed at helping students in their transition into work life.
- With New Student Orientation completed, the Future Students team is starting to focus on recruitment activities for 2023, although with this year's late enrolment patterns, and the COVID-19 outbreak in our halls, the team is still very involved in helping support new students to successfully complete their enrolments, and to connect with the support available to them in the University.
- The shortlist for the 2022 Ockham New Zealand Book Awards was announced at the beginning of March and featured three books published by Te Herenga Waka University Press:
  - *Rangikura* by Tayi Tibble
  - *Greta & Valdin* by Rebecca K Reilly
  - *The Alarmist: Fifty Years Measuring Climate Change* by Dave Lowe

## **Agenda Item 6**

### **Financial report to 28 February 2022**

**To receive:** the Financial report to 28 February 2022 from the Chief Financial Officer, Mr Les Montgomery (document VUWC 22/21).

**To resolve:** that the Financial report to 28 February 2022 be noted.



**FEBRUARY 2022**  
**SUMMARY MONTHLY PUBLIC FINANCIAL REPORT**

## CONSOLIDATED STATEMENT OF FINANCIAL PERFORMANCE

STATEMENT OF FINANCIAL PERFORMANCE	February 2022 YTD		
	Act	Bud	Var
	\$m		
Government Grants incl PBRF	34.1	34.0	0.0
Tuition Fees	22.8	22.6	0.2
Research, Commercial & Other	18.7	20.9	-2.2
<b>REVENUE</b>	<b>75.6</b>	<b>77.5</b>	<b>-1.9</b>
People	39.3	40.9	1.5
Occupancy	5.5	6.7	1.2
Operating	20.2	27.6	7.4
<b>EXPENDITURE</b>	<b>65.0</b>	<b>75.2</b>	<b>10.1</b>
<b>EBITDA</b>	<b>10.5</b>	<b>2.3</b>	<b>8.2</b>
Depreciation & Amortisation	7.7	8.1	0.4
Net Interest Expense	0.4	0.3	-0.2
<b>UNIVERSITY GROUP SURPLUS<sup>1</sup></b>	<b>2.4</b>	<b>-6.0</b>	<b>8.4</b>
<b>%</b>	<b>3.2%</b>	<b>-7.7%</b>	
<b>CONSOLIDATED GROUP SURPLUS</b>	<b>-1.3</b>	<b>-5.1</b>	<b>3.8</b>
<b>%</b>	<b>-1.8%</b>	<b>-6.5%</b>	

<sup>1</sup> University Group excludes VUW Foundation.

*Presentation of figures rounded to nearest \$100k can lead to minor rounding differences in tables*

### YTD Overview

- Research, Commercial and Other revenue is unfavourable by \$2.2m mainly due to lower Commercial revenue which is largely timing related. Census results will be incorporated during March.
- Total expenditure is \$10.1m favourable to budget – predominately timing related. The labour market remains tight, making it difficult to fill vacancies, and COVID related delays and impacts.
- Depreciation is \$0.4m favourable to budget mainly due to lower capital expenditure.
- The Consolidated Group result includes the Foundation. The Foundation is unfavourable to budget by \$4.6m, largely due to the impact of the Ukraine/Russia conflict on financial markets.

## CONSOLIDATED BALANCE SHEET

STATEMENT OF FINANCIAL POSITION	As At:	
	February-22 Actual	February-21 Actual
	\$m	
Cash & Cash Equivalents	139.2	154.1
Investments	61.3	48.7
Accounts Receivable & Accruals	69.9	57.7
Pre-Paid Expenses	11.3	8.6
Other Current Assets	4.6	4.6
<b>TOTAL CURRENT ASSETS</b>	<b>286.4</b>	<b>273.7</b>
Property, Plant and Equipment	1,057.2	960.6
Intangibles	4.0	5.7
Investments in Related Parties	3.5	3.3
<b>TOTAL NON-CURRENT ASSETS</b>	<b>1,064.7</b>	<b>969.6</b>
<b>TOTAL ASSETS</b>	<b>1,351.1</b>	<b>1,243.3</b>
Accounts Payable & Accruals	58.6	64.6
Revenue in Advance	188.7	194.2
Bank Borrowings Current	-	-
Employee Entitlements	15.9	13.0
Current Liabilities - Other	2.9	1.9
<b>TOTAL CURRENT LIABILITIES</b>	<b>266.1</b>	<b>273.7</b>
Non-Current Liabilities - Other	-	0.1
Employee Entitlements	22.8	24.5
Bank Debt	46.0	51.0
<b>TOTAL NON-CURRENT LIABILITIES</b>	<b>68.8</b>	<b>75.5</b>
<b>TOTAL LIABILITIES</b>	<b>334.9</b>	<b>349.3</b>
<b>NET ASSETS</b>	<b>1,016.2</b>	<b>894.1</b>
Accumulated Surplus	479.6	445.8
Current Year Earnings	(1.3)	1.4
Other Reserves	537.9	446.9
<b>TOTAL COMMUNITY EQUITY</b>	<b>1,016.2</b>	<b>894.1</b>
<b>TOTAL LIABILITIES &amp; COMMUNITY EQUITY</b>	<b>1,351.1</b>	<b>1,243.3</b>

*Presentation of figures rounded to nearest \$100k can lead to minor rounding differences in tables*

## Agenda Item 7

### Committee reports

**To receive:** Reports from Committee Chairs:  
Te Aka Matua Committee, 28 February 2022, Ms Cath Nesus  
Audit & Risk Committee, 7 March 2022, Mr John Allen  
Finance Committee, 7 March 2022, Mr Alan Judge

**To resolve:** **that the reports from Committee Chairs be noted.**



**TE KŌMITI O TE AKA MATUA  
MĀORI ADVISORY COMMITTEE**  
(a committee of Council)

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Minutes of the Meeting of Te Kōmiti o Te Aka Matua  
held on **Monday, 28 February 2022 from 3.00 pm to 4.00 pm**  
via Zoom videoconference

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**PRESENT:** Ms Cath Nesus (Council Member and Chair)  
Professor Grant Guilford (Vice-Chancellor)  
Professor Rawinia Higgins (Committee Member/DVC Māori)  
Ms Tiana Jakicevich (Council Member)  
Mr Mason Lawlor (Ngāi Taurira Member)  
Dr Dianne McCarthy (Pro-Chancellor)  
Ms Kelly Mitchell (Ngāi Taurira Member)  
Ms Kim Skelton (Committee Member)  
Professor Jennifer Windsor (Acting Vice-Chancellor)

**APOLOGIES:** Sir Matiu Rei (External member)

**IN ATTENDANCE:** Ms Linda Bowden, Executive Assistant to DVC Māori  
Associate Professor Meegan Hall (Assistant Vice-Chancellor,  
Mātauranga Māori)  
Ms Caroline Ward (Secretary to Council)

**22.01 KARAKIA**

**Noted:** that Cath Nesus led the Karakia.

**22.02 WELCOME**

**Noted:** 1 that the Chair welcomed everyone to the meeting and in particular Professor Jennifer Windsor, who will be Acting Vice-Chancellor from 5 March.

## 22.03 DISCLOSURES OF INTERESTS

- Received:**
- 1 the Council disclosures of interests register.
  - 2 the disclosures of interest listing for Professor Rawinia Higgins.
  - 3 the disclosures of interest listing for Ms Kim Skelton.

**Noted:** that there were no further disclosures of interest.

## 22.04 MINUTES OF THE MEETING HELD ON 1 NOVEMBER 2021

**Resolved:** that the public Minutes of the meeting held on 1 November 2021 be approved.

McCarthy/ Jakicevich  
Carried

## 22.05 RESOLUTION CONCERNING THE EXCLUSION OF THE PUBLIC

- To resolve:**
- 1 that the public be excluded from the following parts of the proceedings of this meeting, namely agenda items 6-11.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
	The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under the sections of the OIA identified below.	
<b>Confidential Minutes of the meeting held on 1 November 2021</b>	s 9(2)(b)(ii)	LGOIMA s48(1)(a)(ii)
<b>Report on Māori student experience</b>	s 9(2)(b)(ii), s 9(2)(a), s 9(2)(i)	LGOIMA S48(1)(a)(ii)
<b>Te Tiriti o Waitangi at Te Herenga Waka – Victoria University of Wellington</b>	s 9(2)(b)(ii)	LGOIMA S48(1)(a)(ii)
<b>Quarterly review of performance</b>	s 9(2)(b)(ii), s 9(2)(i)	LGOIMA S48(1)(a)(ii)
<b>Chair report on items arising from previous Council meetings</b>	s 9(2)(b)(ii)	LGOIMA S48(1)(a)(ii)

<b>Update on VC recruitment</b>	s 9(2)(b)(ii)	LGOIMA S48(1)(a)(ii)
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**This resolution is made in reliance on section 48(1)(a) of the Local Government Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 or section 9 of the Official Information Act 1982, as the case may require, which would be prejudiced by holding of the whole or the relevant part of the proceedings of the meeting in public are set out above.**

- 2 that such members of the senior leadership as the Committee Chair and the Vice-Chancellor request be permitted to remain at this meeting, after the public has been excluded because of their knowledge of the relevant matters identified above which will be of assistance in relation to those matters.**

**Higgins/Lawlor  
Carried**



**AUDIT AND RISK COMMITTEE**  
(A committee of Council)

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Minutes of the meeting of the Audit and Risk Committee held  
**from 10.00 am to 12.30 pm on Monday, 7 March 2022**  
*via Zoom videoconference*

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- PRESENT:** Mr John Allen, Council Member and Chair  
Professor Brigitte Bönisch-Brednich, Council Member  
Ms Tiana Jakicevich, Council Member  
Dr Dianne McCarthy, Pro-Chancellor  
Hon Maryan Street, Council Member  
Mr James Te Puni, Council Member
- Professor Jennifer Windsor, Acting Vice-Chancellor *in attendance*  
Mr Jaistone Finau, Council Member *in attendance*
- APOLOGIES:** Nil
- IN ATTENDANCE:** Ms Rainsforth Dix, Director, Student and Campus Living  
Ms Angela Geerts, Associate Director, Organisational Development  
Ms Leanne Gibson, Chief Information Officer  
Ms Susan Hockley, Group Financial Controller  
Professor Wendy Larner, Provost  
Mr Mark Loveard, Chief Operating Officer  
Ms Kirsty McClure, Director, Student Experience and Wellbeing  
Ms Jenny Miller, Change Manager  
Mr Les Montgomery, Chief Financial Officer  
Mr Phil O'Connell, Director, Safety Risk Assurance  
Mr Cyrus Ranchod, Student Success Programme (SSP) Programme Director  
Ms Caroline Ward, Secretary to Council
- AUDITORS:** Mr David Borrie, EY  
Mr Casey Webster, EY  
Ms Jessie du Prez, PriceWaterhouseCoopers  
Mr James Rees-Thomas, PriceWaterhouseCoopers

**22.01 WELCOME and APOLOGIES**

- Noted:**
- 1 that the Chair led the Karakia.
  - 2 that he welcomed everyone to the meeting, in particular new Committee member Professor Brigitte Bönisch-Brednich and

Acting Vice-Chancellor Professor Jennifer Windsor. He acknowledged Council Member, Mr Jaistone Finau who was in attendance under the Council protocol of any Council member being welcome to attend all standing Committees.  
3 that the meeting was held via Zoom due to community transmission of the Omicron variant of COVID-19.

**22.02 DISCLOSURES OF INTERESTS**

**Received:** the Council disclosures of interest register.  
**Noted:** that there were no further disclosures of interests since the release of the meeting documentation.

**22.03 MINUTES OF THE MEETING HELD ON 15 NOVEMBER 2021 AND MATTERS ARISING**

**Noted:** that there were no matters arising from the public session of the meeting held on 15 November 2021.  
**Confirmed:** the Minutes of the meeting held on 15 November 2021 (Minutes 21.50-21.53).

Allen/Street  
Carried

**22.04 RESOLUTION CONCERNING THE EXCLUSION OF THE PUBLIC**

**Resolved:** 1 that the public be excluded from the following parts of the proceedings of this meeting, namely agenda items 5 to 17.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
5. Minutes of previous meeting held on 15 November 2021	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)

<b>6. Student Accommodation update</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
<b>7. Change management action item report back</b>	s 9(2)(b)(ii), and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
<b>8. Student Success programme (SSP)</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
<b>9. Public records Act audit report</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
<b>10. Pastoral care code update</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
<b>11. Annual report and External Audit update</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
<b>12. Risk &amp; internal audit report</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
<b>13. Internal audit plan – 2022</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
<b>14. Individual audit reports</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
<b>15. ITS Security and Cyber report</b>	s 9(2)(b)(ii), s 9(2)(i) and s 9(2)(k)	LGOIMA, s48(1)(a)(ii)
<b>16. Seismic update report</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
<b>17. Auditors' only session</b>	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)

This resolution is made in reliance on section 48(1)(a) of the Local Government Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 or section 9 of the Official Information Act 1982, as the case may require, which would be prejudiced by holding of the whole or the relevant part of the proceedings of the meeting in public are set out above.

- 2 that such members of the senior leadership as the Committee Chair and the Acting Vice-Chancellor request be permitted to remain at this meeting, after the public has been excluded because of their knowledge of the relevant matters identified above which will be of assistance in relation to those matters.

Allen/Street  
Carried



**FINANCE COMMITTEE**  
(A committee of Council)

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Minutes of the Finance Committee meeting  
held on Monday, 7 March 2022  
via Zoom Videoconference

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**MINUTES**

**PRESENT:** Mr Alan Judge, Chair  
Mr John Allen, Chancellor  
Professor Richard Arnold, Council Member  
Dr Dianne McCarthy, Pro-Chancellor  
Professor Jennifer Windsor, Acting Vice-Chancellor

**APOLOGIES:** Mr Jaistone Finau, Council Member

**IN ATTENDANCE:** Mr Brendan Eckert, Associate Director, Business Partnering  
Mr Jonathan Flutey, Deputy Director, CAD  
Ms Susan Hockley, Financial Controller  
Mr Clinton Jenkins, A/Dir, Planning and Performance Management  
Professor Stephen Marshall, Director, CAD  
Professor Wendy Larner, Provost  
Mr Mark Loveard, Chief Operating Officer  
Mr Les Montgomery, Chief Financial Officer  
Ms Marianna Nicolaou, Associate Director, Financial Operations  
Ms Caroline Ward, Secretary to Council

**22.01 WELCOME AND APOLOGIES**

- Noted:**
- 1 that the Chair welcomed everyone to the meeting being held solely via Zoom due to Community transmission of the Omicron variant of COVID-19.
  - 2 that he warmly welcomed the new Chancellor, John Allen; Professor Jennifer Windsor (as she takes up the Acting Vice-Chancellor role) and Professor Richard Arnold, the new staff representative Council member on the Committee.
  - 3 that an apology had been received from Mr Jaistone Finau.

**Resolved:** that the apology from Mr Jaistone Finau be sustained.

**Judge/McCarthy**  
**Carried**

**22.02 DISCLOSURE OF INTERESTS**

- Noted:**
- 1 that the Disclosure of interests’ register had been circulated with the meeting documentation and updated to reflect new members of Council.
  - 2 that there were no additional disclosures of interest by members of the Committee.

**22.03 MINUTES OF THE FINANCE COMMITTEE MEETING HELD ON 15 NOVEMBER 2021**

**Resolved:** that the public Minutes of the Finance Committee meeting held on 15 November 2021 be confirmed (Minutes 21.51-21.54).

Judge/McCarthy  
Carried

**22.04 RESOLUTION CONCERNING EXCLUSION OF THE PUBLIC**

- Resolved:**
- 1 that the public be excluded from the following parts of the proceedings of this meeting, namely agenda items 5 to 11.

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
5. Minutes of the previous meeting held 15 November 2021	s 9(2)(b)(ii)	LGOIMA s48(1)(a)(ii)
6. Chief Financial Officer’s Report	s9(2)(b)(ii) and s9(2)(i)	LGOIMA, s48(1)(a)(ii)
7. Financial update	s9(2)(b)(ii) and s9(2)(i)	LGOIMA, s48(1)(a)(ii)
8. Learning Platform business case	s9(2)(b)(ii) and s9(2)(i)	LGOIMA, s48(1)(a)(ii)

<b>9. Induction material</b>	s9(2)(b)(ii) and s9(2)(i)	LGOIMA, s48(1)(a)(ii)
<b>10. Treasury report</b>	s9(2)(b)(ii) and s9(2)(i)	LGOIMA, s48(1)(a)(ii)
<b>11. Discussion on priority items for Finance Committee in 2022</b>	s9(2)(b)(ii) and s9(2)(i)	LGOIMA, s48(1)(a)(ii)

This resolution is made in reliance on section 48(1)(a) of the Local Government Information and Meetings Act 1987 and the particular interest or interests protected by section 6 or section 7 or section 9 of the Official Information Act 1982, as the case may require, which would be prejudiced by holding of the whole or the relevant part of the proceedings of the meeting in public are set out above.

- 2 that such members of the senior leadership as the Committee Chair and the Vice-Chancellor request be permitted to remain at this meeting, after the public has been excluded because of their knowledge of the relevant matters identified above which will be of assistance in relation to those matters.

Allen/McCarthy  
Carried

## **Agenda Item 8**

### **Media and Social media report**

**To receive:** a Council paper from the Acting Vice-Chancellor dated 14 March 2022 providing a Media and Social media report (document VUWC 22/22).

**To resolve:** **that the Media and Social media report be noted.**



## COUNCIL PAPER

TO	Members of Council
FROM	Acting Vice-Chancellor, Professor Jennifer Windsor
AUTHOR	Acting Vice-Chancellor, Professor Jennifer Windsor
DATE	14 March 2022
SUBJECT	<b>Media and Social Media Report</b>
DOCUMENT #	VUWC 22/22

### Executive Summary

#### Media report

This report captures high-level issues and themes in media coverage for the period from 1 February to 4 March 2022.

#### Research

Dr Belinda Storey, from Orauariki—Wellington School of Business and Government, wrote a piece for Newsroom about the Government's decision to provide more information to home buyers regarding climate risks.

Listening to other people's stories is a powerful way to unite people regardless of their views about COVID-19. Associate Professor Mary Breheny from Te Wāhanga Tātai Hauora—Faculty of Health explored this in an opinion piece for Newsroom.

During his time as a Professorial Fellow at the University of Warsaw, Emeritus Professor Roberto Rabel wrote an opinion piece for Stuff giving his impressions of Russia's invasion of Ukraine.

Dr Carolyn Boulton and Professor Martha Savage, from the Te Wāhanga Pūtaiao—Wellington Faculty of Science, discussed finding faults and eavesdropping on earthquakes on RNZ's podcast 'Our Changing World'.

Professor Marc Wilson from the Te Wāhanga Pūtaiao—Wellington Faculty of Science gave RNZ his take on whether the advice in classic prose poem 'Desiderata' stands up, 50 years after the spoken word recording by Les Crane hit the top of the Aotearoa New Zealand music charts.

Professor Wilson also provided comments to Stuff about the negative reaction of New Zealanders to Kiwis overseas complaining about their inability to get MIQ spaces.

Following the latest report by the Intergovernmental Panel on Climate Change, Te Wāhanga Pūtaiao—Wellington Faculty of Science's Dr Judy Lawrence provided comments regarding the climate change risks in Aotearoa New Zealand to The Conversation.

Dr Grant Morris from Te Kauhanganui Tātai Ture—Faculty of Law spoke in his regular segment on RNZ about one of Aotearoa's most unlikely historical events, the 1880s panic about the Russian invasion of New Zealand.

Stuff included comments by Te Wāhanga Pūtaiao—Wellington Faculty of Science's Dr Mike Joy about a new freshwater testing methodology being used in Taranaki, which has reinforced what ecologists, including Dr Joy, already knew— the region's rivers and streams are being contaminated with bacteria after rainfall.

Simon Chapple from Orauariki—Wellington School of Business and Government spoke to RNZ about the introduction of an unemployment insurance scheme for people who lose their jobs through redundancy or illness.

Te Kauhanganui Tātai Ture—Faculty of Law Distinguished Fellow Sir Geoffrey Palmer wrote an opinion piece for Stuff explaining how the rule of law around protests affects the way in which the police do their job.

Professor Paul Warren, from Te Wāhanga Aronui—Wellington Faculty of Humanities and Social Sciences, noticed "donning" and "doffing" stations for PPE while travelling, which prompted him to look into where these words had come from and why they'd made a comeback, in his regular column for Stuff.

Luke Fitzmaurice from Te Kauhanganui Tātai Ture—Faculty of Law and Associate Professor Maria Bargh from Te Kawa a Māui—School of Māori Studies wrote a collaborative piece for Newsroom asking what the response to COVID-19 might mean for tino rangatiratanga in 2022.

In a piece for Newsroom, Te Wāhanga Pūtaiao—Wellington Faculty of Science Professor James Renwick urged people not to give up, and instead redouble efforts to reduce carbon emissions. He also spoke to Stuff about how a change in Antarctica's biodiversity could have dire consequences for the rest of the world.

Orauariki—Wellington School of Business and Government Professor Lisa Marriott reflected on the alleged abuse of the wage subsidy scheme leaving the New Zealand Government with a \$1m legal bill in an opinion piece for Newsroom.

Dr Liam Martin from Te Wāhanga Aronui—Wellington Faculty of Humanities and Social Sciences spoke to Newstalk ZB about the Department of Corrections plan to use monitoring bracelets to track drugs and alcohol in inmates' sweat.

Te Wāhanga Pūtaiao—Wellington Faculty of Science's Professor Joseph Bulbulia spoke to RNZ about the likelihood people will believe meaningless statements depending on whether they come from a scientist or a religious figure.

Director of the Roy McKenzie Centre for the Study of Families and Children Dr Kate Prickett discussed parents need to prepare for the invisible burden of school closures due to COVID-19 in an opinion piece for Stuff.

RNZ highlighted a study by University researchers which found iwi whose land was confiscated in the New Zealand wars have the highest Māori smoking rates.

University researcher Witi Ashby spoke to Waatea News about a research project he's involved in which focuses on the impacts of COVID-19, saying that it's not about recovering from COVID-19 but about learning to live with the disease.

### **University updates**

Mentions of the University were included in all New Zealand media coverage of the protest action at Parliament which impacted staff and students working and studying at the Pipitea campus.

Former Vice-Chancellor Professor Grant Guilford spoke to Stuff about his eight years of leadership ahead of his retirement in early March.

RNZ covered the \$37,000 donation made by the University towards the Tonga relief effort, including the event where the funds were presented that was attended by Chancellor John Allen.

Emerging Māori Writer-in-Residence, J Wiremu Kane, shared a two-part short story 'Ringawera' on Newsroom.

### **Student communications**

During the reporting period, two issues of Whītiki—the University's student e-newsletter—were sent to all enrolled students. On average, each newsletter was opened by between 30 and 40 percent of students. A number of additional emails were also sent to students about University operations under COVID-19 and the closure of the Pipitea campus as a result of the protest action at Parliament.

Stuff published an article about Bachelor of Science student Roman Avery who invented a machine which checks vaccine passes, making life easier and safer for staff and customers.

Bachelor of Design Innovation student Finn Biliou was one of New Zealand's flagbearers for the opening ceremony of the Beijing Winter Olympics—this was covered by Stuff.

### **Social media summary**

This report captures the activity of the University's social media presence for the period from 1 February to 4 March 2022.

#### **Facebook**

Since the last update, the University has published 34 posts, which gained over 1.6m impressions and more than 20,000 engagements (reactions, comments, shares, or link clicks)—this includes our paid advertising on the main University Facebook page.

The most popular post of the period was a photo album acknowledging the retirement of Vice-Chancellor Professor Grant Guilford. This post reached over 27,000 people, and received more than 3,160 post clicks, reactions, comments, and shares.

The next most popular post was also about Vice-Chancellor Professor Grant Guilford, where the University shared the article by Stuff about his time in Wellington. This post reached over 20,300 people, and received more than 1,200 post clicks, reactions, comments, and shares.

A post about the support available for students affected by the closure of the Pipitea campus was our third most popular. This post reached over 18,100 people, and received more than 1,150 post clicks, reactions, comments, and shares.

#### **Twitter**

During the period, the University's Twitter account published 55 tweets. These gained more than 43,750 impressions (the number of times our posts were displayed to our audience) and 1,157 engagements—including likes, retweets, and replies. The links shared on tweets during the period were clicked a total of 318 times.

The top tweet of this period shared Emerging Māori Writer-in-Residence, J Wiremu Kane, two-part short story 'Ringawera' on Newsroom. The tweet made more than 3,700 impressions and received 37 engagements.

The second top-performing tweet was about the closure of the Pipitea campus as a result of the protest action at Parliament. The tweet made more than 1,745 impressions and received 169 engagements.

Another top-performing tweet linked to an opinion piece by Te Kauhanganui Tātai Ture—Faculty of Law Distinguished Fellow Sir Geoffrey Palmer explaining how the rule of law around protests affects how the police do their job. The tweet made more than 1,200 impressions and received 75 engagements.

## **LinkedIn**

The University published 25 posts over the reporting period, making more than 165,000 impressions, gaining more than 9,300 engagements, with more than 8,300 clicks on links shared.

The most popular post of the period was a photo album acknowledging the retirement of Vice-Chancellor Professor Grant Guilford. This post reached over 7,950 people and received 309 reactions, 13 comments, and 9,800 post clicks.

The second top-performing post was an opinion piece, published on the University's website but originally posted by Newsroom, by Dr Michael Daubs who explained why the confluence of wellness, anti-vax, and extremist communities gathered in New Zealand and Canada isn't a blip. This post reached more than 8,150 people, and received 39 reactions, and 319 post clicks.

A post linking to a piece by Associate Professor Dean Knight from Te Kauhanganui Tātai Ture—Faculty of Law outlining the legal issues at stake in the case brought by Grounded Kiwis challenging the MIQ system was the third most popular post. This post reached more than 4,798 people and received 37 reactions and 308 post clicks.

## **Instagram**

Our audience grew by 628 followers on Instagram during the period. A total of 180 pieces of content—a mix of posts and Instagram story content—were uploaded to the channel during the reporting period. These posts received more than 970,000 impressions and over 8,470 engagements (likes, comments, saving the posts).

The most popular post welcomed students to the 2022 academic year. This post received more than 940 likes, eight comments, shared by 32 users, and saved by 16 users.

The second top-performing post was about transport to the Kelburn campus for students impacted by the closure of the Pipitea campus. This photo received more than 749 likes, seven comments, shared by 66 users, and saved by 33 users.

Another popular post was about the facilities and spaces available for students in our libraries. This photo received more than 649 likes, one comment, shared by 43 users, and saved by 25 users.

## Agenda Item 9

### **Forthcoming Events and next Council meeting**

**To receive:** a Council Paper from the Secretary to Council, Ms Caroline Ward, dated 14 March 2022 regarding Forthcoming Events (document VUWC 22/23).

**To note:** that the next meeting of Council will take place on Monday, 2 May 2022 at 9.00 am in the Council Chamber, Level 2, Hunter Building, Kelburn Campus, Wellington.

**To resolve:** **that the Council Paper on Forthcoming Events and the date and details for the next Council meeting on Monday, 2 May 2022 be noted.**



## COUNCIL PAPER

FROM	Caroline Ward, Secretary to Council
AUTHOR	Engagement and Alumni Team
DATE	14 March 2022
SUBJECT	<b>Forthcoming Events for March/April/May/June</b>
REF TO STRAT PLAN	All
DOCUMENT #	VUWC 22/23

### Executive Summary

Council members are welcome to attend the following events.

Please note due to the red traffic light setting and restriction of 100 people and food having to be seated and served, many events have been postponed.

### 2022

Event	Date	Venue and Time	Description
May Graduation at the Michael Fowler Centre	17-19 May	Michael Fowler Centre, ceremony times TBC	Note: Graduation can only go ahead in the Orange setting. A further decision will be made at the end of March as to whether is able to go ahead.
Te Hui Whakapūmau Official graduation based on tikanga Māori	Friday 20 May	The Hub, times TBC	Note: Te Hui Whakapūmau can only go ahead in the Orange setting. A further decision will be made at the end of March as to whether is able to go ahead.
Distinguished Alumni Awards Function	Friday 20 May	Te Papa 5.45pm – 8pm	Join us to honour and celebrate our 2021 Distinguished Alumni Award winners. Official invitation to come. Note: This function will continue in the red setting and adhere to those restrictions.

Inaugural Lecture – Professor Kate Hunter	Tuesday 31 May	Council Chamber, 6- 7pm	Professor Kate Hunter is a Professor of History in the School of History, Philosophy, Political Science & International Relations. The title of her lecture is Sticking to her knitting: Reflections on a historian's craft.
Staff Excellence Awards  NEW DATE POSTPONED FROM THE 29 MARCH	Thursday 2 June	Hub, Kelburn Campus 4.45-7pm	This event honors those who have received Staff Excellence Awards with a presentation ceremony.

## Agenda Item 10

### Conferment of Qualifications

**To receive:** the list of degrees, diplomas and certificates granted on 21 March 2022 (document VUWC 22/24).

- To resolve:**
- 1 that Council grants the degrees, diplomas and certificates in this University on the persons listed in document VUWC 22/24 and that their names be entered on the Roll of Graduates on 21 March 2022.
  - 2 that a motion of congratulations be recorded.



## DEGREES, DIPLOMAS AND CERTIFICATES TO BE GRANTED ON 21 MARCH 2022

### Doctor of Education

**Rajagopal, Sujata**

### Doctor of Philosophy

**Bassel, Hala Farida**, *in Development Studies*

**Dawes, Thomas Nicholas**, *in Ecology and Biodiversity*

**de la Torre Parra, Maria Lorena**, *in Development Studies*

**Del Rio Hernandez, Cintya Elizabeth**, *in Cell and Molecular Bioscience*

**Denee, Rachel Mary**, *in Education*

**Gabor, Emanuela**, *in Law*

**Giorgioni, Alessandra Maria**, *in Literary Translation Studies*

**Haami, Meri Ashleigh**, *in Music*

**Ilina, Aleksandra Dmitrievn**, *in Physics*

**Juodakis, Julius**, *in Statistics*

**Li, Yevgeniya**, *in Information Systems*

**Liu, Kai**, *in Applied Linguistics*

**Liu, Pengfei**, *in Finance*

**Mallika Appuhamillage, Thathsaranie Piumika Manthirathna**, *in Chemistry*

**Massov, Lorna**, *in Midwifery*

**Molina, Germán Larrain**, *in Building Science*

**Mologni, Fabio**, *in Ecology and Biodiversity*

**Mostofi, Amirhossein**, *in Management*

**Nguyen, Hoang Binh**, *in Finance*

**Schutz, Teramira Christine**, *in Nursing*

**Sen, Anindita**, *in Chemistry*

**Shabahang, Sara**, *in Architecture*

**Tang, Chhayly**, *in Physics*

**Te Kanawa, Rangituatahi**, *in Museum and Heritage Studies*

**Vaka-Vivili, Margaret Peggy**, *in Education*

## WELLINGTON FACULTY OF ARCHITECTURE AND DESIGN INNOVATION

### Master of Architecture (Professional)

**Bell, Reilly John**

### Master of Landscape Architecture

**Whiddett, Sophie Isabelle**, *with Merit*

### Bachelor of Architectural Studies

**Adams, Erin Leigh**

**Albiston, Eva Josephine**

**Allan, Fletcher James**

**Allen, Mary Rose**

**Andige**, Niranjan Lankathilaka  
**Armand**, Az Zahra Tsabita Mevlana  
**Bailey**, Matthew Peter  
**Barker**, Georgia Rose  
**Barrow**, Alice  
**Baxter**, Thomas James Graham  
**Beharrell**, Luke William Peter  
**Bennison**, Olivia Lucy Dalby  
**Blewden**, Eloise Martha Macdiarmid  
**Bonniface**, Aimee Ruth  
**Bonnor**, Juliet Diana  
**Bowie**, Simon James  
**Brodie**, Hannah Patricia  
**Brooke**, Rose Janet  
**Brooker**, Sophie Ella  
**Brown**, Aimee Rose Watson  
**Brown**, Jessica Rose Creighton  
**Bryant**, Callum Scott  
**Bunn**, Logan Blake  
**Cajis**, Kyle Archer Sonson  
**Campana**, Eunice Aquanta Esteban  
**Carter**, Jade Carole  
**Chapman**, Sophie Nicole  
**Chen**, Yutong  
**Cheng**, Keyu  
**Chulanuka**, Satakhun  
**Clarke**, Emelie Jean  
**Clifford**, Theodore Thomas Joseph  
**Cook**, Jienwern Ada  
**Cosslett**, Tavira Lee  
**Crews**, Nickol Robert  
**Cross**, Frederick Oliver Hugo  
**Dadrah**, Sachan  
**Davis**, Harry Thomas  
**Dickie**, Lochlan Alexander  
**du Plessis**, Cherees  
**Elliott**, Jasper Charles Sjogren  
**Evans**, Hannah Christine  
**Fleming**, Alexandra Kate  
**Foster**, Christopher Aston  
**Frizzell**, Sophie Maria  
**Fuhrer**, Oliver Louis Ashley  
**Galang**, Josefina Ballares  
**Galbraith**, Augustus Mclean  
**Galela**, Dylane Kyla Singson  
**Georgeson**, Darby Taylor  
**Gibson-Harris**, George  
**Govender**, Troy  
**Green**, Isabella Rose  
**Gregory**, Lauren Stephanie  
**Gunawan**, Natasha  
**Halliday**, Imogen Rowan Fernandes  
**Halliday**, Joshua Stuart  
**Hanyu**, Ken  
**Hartnett-Poelman**, Caitlin Julia  
**Hedges-Fickling**, Hayley Jade  
**Heswall**, Zoe Alice

**Hollowood**, Kyle William  
**Huang**, Shiqi  
**Hufflett**, Trinity Kate  
**Jenkins**, Katie Jayne  
**Jessen**, Linda  
**Joji**, Shashwath  
**Jones**, Abbie Louise  
**Kang**, Rebecca Jooah  
**Kay**, Olivia Hunter Bowkett  
**Kemp**, Logan Michael  
**Kennington**, Hugh Edward  
**Knight**, Jordan Jozef  
**Kónya**, Karola  
**Lam**, Alisa Waijan  
**Lauv**, Ethan  
**Lee**, Jaewon  
**Lee**, Paul  
**Lenihan**, Sophie Victoria  
**Li**, Deanna  
**Li**, Jenny  
**Lian**, Minghui  
**Limos**, Nicole Ericka  
**Lockley**, Joel Milton  
**Loveman**, Matthew Joel  
**Lucas**, Mackenzie Ashley  
**Luxton**, Rose Miriam  
**Malthus**, Henry Thomas  
**Manuguid**, Julianne Amara Martin  
**Martin**, Kirsty Grace  
**Mawer**, Rowan Andrew  
**McArthur**, Georgia Rae  
**McCloskey**, Mitchell Andrew  
**McKenzie**, Hannah Caitlin  
**Mckeown**, Connor Paul  
**Millar**, Rachel Una  
**Monk**, Jack William  
**Murray**, Michael  
**Nathan**, Cyra Sacuntala  
**Newall**, Tyler Morton  
**Newth**, Joshua Raymond John  
**Ng**, Alice Kar Wai  
**Oughton**, Meg  
**Padrutt**, Jonas Joachim  
**Paki**, Taine Wiremu-Tuma  
**Philip**, Evana Gabrail  
**Pickworth**, Jayde Michaela  
**Pochin**, Natasha Sidonée  
**Polson**, Britney Nicole  
**Pullan**, James Roy  
**Rains**, Jessica Anna  
**Rees**, Monique Sarah  
**Rennie**, Breagha Margaret  
**Robertson**, Benjamin Angus  
**Robertson**, Grace Nerissa  
**Robinson**, Hebe Jane  
**Rodrigo**, Lelande Artemisia  
**Ross**, Chelsea Marie

**Sarten, Jared Daniel**  
**Savage, Dilan John**  
**Shah, Nafeesa**  
**Shennan, Matthew Oliver**  
**Sitters-Neale, Katyana Margaretha**  
**Solanki, Nidhi Rajesh**  
**Srichantra, Amy**  
**Steffert, Sara Margaret**  
**Syme, Zara Lee**  
**Tao, Yuan**  
**Taylor, Rachel Kate**  
**Thomas, Caroline Ann**  
**Troute, Stella Grace Carbery**  
**Tunncliffe, Devon Mackenzie**  
**van Sitter, Antonia Vanese Kay**  
**Victor, Oskar Alec**  
**Wallace, Hugo John**  
**Wallace, Samantha Ann Marie**  
**Walters, Leigh Evelyn**  
**Wang, Yifei**  
**Wells, Sienna Ryeane**  
**White, Megan Debbie**  
**White, Petra Elisabeth**  
**Wild, Holly Erin**  
**Wilden-Palms, Karley Katharina**  
**Williams, Beth Alice**  
**Wilson, Lucy-Ann Helen Jean**  
**Wright, Theo Jon**  
**Yao, Shunyu**  
**Yu, Sarah**  
**Zhang, Songqi**  
**Zoellner, Simone**  
**Zwart, Madeleine Rose**

#### **Bachelor of Building Science**

**Abbott-Crawford, Max Indica**  
**Aitken, Fergus John Richard**  
**Armstrong, Max Oliver Shaun**  
**Bala, Amula Alfred**  
**Bampton, Harry Paul**  
**Barry, Samuel Zachary**  
**Beard, Patrick Martin**  
**Beggs, George William**  
**Brock, Joshua Paul**  
**Castro, Andrew James Unso**  
**Cattley, Shannon Maree**  
**Collett, Marcel John**  
**de Roo, Jacob Peter Murray**  
**Dingle, Olivia Mary**  
**Evans, Henry Hardwick Vrede**  
**Fergus, Harry William**  
**Grey, Lewis McLeod**  
**Harrison, Joshua Alan Croucher**  
**Harwood, Emily Josephine**  
**Kincheff, Sally Katherine**  
**Lane, Abigail Louise**  
**Lindsay, Tayla Alex**

**McLeod**, Louie Augustus  
**Moore**, Georgia Louise  
**Neal**, Aidan Richard  
**Parekh**, Akshay  
**Parinas**, Lorenzo Carlos Galolo  
**Paton**, Sapphire Rhianna  
**Pierpoint**, Reuben Mayer  
**Quan**, Melanie Isabel  
**Roberts**, Jessica Kathleen  
**Ropiha-Cherrington**, Henare Ruru Mana  
**Sawrey**, Benjamin Ian Grenfell  
**Shen**, Yao  
**Songhurst**, Thomas Russell Graham  
**Springgay**, Mathew John  
**Steyn**, Dylan Allen  
**Sullivan**, Maxwell Avery  
**Sutton**, William Joseph  
**Yap**, Joel Ildefonso Toribio

#### **Bachelor of Design Innovation**

**Bao**, Jianing  
**Bosselmann**, Olivia Taylor  
**Brenton**, Sasha Simone  
**Bublitz**, Jack Hunter  
**Carter**, Isabella Kathryn  
**Corich-Hermans**, Monique Jeanne  
**Flynn**, Amy Elizabeth  
**Fox**, Florence Mary Rose Stone  
**Garcia**, Laura Claudia Anita  
**George**, Sophie Margaret  
**Gittings**, Daisy Isabella  
**Gordon**, Joseph Francis Benton  
**Growcott**, Alexandra May  
**Guo**, Zhijun  
**He**, Qian  
**Hiley**, Chester William  
**Horder**, Charlotte May  
**Houlker**, Amelia Grace  
**Howard**, Evelyn Jane  
**Idris**, Fathimah Lateefah  
**Ilg**, Galena Marie  
**Jackson**, Ben Barratt  
**Jackson-Grammer**, Jada Lily  
**Jiang**, David  
**Kang**, Haiyun  
**Kay**, Fraser James  
**Lee**, Hojin  
**Leitch**, Kaela Anne  
**Li**, Zhuo  
**Lipsham**, Terehia Kehu  
**Loma**, Hannah Lovella Dy  
**Lum**, Jamie Alexander  
**Magadia**, Jake Timothy Calimlim  
**Mahaganti**, Jenny  
**Mahon**, Hannah Lucia  
**Maxwell**, Samuel Liam Pollock  
**McDonnell-Sinkins**, Hannah Kate

**Mckechnie**, Kiera Joy  
**McSweeney**, Reuben Kenneth  
**Meads**, Samantha Kate  
**Meldrum**, Sophia Alexandra  
**Meng**, Sochetha  
**Michelson**, Matthew Thomas  
**Mo**, Junhao  
**Moor**, Sony  
**Muggeridge**, Madisyn Paige  
**Muntz-Walsh**, Alana Erica  
**Newbold**, Alice Clare  
**Parkes**, Hannah Grace  
**Patel**, Shivam Ashok  
**Phang**, Justin Qun Yin  
**Piepke**, Caitlin Natasha  
**Pretorius**, Michelle Anne  
**Ratanpal**, Sanika  
**Rolle**, Mathew Aaron  
**Rowland**, Kate Lydia Ingman  
**Ryan**, Bronagh Marara  
**Sander**, Kacy Celine  
**Shoji**, Kei  
**Symons**, Jacob Jude  
**Taggart**, Josie Leigh  
**Taplin**, Rhys James  
**Tapnio**, Aeran Celestial  
**Taylor**, Jessica Laraine  
**Truong**, Thi Thanh Hien  
**Vile**, Cooper Benjamin  
**Wang**, Haowei  
**Wang**, Ruoheng  
**Washer**, Beth Florence  
**Watson**, Callum Stanley  
**Watson**, Jessica Catherine  
**Wei**, Ziyi  
**Westerman**, Cody Anton  
**Wiggins**, Francesca Naomi  
**Williams**, Ellie  
**Wilson**, Angus Wray  
**Windle**, Georgia Marie Ellen  
**Wyllie**, Zeta Leah Eva  
**Xu**, Chuiqing  
**Young**, Grace Lily  
**Zhang**, Yuxin  
**Zhou**, Minghan  
**Zhu**, Xiaoya  
**Zhuge**, Jierui

**Postgraduate Diploma in Architectural Science**

**Cotterell**, Jack Peter, *in Project Management*

**Postgraduate Diploma in Designed Environments**

**Tawa**, Dayna Ashlea, *in Interior Architecture*

**Graduate Diploma in Design Innovation**

**Yang**, Li

**Graduate Diploma in Designed Environments**

**Alipuria**, Smita Mukesh, *in Architecture*  
**Choi**, Seohyeon, *in Architecture*  
**Evans**, Logan James Cust, *in Architecture*  
**Jiang**, Tianqi, *in Architecture*  
**Jung**, Seohyun, *in Architecture*  
**Ou**, Susana, *in Architecture*  
**Skene**, Caleb Paul, *in Architecture*  
**Wright**, Theo Jon, *in Project Management*

**WELLINGTON SCHOOL OF BUSINESS AND GOVERNMENT**

**Master of Applied Finance**

**Ramesh**, Rajoth

**Master of Global Business**

**Garvis**, Katherine Beatrice, *with Merit*  
**Kuepper**, Laura-Madeleine Viktoria Bernadette, *with Distinction*

**Master of Information Management**

**McCann-Hayton**, Michelle Lea  
**Retna**, Kartik So Sekar Retnam, *with Merit*

**Master of Professional Accounting**

**Lim**, Teng Leng, *with Merit*  
**Song**, Zhenhua  
**Zhang**, Aoran  
**Zhang**, Kaiyuehan

**Master of Professional Business Analysis**

**Nair**, Sneha, *with Merit*

**Master of Professional Economics**

**Campbell-Macdonald**, Benjamin John, *with Distinction*

**Bachelor of Commerce with Honours**

**Roberts**, Guy Adrian, *with Second Class Honours (second division) in Finance*  
**Yue**, Jonathan Jinle, *with Second Class Honours (first division) in International Business*

**Bachelor of Tourism Management with Honours**

**Yang**, Siyi, *with Second Class Honours (second division)*

**Bachelor of Commerce**

**Abramov**, Maksim  
**Alley**, Daniel Jon  
**Archer**, Samuel David  
**Ashdown**, George Graham  
**Ashton**, Douglas Max Oliver  
**Bahler**, William Thomas Gregory  
**Barends**, Nikita Jade  
**Barker**, Ava Lauren  
**Blair**, Olivia Grace  
**Booth**, Blake John Wiffen  
**Brawley**, Aiden James  
**Brown**, George Quintan Matthew  
**Bruce**, Gracie Anne  
**Bull**, Nik Robert

**Burton**, Bethany Agnes  
**Burton**, Olivia Joy  
**Butson**, Louis Daniel  
**Carter**, Isabella Kathryn  
**Chen**, Yaowei  
**Cheung**, Joshua Wing-Fei  
**Clayton**, Adam John  
**Cleary**, Michaela Rachel MacLennan  
**Clifford McCulloch**, Olivia Rose  
**Cohen**, Jordan Daniel  
**Cooley**, Jennifer Rose  
**Cornell**, Timothy Edward  
**De Gregorio**, Sophie Lucia  
**Do**, Cat Tuong  
**Doan**, Ngoc Nguyet Anh  
**Doan**, Ngoc Thanh Loan  
**Dowsing**, Cameron John Stephen  
**Dyer**, Cameron Josh  
**Elmes**, Flynn Patrick Gardner  
**Enright**, Harry Timothy Mallon  
**Ewing**, Lauryn Samantha  
**Flanagan**, Jacob Grant Christen  
**Foa'i**, Iziq Iakopo  
**Foord**, Isabella Grace  
**George**, Glen Mareroa  
**Gerondis**, Nikolaos  
**Gough**, Mitchell Percy  
**Gower**, Holly Joy  
**Grange**, Francesca Maria  
**Grange**, Reece Stanley  
**Grant**, Alexander David James  
**Hall**, Jamie Christian Trevella  
**Hannan**, Thomas Daniel  
**Harris**, Alexander James  
**Haslam**, Sally Alexandra Kathleen  
**Heron**, Aimee Kate  
**Hlawn Ceu**, Mary Sui  
**Hoffman**, Anna Grace  
**Homer**, Luke David  
**Huang**, Yanbin  
**Huirama**, Ngahuia Tumeke  
**Isaacs**, Connor Michael  
**Itinteang**, Andrew Daniel  
**Jones**, Holly Lucinda  
**Jones**, Taneal Amy Miriama  
**Jones**, Thomas Arthur  
**Joplin**, Philip Charles David  
**Joseph**, Drue Zakaria  
**Kananghimis**, Nicolas Basil  
**Kaur**, Gurkiran  
**Kaur**, Sahil Preet  
**Kavalinovich-Skeens**, Trae Isiah  
**Kay**, Fraser James  
**Keip**, Finlay Willem  
**Kelman**, Luke Lofty  
**Kirby**, William David  
**Lamb**, Victoria Alice

**Leaver**, Kendall Patricia  
**Liang**, Liziying  
**Ligeralde**, Rafael Gerard Coronacion  
**Linden**, Curtis Daniel  
**Lintern**, Iotia Makea Tekonako William  
**Lister**, Paris Te Awhi O Rangī Tuhaka  
**Lomax**, Joseph Peter  
**Lomax-Morris**, Cherri-Lyn Florence  
**Loveday**, Anton James  
**Lowcay**, Alexander Nicholas  
**MacGregor-Dunn**, Jett Trevor  
**Mancer**, Conor John  
**Marley**, Samuel Kenneth  
**McAnulty**, Daniel James  
**McCloy**, Thomas Daniel William  
**Mckendry**, Joshua William  
**McLaren**, George Lawrence  
**McMillan**, Zinzan Hugh  
**Montgomery**, Bethan Mary  
**Morgan**, Tallulah Mae  
**Muhammad Ihsan**, ~  
**Mullis**, Jessica Tayla  
**Nicholson**, Jakob John  
**O'Donovan**, Ben Joseph Millen  
**O'Hagan**, Dillon James Leo  
**Oakes**, Evelyn Brittany  
**Osborne**, Rubie Rae  
**Ou**, Samkhan  
**Paki**, Emer Kody  
**Patel**, Akshar Katan Govan  
**Patel**, Raj Kumar  
**Pepper**, Lucy Grace Marillier  
**Pettigrew**, Cameron Robbie  
**Phillips**, Samuel Russell  
**Pinny**, Matthew William Steadman  
**Pourcharoen**, Prapassorn  
**Pritchard**, Summer Anne  
**Renton**, Rosie Rachel  
**Robinson**, Sharnelle Brooke  
**Rosemoore**, Alexina Grace  
**Sadler**, Oliver Robert  
**Schon**, Finn Michael  
**Shearer**, Max Cameron Scott  
**Simpson**, Hamish Boyd  
**Slight**, Rochelle Grace  
**Squatriti**, Juliet Maria  
**Tailor**, Bhavisha  
**Terry**, Helena Astra  
**Tiefenbacher**, Natasha  
**Turley**, Amos Jefferson  
**Vakaloloma**, Mafoa Talemaitoga  
**van Erpers Roijaards**, Joshua Luke  
**Vinaccia**, Ellie Francesca  
**Watson**, Thomas Anthony  
**Williams**, Brooke Freedom  
**Yang**, Chenyue  
**Yang**, Xinyue

**Yee, Morgan Walter**

**Yuan, Qian**

**Bachelor of Commerce and Administration**

**Wang, Yan**

**Bachelor of Tourism Management**

**Evangelista, Felicia Therese Dorotheo**

**Tuiano, Eseta**

**Vakaloloma, Mafoa Talemaitoga**

**Postgraduate Diploma in Information Studies**

**Black, Courtney Kane**

**Greensides, Evan Christopher**

**Postgraduate Diploma in Public Management**

**Waswo, Sally Michelle**

**Graduate Diploma in Commerce**

**Bevan-Smith, Kate**

**Dela Rue, Sarah Louise, *in Finance***

**Regnault, Louis Carlyle, *in Human Resource Management & Employment Relations***

**Walker, Cameron Guy, *in Management***

**Postgraduate Certificate in Information Studies**

**Holtslag, Rata**

**Hurley, Morgan Jean**

**Postgraduate Certificate in Public Management**

**Cornwell, Kirk John**

**Desa, Glenn Francis Xavier**

**Gardner, Israel Stewart**

**Haynes, Jamie Charles**

**Hiliate, Eugenie Mafikaunanga**

**Kubuabola, Isikeli Boginivalu**

**Leofo, Faafetai**

**Ramakrishna, Vijaya**

**Samaseia, Claudette Donnel**

**Savage, Ian Antony**

**Seumanu, Elma Lynn**

**Shaikh, Firoza Banu**

**Sharma, Romita**

**Graduate Certificate in Commerce**

**Stickney, Michael Joseph**

**WELLINGTON FACULTY OF EDUCATION**

**Master of Education**

**Bluck, Mary Alison, *with Merit***

**McDowell, Nadia Izabela, *with Distinction***

**Nicholls, Matthew Danver, *with Merit***

**Master of Educational Psychology**

**Kennedy, Sophia Rose, *with Distinction***

**Poasa, Jessica Vaseleisa, *with Merit***

**Master of Secondary School Leadership**

**Pera**, Christian Peter, *with Merit*

**Master of Teaching and Learning (Primary)**

**Alsford**, Angelina

**Eason**, Maia Tuihana, *with Merit*

**Johns**, Hannah Rose, *with Merit*

**L'Estrange**, Kasey Michael Danielle

**Lee**, Rachel Mary, *with Distinction*

**Macdonald**, Jennifer Dianne

**Nicol**, Craig Stephen Roy

**O'Connor**, Caitlin Jessica

**Thornton**, Natasha Alice

**Wilson**, Otilie Ruth

**Master of Teaching and Learning (Secondary)**

**Duxbury**, Laura Caitlin, *with Merit*

**Williams**, Laura Kate, *with Merit*

**Bachelor of Education (Teaching) Early Childhood**

**Charles**, Isabelle Grace

**Kakara**, Safari Sonny Te Aroha Spring

**MacRae**, Alexandra Bridget

**Sologar**, Shayal Sweta

**Sun**, Yijiu

**Postgraduate Diploma in Educational Psychology Practice**

**Flanagan**, Hayden Tamarua Farrouq

**Lowes**, Shannon Catherine

**White**, Rebeka Louise

**Graduate Diploma of Teaching (Early Childhood Education)**

**Haggerty Drummond**, Frances Bridget Rose

**Hollows**, Jay Brent

**Graduate Diploma of Teaching (Primary)**

**Allan**, Meghan Catriona

**Battersby**, Kerri Paige

**Eggleston**, Megan Frances

**Gillam**, Brydon John

**Gilmore**, Zoe Elisha

**Haggerty Drummond**, Meghan Cecilia Mary

**Harper**, Brooklyn Laura Rose

**Howard**, Tahlia Francesca

**Lake**, Charlotte Olivia Paige

**Mills**, Tracey Elizabeth

**Moore**, Kelsey Anne

**Mottet**, Sophie

**Rodda**, Peter Josiah

**Sartison**, Maria

**Smyth**, James Alexander

**Spencer**, Zoe Adelaide

**Wrighton**, Dikla

**Graduate Diploma of Teaching (Secondary)**

**Allam**, Bradley James

**Bayliss**, Nathan Thomas

**Bhana**, Roshaan Trishul  
**Bowler**, Georgia Kate  
**Buttner**, Chanette Margert  
**Clayton**, Bridget Elizabeth  
**Devane**, Lisa Marie  
**Finlayson**, Zoe Simone  
**Mansfield**, Tami Brunelle  
**McKee**, Ryan  
**Robinson**, Matthew Michael  
**Tompsett**, Megan Elizabeth  
**Whiting**, Dayna Keely  
**Zia**, Asif Iqbal

#### **Postgraduate Certificate in Education and Professional Development**

**Nicholson**, Natasha Nevadne  
**Rajagopal**, Sujata

## **WELLINGTON FACULTY OF ENGINEERING**

### **Bachelor of Engineering with Honours**

**Armstrong**, Daniel Anthony, *with Second Class Honours (first division) in Electronic and Computer Systems Engineering*  
**Barrenechea**, John Kenedie Carranza, *with Second Class Honours (second division) in Network Engineering*  
**Benfell**, Joshua David, *with First Class Honours in Electronic and Computer Systems Engineering*  
**Berkahn**, Joshua Kingsley, *with First Class Honours in Electronic and Computer Systems Engineering*  
**Cook**, Harrison Beavis, *with First Class Honours in Software Engineering*  
**De Silva**, Niranjan Dhananjaya, *with Second Class Honours (first division) in Software Engineering*  
**Domingo**, Javrielle Alex Arriola, *with Second Class Honours (first division) in Software Engineering*  
**Eisen**, Daniel Ben, *with First Class Honours in Electronic and Computer Systems Engineering*  
**Fahey**, Megan Jane, *with First Class Honours in Electronic and Computer Systems Engineering*  
**Fowler**, William Laurence, *with First Class Honours in Electronic and Computer Systems Engineering*  
**Gatehouse**, Bryony Sian, *with First Class Honours in Software Engineering*  
**Goodger**, Caitlin Eleanor, *with First Class Honours in Software Engineering*  
**Griffen**, Samuel Thomas Gordon, *with First Class Honours in Electronic and Computer Systems Engineering*  
**Hau**, Samantha Sum-Yee, *with Second Class Honours (first division) in Software Engineering*  
**King**, Ethan Donald, *with First Class Honours in Cybersecurity Engineering*  
**Koh**, Justina Yurong, *with First Class Honours in Software Engineering*  
**Kristen**, Luisa Catharina, *with First Class Honours in Software Engineering*  
**Leedom**, Kirsty-Ann Grace, *with First Class Honours in Cybersecurity Engineering*  
**Lim-Ranola**, Justine Micaela Labo, *with Second Class Honours (first division) in Software Engineering*  
**McCormick**, Jason Robert, *with Second Class Honours (second division) in Electronic and Computer Systems Engineering*  
**Pace**, Alexander Fred, *with First Class Honours in Cybersecurity Engineering*  
**Page**, Thomas William John, *with First Class Honours in Software Engineering*  
**Panda**, Sai Sathvik, *with First Class Honours in Software Engineering*  
**Peneueta**, Penny, *with Second Class Honours (first division) in Software Engineering*  
**Pullon**, Daniel Francis Srhoy, *with Second Class Honours (first division) in Software Engineering*  
**Rahman**, Ahad, *with First Class Honours in Software Engineering*  
**Rainford**, Thomas Ian, *with Second Class Honours (first division) in Software Engineering*  
**Scott**, Zachary Harrison, *with First Class Honours in Software Engineering*  
**Simmonds**, Connor Tobias, *with Second Class Honours (first division) in Software Engineering*  
**Stayner**, Toby James Zhou, *with First Class Honours in Software Engineering*  
**Strongman**, Georgia Lee, *with First Class Honours in Cybersecurity Engineering*  
**Taing**, Meng Veng, *with Second Class Honours (first division) in Software Engineering*  
**Tesfay**, Kibra Tajebe, *with Second Class Honours (second division) in Electrical & Electronic Engineering*  
**Winton**, Michael Lennon Seton, *with First Class Honours in Electronic and Computer Systems Engineering*

**Yee, Ryan Bruce**, *with First Class Honours in Cybersecurity Engineering*

## **WELLINGTON FACULTY OF HEALTH**

### **Master of Health**

**Hannon, Gillian Catherine**, *in Professional Practice with Merit*

**Jones, Fiona Joyce**, *in Workplace Health and Safety with Distinction*

**Lochhead, Deborah Kay**, *in Professional Practice with Distinction*

**Reed, Anna Beth**, *in Health Policy and Planning and Service Delivery with Merit*

**Willows, Michelle**, *in Health Leadership and Management with Merit*

### **Bachelor of Health**

**Born, Solida**

**Guyton, Emily May**

**Mackirdy, Dylan Jack**

**Okeroa-Garner, Karaitiana Rikitaua**

**Puleiata, Ainsleyana Vaaipu**

**Ravindran, Padmashree Sonia**

**Roberts, Shin Ju Pearl**

**Rose, Zachary Keith Holden**

**Steel, Maya Meredith**

**Wetzelberger, Oakleigh River**

**Williams, Ellis Kathleen Mary**

### **Postgraduate Diploma in Health**

**Macdonald, Melita Sue**

### **Postgraduate Diploma in Health Psychology**

**Feringa, Georgia Phebe**

**Horn, William David**

**Kennedy, Emily Rose**

**McKibben, Elizabeth Claire**

**Wheatley, Emma Jane**

### **Postgraduate Diploma in Nursing Science**

**Barwell, Louise Helen,**

**de Graaf, Janieke Maria,**

**Hamukombo, Monde,**

**Molloy, Jessica Kate,**

**Williams, Leah Dale,**

### **Postgraduate Certificate in Health**

**Brophy, Siobhan Daena**

### **Postgraduate Certificate in Nursing Science**

**Hunter, Maree Louise**

**Kernkhunthot, Panmai**

**Narayan, Shivashni**

**Ward, Susanne**

**Whitaker-Mills, Max Edward**

## **WELLINGTON FACULTY OF HUMANITIES AND SOCIAL SCIENCES**

### **Master of Arts**

**Bliem Marull, Sophia Cecilia**, *in Media Studies with Merit*

**Bogle Petterson**, Luke Christopher, *in Applied Linguistics with Distinction*  
**Came**, Sharron Gael, *in Creative Writing with Distinction*  
**Chang**, Melody Pan Yun-Ping, *in Creative Writing with Merit*  
**Dodd**, Leah Jane, *in Creative Writing with Distinction*  
**Doyle**, Charlotte Ruth Grace, *in Creative Writing with Distinction*  
**Gill**, Jemma Louise, *in Creative Writing with Distinction*  
**Heron**, Bronte Helen, *in Creative Writing with Merit*  
**Higgins**, Zoe Elizabeth Salmond, *in Creative Writing with Distinction*  
**Holst**, Janet Karen, *in Creative Writing with Distinction*  
**Hoyle**, Caleb Jack, *in Political Science with Distinction*  
**Knight**, Callum Isaiah Asherton, *in Creative Writing with Merit*  
**Kyaw**, Win, *in Teaching English to Speakers of Other Languages*  
**Liu**, Rosalie Jiaqiao, *in Creative Writing with Distinction*  
**Maasdam**, Annabel, *in Creative Writing with Merit*  
**Marquez**, Nancy Elisa, *in Literary Translation Studies with Distinction*  
**McAvinue Northcott**, Scarlett Amelia, *in Creative Writing with Merit*  
**McLeod**, Jonathan Roscoe, *in Creative Writing with Merit*  
**Nalder**, Anita Maree, *in Creative Writing with Merit*  
**Nguyen**, Tam Thi Thanh, *in Teaching English to Speakers of Other Languages with Merit*  
**O'Brien**, Gerard Michael, *in Creative Writing with Merit*  
**Parker**, Joe, *in Creative Writing with Distinction*  
**Paulsen-More**, N'Khaya Te Kopu Arahi Hauraki, *in Creative Writing with Distinction*  
**Rossiter**, Timothy James, *in Applied Linguistics with Distinction*  
**Spring**, Sylvan Rhodes, *in Creative Writing with Distinction*  
**Sturgess**, Margaret Ann, *in Creative Writing with Merit*  
**Taylor**, Lachlan Gregory, *in Creative Writing with Distinction*  
**Telfar**, Evangelina Anna, *in Creative Writing with Distinction*  
**Tran**, Aline Mai Huong, *in Creative Writing with Merit*  
**Tran**, An Khanh, *in Teaching English to Speakers of Other Languages with Merit*  
**Tran**, Thi Minh Thu, *in Teaching English to Speakers of Other Languages with Merit*  
**Tulitua**, Tamara Christina, *in Creative Writing with Merit*  
**White**, Philip Brendon, *in Creative Writing with Merit*  
**Whiting**, Lydia Barclay, *in History with Distinction*  
**Wilson**, Nakisa Evelyn, *in Creative Writing with Merit*  
**Wright**, Georgia Susan Phyllis, *in Creative Writing with Distinction*  
**Wyles**, Eva Yfke Banga, *in Creative Writing with Merit*  
**Zhang**, Hanyue, *in Teaching English to Speakers of Other Languages*  
**Ziemke-Dickens**, Caroline Frieda, *in Creative Writing with Distinction*

#### **Master of Intercultural Communication and Applied Translation**

**Emery**, Madeline Ruth Nash, *with Distinction*

#### **Master of Museum and Heritage Practice**

**Burton**, Millie Rose Sutherland, *with Distinction*

**Squire**, Violette Francesca, *with Merit*

#### **Bachelor of Arts with Honours**

**Aldridge**, Bradley Mark, *with First Class Honours in English*

**Atkins**, Sarah Causey, *with First Class Honours in English*

**Baxter**, Jacob Malcolm Donald, *with First Class Honours in History*

**Catayoc**, Fergielyn Maneja, *with Second Class Honours (first division) in Classical Studies*

**Day**, Samuel William, *with First Class Honours in History*

**de Reus**, Gabrielle Charlie, *with First Class Honours in English*

**Eng**, Amarind Hun, *with First Class Honours in International Relations*

**Gallagher**, Timothy Lee, *with First Class Honours in Māori Studies*

**Grant**, Ruben Victor, *with First Class Honours in English*

**Gray**, Lora, *with First Class Honours in English*

**Green**, Caitlin Estelle, *with Second Class Honours (first division) in English*  
**Hall Butcher**, Lily Pare, *with First Class Honours in History*  
**Harris**, Stefanie Joan, *with First Class Honours in Media Studies*  
**Haydock**, James Mackenzie, *with First Class Honours in Criminology*  
**Herring**, Elias Andrew Joseph, *with Second Class Honours (first division) in History*  
**Kafatolu**, Dominique Gideon Ngateinakore Semisi, *with First Class Honours in Pacific Studies*  
**Kirtikar**, Janaye Louise, *with First Class Honours in English*  
**Letteri**, Sofia Joslin, *with First Class Honours in Greek*  
**Louverdis**, Elena Annetta, *with First Class Honours in Classical Studies*  
**Mathias**, Shanti Ruth Madeira, *with First Class Honours in English*  
**Matranga**, Mars, *with First Class Honours in English*  
**McLean**, Chelsea Taylor, *with First Class Honours in Cultural Anthropology*  
**O'Regan**, Abigail Bertha, *with First Class Honours in Art History*  
**Occleshaw**, Conor Timothy, *with Second Class Honours (first division) in Sociology*  
**Oudes**, Mia Fay Tuia, *with First Class Honours in Theatre*  
**Pou**, Brooke Aariana Mae, *with First Class Honours in Art History*  
**Rickard**, Genevieve Mary Mills, *with First Class Honours in History*  
**Shepherd**, Caroline Francesca, *with Second Class Honours (first division) in English*  
**Sinclair**, Alexander James Croy, *with First Class Honours in History*  
**Stack**, Rosie Bridget Sellers, *with First Class Honours in Art History*  
**Venkateswar**, Cileme Aroha, *with First Class Honours in English*

#### **Bachelor of Music with Honours**

**Asunder**, Matt, *with First Class Honours in Composition/Sonic Arts*  
**Berghuis**, Zane Johannes, *with Second Class Honours (first division) in Music Studies*  
**Chambers**, Shaunagh Niamh, *with First Class Honours in Classical Performance*  
**Dutta**, Akash, *with First Class Honours in Jazz Performance*  
**Huckle**, Jennifer Margaret, *with Second Class Honours (first division) in Classical Performance*  
**McKeever**, Samuel Isaac, *with First Class Honours in Classical Performance*  
**Nickel**, Hayden Norbert, *with First Class Honours in Classical Performance*  
**Ou**, Paddy, *with Second Class Honours (first division) in Composition/Sonic Arts*  
**Richardson**, Jeremy Syar, *with First Class Honours in Jazz Performance*  
**Roberts**, Sarang Calla, *with First Class Honours in Classical Performance*  
**Smithers**, Ainslee Grace, *with First Class Honours in Classical Performance*  
**Wills**, Zephyr Hunter Naapi, *with First Class Honours in Classical Performance*

#### **Bachelor of Arts**

**Adams**, Jay Douglas  
**Aitken**, Rona Ann McRae  
**Allan**, Grace Nicole  
**Allen**, Jamie Alexander  
**Amohia**, Ethan Patrick  
**Andreef**, Michail Nikolov Christopher  
**Ayto**, Ella Grace  
**Bailey**, Bianca Marie  
**Baillie**, Rosie Elizabeth  
**Baker**, Holly Renee  
**Bakieva**, Aziza Ramazanovna  
**Barefoot**, Zayne Ron G  
**Beck**, Renee Alice  
**Bedford**, Cadence Emma  
**Beech**, Lakan Joseph Galang  
**Bell**, Cameron Douglas Cavanagh  
**Bhakta**, Aruna Joy  
**Blackham**, Fiann Carlington  
**Blackwell**, Finn George  
**Bykova**, Valentina

**Chang**, Seokin  
**Chapman-Peters**, Eva Skylar Jamila  
**Charman**, Rae  
**Chen**, Ellen  
**Chong**, Benjamin Hamish Clissold  
**Christoffel**, Ruby Mai  
**Cina**, Elliot Reuben  
**Conlon**, Fintan Anthony Paul  
**Cornell**, Timothy Edward  
**Covell**, Kyle Dylan James  
**Curel**, Grace Lily  
**Currie**, Stacey  
**Daines**, Emma Marie  
**Dale**, Maddison India Annie McGregor  
**Dancer**, Isobel Anna Grace  
**de Wolff**, Calypso Mason  
**Delaney**, Morgan James  
**Derwin**, Jenna Catherine  
**Dias**, Rhea Florence Madeline  
**Domdom**, Gian Andre Pragados  
**Doran**, Thomas Henry  
**Drummond**, Rebecca Megan  
**Dunn**, Georgia Daisy  
**Edwards**, Hatali Ioana Daisy  
**Egginson**, Iain James Robert  
**Ellice**, Sebastian Brock Hassard  
**Eng**, Amarind Hun  
**Enright**, Harry Timothy Mallon  
**Escott**, David Philip  
**Evangelista**, Felicia Therese Dorotheo  
**Faeteete**, Alani Samantha Mikaela  
**Faber**, Arie  
**Fisher**, Emma Anne  
**Fitzgerald**, Christopher James  
**Florez Betancur**, Valentina  
**Fuller**, Aria Annie Inez  
**Gabitov**, Jeremy Ruslanovich  
**Ghirmay**, Ammanuel Rustom  
**Gilbert**, Lauren Marie  
**Giurioli**, Alexander William  
**Godsiff**, Brydie Elizabeth  
**Goodliffe**, Lilian Grace  
**Gordon**, Sophie Jayne  
**Grant**, Ruben Victor  
**Graydon**, Stella Lilly Rose  
**Green**, Brianna Rei Erica  
**Gregory**, Isabella Scarlett Manser  
**Grigor**, Euan Kenneth Lunjevich  
**Guerin**, Alexander Bede Hamer  
**Hamlyn**, Kayley Rose  
**Handa**, Tara Yukari Margaret  
**Harrison**, Connor Ross David  
**Harvey**, Holly Rose  
**Hay**, Olivia Jayne  
**Head**, Benjamin Francis  
**Heffernan**, Elena Annie  
**Heh**, Ngun Aye Elizabeth

**Henare**, Te Aroha Elizabeth  
**Henry**, Margaret Kathleen  
**Herring**, Elias Andrew Joseph  
**Hewitson**, Eve Renee  
**Hewson**, Laura Kirsten Blackshaw  
**Hoang**, Nam  
**Hoang**, Phuong  
**Hohaia-Chapman**, Tyrin Te-Kawanga  
**Holden**, Teisha Renee  
**Holmes**, Jordan Michelle  
**Howard**, Samuel Robert  
**Huirama**, Ngahuia Tumeke  
**Hurst**, Kate Elizabeth  
**Inglis**, Imogen  
**Jackson**, Rebekah Jean  
**Johanson**, Matthew Brett Abegg  
**Johnson**, Saffiyah Maureen  
**Jolly**, Amanda Rose  
**Jones**, Emily Tegan  
**Kainamu-Davis**, Ngaronoa Alice  
**Kauri**, Ariana Patricia Bessie  
**Keller**, Zita Anna Bacskai  
**Kelly**, Tyrie Grace  
**Kemble**, Samuel Sinclair  
**Kilioni**, Imogen Tinsley  
**Kirk**, Seth Lewis  
**Knott**, Helen Meleane Taumoepeau  
**Knowles**, Katie Olivia  
**Kuchlein**, Puti-Puti Ra Wilhelmina  
**Laurysen**, Rebecca  
**Leak**, Jordan  
**Leamy**, Jessica Charity  
**Lellman**, Ellen Grace  
**Levestam**, Sophie Nicole  
**Lim**, Ji Yu  
**Locke**, Jackson Kawiti Russell  
**Loh**, Amanda Zi Yen  
**Lomax-Morris**, Cherri-Lyn Florence  
**Longstaff**, Shannon Grace  
**Macdonald**, Inigo Leonard  
**Macdonald**, Jennifer Dianne  
**Maisey**, Rachel Jayne  
**Malone**, Tara Grace  
**Mangous**, Eden Nicholas  
**Mann**, Izabella Jazz  
**Matheou**, Andrew Douglas  
**Matranga**, Mars  
**McCorry**, Alana  
**McGowan-Arnold**, Marco Vere  
**Mclachlan**, Samantha Megan  
**McRae**, Holly Georgia  
**Mcsheffrey**, Calais Anareta Huria  
**Mepsted**, Laura Ngaire  
**Milburn-Parkin**, Klara Puspita Anne  
**Millington**, James Logan  
**Mitchell**, Nathan James  
**Mohuanga**, Tiana Aroha

**Moore**, Theo Julius Ernest  
**Mundy**, Alexis Lesley  
**Murdoch**, Claudia Patricia  
**Murphy**, Adam James Michael  
**Nair**, Ayash  
**Newman**, Phoebe Kendal  
**Ngô Hoàng Hiệp**  
**Nickel**, Hayden Norbert  
**O'Donnell**, Lilly Frances  
**Occleshaw**, Conor Timothy  
**Oliver**, Helen Louise  
**Oudes**, Mia Fay Tuia  
**Patterson**, Hannah Nicole  
**Peek**, Anna-Lisa  
**Penberthy-Hutchins**, Emily-Rose Marion  
**Pham**, Phuong Uyen  
**Pilley**, Thomas Luke  
**Pinner**, Emily Grace  
**Plunket**, Elise Merran  
**Porter**, Abigail Lindsey  
**Potiki-Clune**, Kate-Lynne  
**Pou**, Brooke Aariana Mae  
**Prasad**, Sera  
**Ramirez**, Adrienne Rae Abustan  
**Reedy**, Stacey Lee  
**Regnaud**, Nicole Grace  
**Reid**, Maia Haruna  
**Renton**, Rosie Rachel  
**Richards**, Alexa Marie  
**Riordan**, Olivia Kate  
**Rose-Zondag**, Charley  
**Ross**, Brittany Maree  
**Rossiter**, Katharine Sarah  
**Salah**, Ayaan Faisal Abdi  
**Scott**, Natasha Anne  
**Seo**, Jung Min  
**Shepherd**, Caroline Francesca  
**Shi**, Yuhang  
**Shiratori**, Yuriko  
**Shotwell**, Petra Devin  
**Slicker**, Joseph Louis  
**Smith**, Daniel Thomas  
**Smith**, Harry Maxwell Guy  
**Smythe**, Abby Gloria  
**Speedy**, Paul Jnr Siluisi  
**Stack**, Rosie Bridget Sellers  
**Stenhouse**, Jenna Anne  
**Stephens**, Solomon Ross  
**Stewardson**, Thomas Liam Sidney Gisberts  
**Stewart**, Isabella Eila Jane  
**Stewart**, Rebecca Kirsty  
**Stockdale**, Cameron D'Esterre  
**Stott**, Lilian Elizabeth  
**Stubbing**, Benjamin Walter Purdy  
**Sturmer**, Angelina Maria Kristine  
**Suailua**, Natasha Agalelei  
**Symmans De Vere Green**, Maya Rachel

**Taggart, Tayla**  
**Taylor, Tigga Bee Paton**  
**Taylor, William James**  
**Thompson, Charlotte Grace Louisa**  
**Tims, Zoe Elizabeth Barbara**  
**Tran, Huyen Trang**  
**Tran-Gunpee, Yeena**  
**Tupe, Jazzmin Tule Winifred**  
**Turnbull, Joshua Hamish**  
**Tyson, Sofi Margaret**  
**Vahey Bourne, Daisy Innis**  
**Veneer, Caitlin Melissa Church**  
**Voong, Rebecca**  
**Wallace, Isabella Rose Julia**  
**Wallace, James William**  
**Wanke, Ulrike Nadine**  
**Ward, Jacinta Maree**  
**Watson, Dalton Kane**  
**Watts, Barnaby Xavier Palmer**  
**Watts, Jayden Tyler**  
**Wearing, Alexandra Jennifer**  
**Webb, Olivia Monique**  
**Webster, Annabelle Frances**  
**Weeks, Mitchell Connor**  
**Wheatley, Joshua James**  
**White, Hannah**  
**White, Thomas Christopher**  
**Whiterod, Morgan Peta**  
**Whittet, Rebecca Yanasiri**  
**Wilkens, Elijah Tate**  
**Williams, Aaron Jayd**  
**Williams, Jake Michael**  
**Williamson, James David**  
**Wilson, Amy Mckenzie**  
**Wilson, Grace Kathleen**  
**Wilson, Kadie Ruth**  
**Wilson-Burke, Esta Kelly**  
**Wirangi, Jasmine Fetu-Ole-Moana**  
**Wishnowsky, Zoe Patricia**  
**Wood, Darien Lena**  
**Wrighton Doorne, Greta Louise**  
**Zhang, Jiazhen**  
**Zhang, Yaoyun**  
**Zhang, Yuxuan**

#### **Bachelor of Music**

**Burton-wood, Henry Frances Gregory**  
**Butler, Hugo James**  
**Duncan, Emma Caitlin**  
**Dutta, Akash**  
**Hendry, Duncan Blair Kell**  
**Huckle, Jennifer Margaret**  
**King, Kate Rachael**  
**Lancaster, Lauren Elizabeth**  
**Mcmillan, Samuel Joseph**  
**Natarajan, Sai Shravan**  
**Nickel, Hayden Norbert**

**Ou, Paddy**  
**Roberts, Sarang Calla**  
**Shin, Yoonseo**  
**Sparkes, Baileigh Suzannah**  
**Swan, Rafe Andrew Reid**  
**Swan, William Forsyth**  
**Voss, Thomas Frederick Lloyd**

**Postgraduate Diploma in International Relations**

**Forsyth, Iain Samuel Tetley**

**Postgraduate Diploma in Migration Studies**

**Fonseka, Nilanka Valentine**

**Postgraduate Diploma in Teaching English to Speakers of Other Languages**

**Petersen, Grant Evan**

**Graduate Diploma in Arts**

**Anderson, Isabella Maeve, *in Education***

**Andrews, Melanie Jane, *in Psychology***

**Atkins, Sarah Causey, *in English Literature***

**Gilbert Keene, Sophie Garnet, *in English Literature***

**Diploma in University Studies (PP)**

**Leota, Naomi**

**Stephens, Frida May**

**Talalelei Amani, Victoria**

**Postgraduate Certificate in Communication**

**Schurink, Ruby Louise**

**Graduate Certificate in Teaching English to Speakers of Other Languages**

**Knott, Helen Meleane Taumoepeau**

**Certificate of Proficiency in English**

**Doan, Ngoc Nguyet Anh**

**Doan, Ngoc Thanh Loan**

**Song, Zhenhua**

**Yang, Chenyue**

**Zhang, Aoran**

**Zhang, Kaiyuehan**

## **FACULTY OF LAW**

**Master of Laws**

**Mathew, Ruchi Riya, *with Merit***

**Schofield, Tyne Lindsay, *with Merit***

**Scott, Mark Robert, *with Merit***

**Bachelor of Laws with Honours**

**Cawkwell, Samantha Ann, *with Second Class Honours (first division)***

**Ellice, Sebastian Brock Hassard, *with First Class Honours***

**Venter, Karan Andrew, *with First Class Honours***

**White, Thomas Christopher, *with First Class Honours***

**Zhou, Gavin Jia jing, *with First Class Honours***

**Bachelor of Laws**

**Adams**, Jay Douglas  
**Beech**, Lakan Joseph Galang  
**Boyle**, Riley  
**Drake**, Marlon Camillo  
**Eagle**, Hunter Robert  
**Eng**, Amarind Hun  
**Flatley**, Clementine Julia  
**Gawe**, Kathleen Nicole Sepulchre  
**Godsiff**, Brydie Elizabeth  
**Gordon**, Sophie Jayne  
**Gower**, Holly Joy  
**Haslam**, Sally Alexandra Kathleen  
**Lellman**, Ellen Grace  
**Lim**, Ji Yu  
**Nair**, Ayash  
**Patel**, Akshar Katan Govan  
**Patterson**, Hannah Nicole  
**Pilley**, Thomas Luke  
**Plunket**, Elise Merran  
**Sharma**, Sharanita  
**Smith**, Daniel Thomas  
**Stephens**, Solomon Ross  
**Swan**, William Forsyth  
**Thompson**, Charlotte Grace Louisa  
**van Selm**, Reuben Jacob  
**Webster**, Annabelle Frances

**WELLINGTON FACULTY OF SCIENCE****Master of Applied Statistics**

**Halder**, Molly Ida Violet Hendry, *with Distinction*

**Master of Biomedical Science**

**Ramela**, Aman Deep, *with Merit*

**Master of Conservation Biology**

**Burns**, Gemma, *with Distinction*  
**McPherson**, Logan James  
**Parker**, Sophie Mead, *with Merit*  
**Piper**, Kirsten Anne Stephenson, *with Merit*  
**Ryan**, Laura Rose, *with Distinction*

**Master of Environmental Studies**

**Crisp**, Adam Keith Landon, *with Merit*

**Master of Marine Conservation**

**Davenport**, Samuel Lloyd

**Master of Science**

**Qian**, Long, *with Distinction in Mathematics*  
**Rogers**, Jacinta Mercedes, *with Distinction in Forensic Psychology*  
**Tabling**, Zaccheaus, *with Second Class Honours (first division) in Molecular Microbiology*

**Master of Science in Society**

**Hurst**, Katherine Fiona, *with Merit*  
**McLean**, Caitlin Lilith Searle, *with Distinction*

**Bachelor of Biomedical Science with Honours**

**Lieffering**, Nico, *with First Class Honours*

**Bachelor of Science with Honours**

**Eccles**, Dannin James, *with First Class Honours in Mathematics*

**Falkenhaus**, Sarah Madison, *with First Class Honours in Psychology*

**Gardiner**, Chelsey Kristen, *with First Class Honours in Psychology*

**Kirk Wilson**, Madeline Paige, *with First Class Honours in Psychology*

**Matheson**, Ashleigh June, *with First Class Honours in Geophysics*

**McKay**, Olivia Sarah Ann, *with Second Class Honours (first division) in Psychology*

**O'Hagan**, Joshua Mark, *with Second Class Honours (first division) in Computer Graphics*

**Patel**, Shae, *with First Class Honours in Chemistry*

**Pritchard**, Lauren, *with First Class Honours in Psychology*

**Quintans**, Lino Dominic Sales, *with First Class Honours in Psychology*

**Rogers**, Jacinta Mercedes, *with First Class Honours in Psychology*

**Stephens**, Emily Rose, *with First Class Honours in Chemistry*

**Stewart**, Kennedy Strauss, *with First Class Honours in Psychology*

**Stoddard**, Brooke Michelle, *with First Class Honours in Psychology*

**Tolhurst**, Mattias Oliver, *with First Class Honours in Biotechnology*

**Bachelor of Biomedical Science**

**Brown**, Lucy Cayetana

**Damiano**, Olivia Maria

**Eagle**, Hunter Robert

**Linton-de Boer**, Alexandria Joy

**O'Leary**, James John

**Pay**, Ruth Alexandra

**Tolhurst**, Mattias Oliver

**Watanabe**, Kae

**Bachelor of Science**

**Alexander**, Nicole Maree

**Balmer**, Jaimie Lyndall

**Bates-Hermans**, Arie Francis

**Bird**, Olivia Emily Grace

**Booth**, Blake John Wiffen

**Bouda**, Paul Jan

**Chambers**, Eleanor Violet Woffenden

**Charlesworth**, Dayna Brooke

**Chung**, Anna Bell Yi

**Cleary**, Michaela Rachel Maclennan

**Collings-Gilmer**, Storm Chinelle

**Conway**, Taine William Izaac

**Copetake**, Samantha Amy

**D'Hondt**, Alok Robert Mikal

**Davies**, Susanna Linda

**Duffy-Bregmen**, Dylan Graeme

**Ejeta**, Gelan Jiru

**Elmes**, Flynn Patrick Gardner

**Evans**, Catlianna Kezia

**Evans**, James Alexander Keith

**Evelt**, Alexi Leigh

**Favel**, Annabel Elizabeth

**Gailer**, Joel Michael

**Gao**, Yuan

**Gawe**, Kathleen Nicole Sepulchre

**Henshaw**, Alexander Menzies  
**Hulmes**, Tasmin Mae  
**Idris**, Fathimah Lateefah  
**Isaacs**, Annie Rose  
**Jackson**, Ella Jane  
**Joe**, Justin Ashley Walter  
**Khokgawe**, Phoebe  
**Liang**, Yaxin  
**Lynch**, Aidan Che Kotahitanga  
**Maclennan**, Jack William  
**MacMillan**, Neil James John  
**Madden**, Holly Nina  
**Mans**, Kyle  
**Mason**, Renee Hollie  
**Matthews**, Finn John Guy  
**McGowan-Arnold**, Marco Vere  
**McLean**, Harry Aidan  
**Meads**, Samantha Kate  
**Merchant**, Insia  
**Milne**, Hamish Toby Antony  
**Morris**, Matthew Henry  
**Ng**, Dylan Wratt  
**Obren**, Nicole Julie  
**Palmer**, Jade Theresa Taylor  
**Panchavinin**, Alisa Pae-Lee  
**Patel**, Jaynesh  
**Patel**, Shae  
**Randall**, Samuel Francis  
**Rathod**, Marcus Meeral Rajesh  
**Richards**, Joshua Stewart  
**Riddle**, Lewis Sydney  
**Ropeti**, Asia Frances  
**Ryan**, Luke Thomas  
**Sanders**, Guy Harold Julius  
**Sheppard**, Azaria  
**Shonakan**, Patrick Burnley Richard  
**Sinclair**, Adam Jonathan William  
**Solon**, Tamara Dominique Abing  
**Stephenson**, Jemma Rose  
**Strydom**, Stefan  
**Stythe**, Imogen Rose  
**Syder**, Russell John  
**Thorpe-Loversuch**, Sam Brandon  
**Tolhurst**, Mattias Oliver  
**van Bergen**, Tasmin Moana  
**Vender**, Keeleigh Paris  
**Visser-Fee**, Christopher Richmond  
**Wang**, Conglang  
**Wang**, Zimo  
**Wilson**, Jack Henry  
**Wood**, Hannah Bella Baillie  
**Wylie**, Tait Cameron

**Postgraduate Diploma in Biomedical Science**  
**Gammeter**, Josiah Aidan

**Postgraduate Diploma in Clinical Research**

**Limos**, Gemmar Mirasol

**Postgraduate Diploma in Geographic Information Science**

**Gledhill**, Katie Ruth

**Kuru**, Grace Willemina

**Postgraduate Diploma in Science**

**Choi**, Man Wui, *in Computer Science*

**Milner**, Isabella Louise, *in Ecology and Biodiversity*

**Purves**, Rhiannon Mary Norton, *in Cell and Molecular Bioscience*

**Wallace**, William George, *in Computer Science*

**Graduate Diploma in Science**

**Adams**, Wendy Esther Martin, *in Psychology*

**Bennetto**, Anna Louise, *in Environmental Studies*

**Encina Becker**, Tamara Vanessa, *in Ecology and Biodiversity*

**Hamilton**, Marcus Robert Hone, *in Psychology*

**Leung**, Wai Chi Goldie, *in Statistics*

**MacLean**, Fiona, *in Psychology*

**Rennell**, Dominique Susan, *in Psychology*

**Somers**, Juliana Cambridge, *in Psychology*

**Uduwawela**, Shehani Romesha, *in Psychology*

**Postgraduate Certificate in Science**

**McDermott**, Helen Mary

## Agenda Item 11

### Appointment of Council Member

**To receive:** a Council paper dated 5 March 2022 from the Chancellor, Mr John Allen, regarding the appointment of the Acting Vice-Chancellor, Professor Jennifer Windsor, to Council (VUWC 22/25).

**To resolve:** that under section 5.1. of the Council Membership Statute, Professor Jennifer Windsor, being the acting Vice-Chancellor of Victoria University of Wellington, be appointed to Council from 5 March 2022 until such time as a person holding the permanent position of Vice-Chancellor is appointed and commences in the role.

## COUNCIL PAPER

TO	Members of Council
FROM	John Allen, Chancellor
AUTHOR	Caroline Ward, Secretary to Council
DATE	5 March 2022
SUBJECT	<b>Appointment of Council Member – Professor Jennifer Windsor, Acting Vice-Chancellor</b>
DOCUMENT #	VUWC 22/25

At its 6 December 2021 meeting, Council passed a resolution appointing Professor Jennifer Windsor as Acting Vice-Chancellor effective 5 March 2022 whilst it undertakes the search for a new Vice-Chancellor following Professor Grant Guilford's retirement from the University as at 4 March 2022.

In her capacity as Acting Vice-Chancellor, Council must appoint Professor Windsor as a Member of Council under section 5.1. of the Council Membership Statute. Professor Windsor's appointment as a member of Council will be effective from 5 March 2021 until such time as the new Vice-Chancellor is appointed and takes up the role.

### **Recommendation:**

**that under section 5.1. of the Council Membership Statute, Professor Jennifer Windsor, being the acting Vice-Chancellor of Victoria University of Wellington, be appointed to Council from 5 March 2022 until such time as a person holding the permanent position of Vice-Chancellor is appointed and commences in the role.**

## Agenda Item 12

### Committee appointments

**To receive:** a Council paper dated 14 March 2022 from the Chancellor, Mr John Allen, regarding Committee appointments (VUWC 22/26).

**To resolve:**

- 1 that the recommendations regarding Council Committee Membership for Professor Jennifer Windsor and Mr David McLean as detailed in document VUWC 22/26 be approved;
- 2 that Hon Maryan Street be appointed as Chair of the Audit & Risk Committee.



## COUNCIL PAPER

TO	Members of Council
FROM	John Allen, Chancellor
AUTHOR	Caroline Ward, Secretary to Council
DATE	14 March 2022
SUBJECT	<b>Committee appointments</b>
DOCUMENT #	VUWC 22/26

This paper is in addition to Committee appointments made at the 6 December 2021 Council meeting.

I propose the appointment of new Council member, David McLean, to the Finance Committee and the appointment of Acting Vice-Chancellor Professor Jennifer Windsor to the Finance Committee, the Te Aka Matua Committee, the Victoria Honours' Committee, and the Nominations Panel.

I also propose the appointment of Hon Maryan Street as Chair of the Audit & Risk Committee.

Subject to these appointments Committee membership will be as follows with proposed appointments in italics. The full membership of Council Committees, including those with external members, is attached as Appendix 1.

### **Finance Committee**

Mr Alan Judge – Chair  
 Mr John Allen  
 Professor Richard Arnold  
 Mr Jaistone Finau  
 Dr Dianne McCarthy  
*Mr David McLean*  
*Professor Jennifer Windsor*

### **Audit and Risk Committee**

*Hon Maryan Street - Chair*  
 Mr John Allen  
 Professor Bönisch-Brednich  
 Ms Tiana Jakicevich  
 Dr Dianne McCarthy  
 Mr James Te Puni  
 Professor Jennifer Windsor (*the Acting VC is not an official member but will attend ARC meetings as required*).

**Victoria Honours Committee**

Mr John Allen – Chair  
Dr Dianne McCarthy  
Ms Cath Nesus  
*Professor Jennifer Windsor*

**Te Aka Matua Committee**

Ms Cath Nesus – Chair  
Mr John Allen  
Ms Tiana Jakicevich  
Dr Dianne McCarthy  
*Professor Jennifer Windsor*

**People and Culture Committee**

Mr John Allen - Chair  
Dr Dianne McCarthy  
Hon Maryan Street

**Nominations Panel**

Mr John Allen – Chair  
*Professor Jennifer Windsor*

**VC Appointment Committee**

Mr John Allen – Chair  
Professor Brigitte Bönisch-Brednich  
Ms Tiana Jakicevich/Mr Jaistone Finau  
Dr Dianne McCarthy  
Ms Cath Nesus  
Mr Neil Paviour-Smith – External member (appointed 14.2.22 Council meeting)

**Recommendations:**

1. that the recommendations regarding Council Committee Membership for Professor Jennifer Windsor and Mr David McLean be approved;
2. that Hon Maryan Street be appointed as Chair of the Audit & Risk Committee.

## Appendix 1

**Council Committees – 2022 – updated 14.3.22**

<p><b>Finance Committee</b></p> <p>Alan Judge – Chair Richard Arnold Jaistone Finau Dianne McCarthy David McLean Jennifer Windsor</p>	<p><b>Audit and Risk Committee</b></p> <p>Maryan Street - Chair John Allen Brigitte Bönisch-Brednich Tiana Jakicevich Dianne McCarthy James Te Puni</p> <p>Jennifer Windsor <i>ex officio</i></p>	<p><b>Victoria Honours Committee</b></p> <p>John Allen - Chair Dianne McCarthy Cath Nesus Jennifer Windsor</p> <p>Kevin Dew* Phil Lester* Nicole Moreham* Vacancy* Bonnie-Estelle Trotter-Simons (PGSA)**</p> <p><i>*Note - this Committee has members from the Academic Staff and also the **PGSA President</i></p>
<p><b>Te Aka Matua Committee</b></p> <p>Cath Nesus – Chair John Allen Tiana Jakicevich Dianne McCarthy Rawinia Higgins Jennifer Windsor</p> <p>[Up to 2 external members ] Matiu Rei Kim Skelton</p> <p><i>Co-presidents of Ngāi Tauira 2022: Kelly Mitchell Mason Lawlor</i></p> <p><i>Note – this Committee has external members and a staff member</i></p>	<p><b>People &amp; Culture Committee</b></p> <p>John Allen – Chair Dianne McCarthy Maryan Street</p> <p><b>VC appointment Committee</b> John Allen – Chair Brigitte Bönisch-Brednich Tiana Jakicevich/Jaistone Finau Dianne McCarthy Cath Nesus Neil Paviour-Smith (external member)</p>	<p><b>Nominations Panel</b></p> <p>John Allen - Chair Jennifer Windsor (Acting VC) Robyn Bargh (external) Brenda Pilott (external) Winnie Laban (prof staff) Ralph Zambrano (VUWSA president) Carmen Dalli (ac staff) Rawinia Higgins (Te Aka Matua)</p> <p><i>Chancellor and VC sit on this panel, only allowed 2 Council members</i></p>

## Agenda Item 13

### Annual Report - 2021

**To receive:** a Council paper dated 14 March 2022 from the Chief Financial Officer, Mr Les Montgomery, regarding the 2021 Annual Report (document VUWC 22/27).

**To note:** that the Audit & Risk Committee considered the Annual Report in detail at its 7 March 2022 meeting.

**To resolve:** **that on the recommendation of the Audit & Risk Committee, Council:**

- 1 **approve the 2021 Annual Report;**
- 2 **approve the release of the signed Representation Letter to EY;**
- 3 **authorise the 2021 Annual Report for issue;**
- 4 **approve the online publication of the 2021 Annual Report as soon as practical.**



## COUNCIL PAPER

TO	Members of Council
FROM	Les Montgomery, Chief Financial Officer
AUTHOR	Les Montgomery, Chief Financial Officer Susan Hockley, Group Financial Controller
DATE	14 March 2022
SUBJECT	<b>2021 Annual Report</b>
REF TO STRAT PLAN	All
DOCUMENT #	VUWC 22/27

### **Executive Summary**

This paper provides Council with the final 2021 Annual Report, including audited financial statements and the accompanying Representation Letters (signed hard copies with Caroline Ward, Secretary to Council).

### **Recommendations:**

That on the recommendation of the Audit & Risk Committee, Council:

- approve the 2021 Annual Report;
- approve the release of the signed Representation Letter to EY;
- authorise the 2021 Annual Report for issue;
- approve the online publication of the 2021 Annual Report as soon as practical.

## **Supporting information**

### **1. Audit and Risk Committee Review**

The draft 2021 Annual Report was presented to the Audit and Risk Committee meeting on 7 March 2022. At this meeting the key accounting judgements were overviewed.

EY were also present to address any questions from the Audit and Risk Committee, and to present a summary of their audit findings. No material concerns were identified or raised by EY.

### **2. Financial Overview**

The operating surplus for the consolidated University Group was \$31.66m, 6.0% of revenue. This is made up of a \$21.92m surplus from the University Group and \$9.74m surplus from the Foundation.

The Foundation result relates to earnings from donations received and investment returns.

The 2021 Annual Report is attached as **Appendix 1**.

### **3. Representation Letters**

Were reviewed in draft by the Audit and Risk Committee. Signed copies of the following representation letters are with Caroline Ward, Secretary to Council:

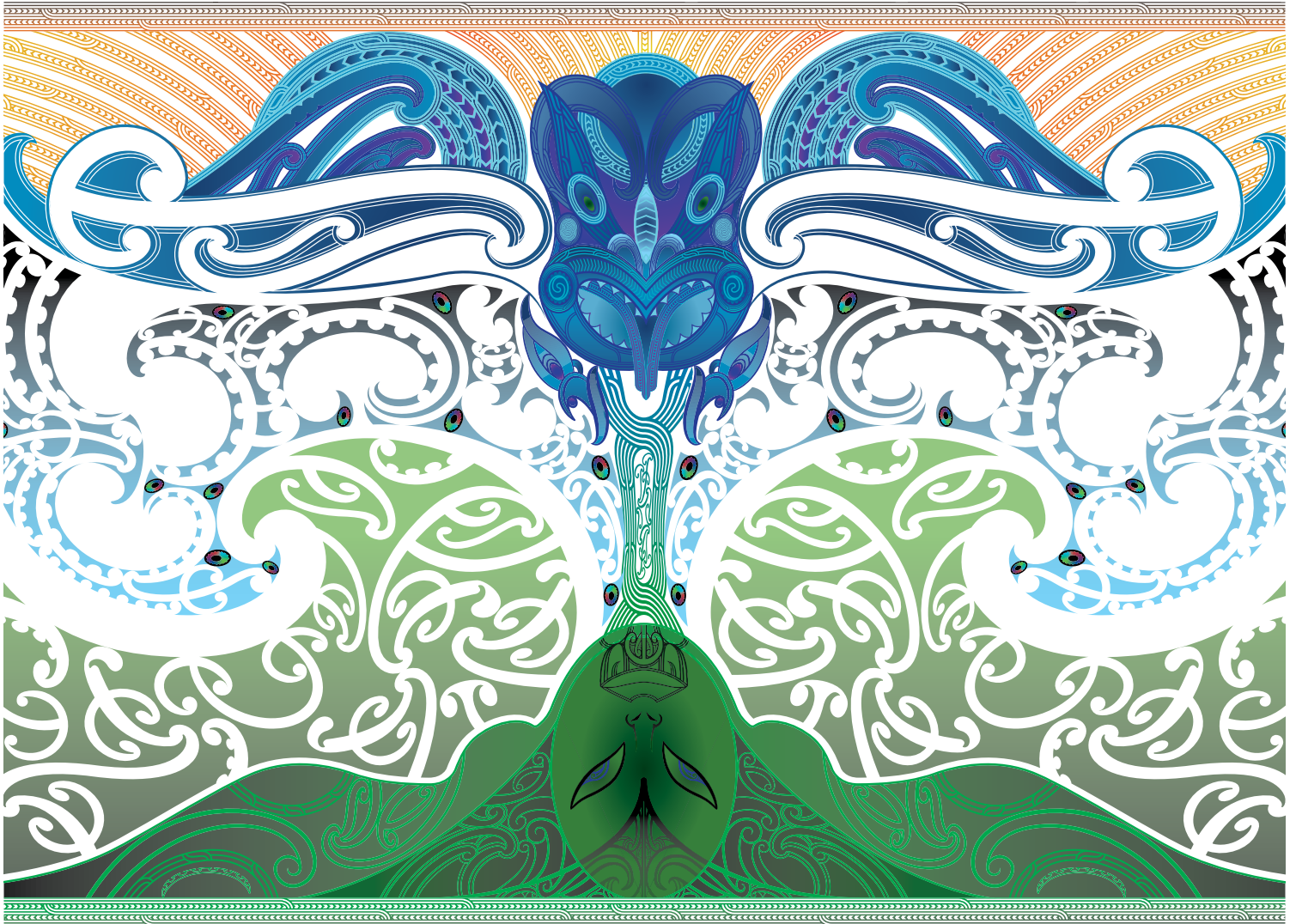
- Representation letter from management to Council
- Representation letter from Council to EY

Te Herenga Waka—Victoria University of Wellington

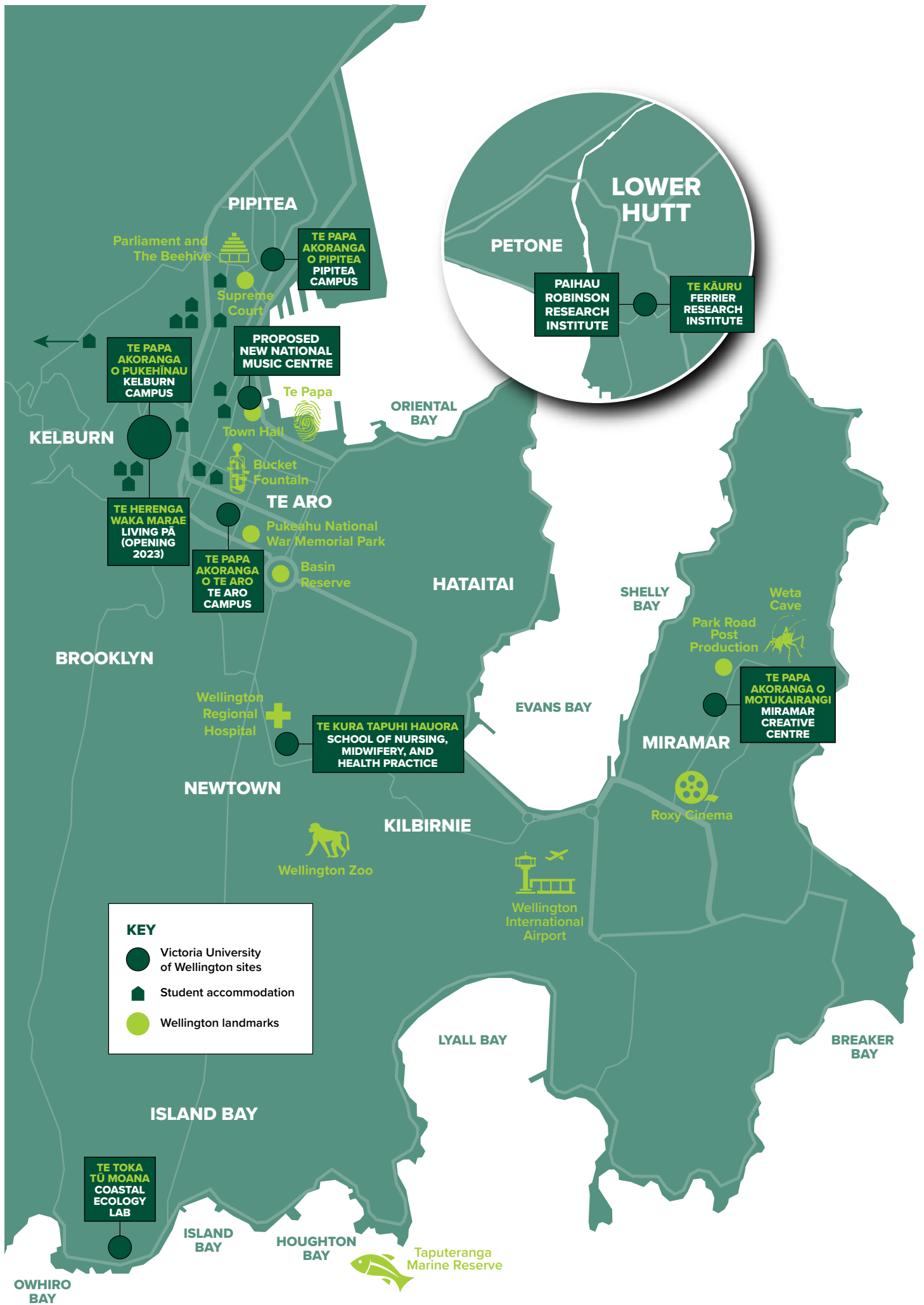
# ANNUAL REPORT

Te Pūrongo ā-Tau

2021



VICTORIA UNIVERSITY OF  
**WELLINGTON**  
TE HERENGA WAKA



**KEY**

- Victoria University of Wellington sites
- Student accommodation
- Wellington landmarks

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**The cover page**, designed by David Hakaraia, Lecturer in Indigenous Design, School of Design Innovation, depicts Papatūānuku (the Earth Mother) and Ranginui (the Sky Father) and the separation between them due to their children. The illustration also depicts Te Kore, the time before life, which represents a state of chaos that is abundant with possibilities and limitless potential. Te Kore holds our potential, not only for physical growth, but for consciousness, creativity, and learning. Finally, from Te Pō (the long night) emerges Te Ao, the light, the world, and all that we experience.



# HE KUPU NĀ TE MANUKURA

## INTERVIEW WITH THE CHANCELLOR



### What are some key observations from your nine years on the University Council?

It's been a period of immense change for the University and the wider sector, both in New Zealand and overseas. This is due in no small part to the global pandemic, funding pressures, and increasing competition for students. The University has been forced to find more efficiencies whilst striving to deliver a world-class teaching and learning experience for students and upgraded facilities. We've adopted various measures, including innovative recruitment approaches and ensuring sector-leading support to ensure our students succeed. Prioritising sound financial management has enabled the University to remain competitive in this dynamic environment.

New and refreshed programmes are a response to rapidly-changing demands of the workplace—the University's very successful new Faculty of Health is an example. We've also seen significant growth in creative disciplines, including design, film, and animation and visual effects, among others. We are very proud that the University's position as a leader in research has been reiterated with our ranking as New Zealand's top university for high-quality research twice in a row in the Performance-Based Research Funding, as well as countless awards for research excellence.

The ambition set out in successive strategic plans has encouraged a more confident and externally-facing university, growing student numbers and embracing Wellington as a great student city.

### How has the University fared in 2021?

The year 2020 was unprecedented, challenging, and stressful. But thanks to the considerable efforts, sacrifices, and response from staff and management, the University adapted in a measured and proportionate manner to the financial challenges. We knew 2021 would present challenges. Council's focus has been on ensuring sustainability in the University's financial position. Decisions have been carefully weighed with this in mind, balanced with ensuring we are delivering the best possible teaching and learning, and ensuring the long-term prospects of Te Herenga Waka are not undermined.

### Tell us about the Council's vision for the Living Pā project.

As a 'capital city university with our marae at our heart', the Living Pā is a tangible demonstration of our strengthening commitment to Te Tiriti O Waitangi. It is an inspiring and transformational project that proves what can be done when we act on our values and tikanga and, as a Council, we have prioritised support for the project.

Once completed, the Living Pā will join an exclusive list of only 24 fully certified Living Buildings in the world that set the standard for all environmentally responsible buildings. Like the University's National Music Centre project, the Living Pā will become an icon for Wellington, further emphasising our connection to and role in the capital.

### How do you think the University is contributing to Wellington and society as a whole?

The University is one of the region's two biggest employers, one of the largest property owners, one of the largest accommodation providers, and contributes well in excess of \$1 billion to the economy every year. But, further to that, we play a key role in cultivating intellectual capital and facilitating innovation and entrepreneurship. Partnerships and connections are strengthening and we are increasing our physical presence in the capital city.

Society has urgently searched for solutions to the COVID-19 pandemic and our world-class researchers have played a leading role as the public and media have sought expertise, explanation, and the truth.

### You've led the Council during some significant challenges—what are your reflections on your four years as Chancellor?

Leading the Council has been a great privilege. In the role, I've been constantly reminded of the many wonderful achievements that the University contributes to, from the pride of a first-in-family graduate to global recognition of our leading academics. However, recent years have also been intensely challenging, particularly with the consequences of the earthquake and COVID-19.

Every challenge has brought with it unique opportunities to adapt and evolve. I've had the opportunity to work with talented people from diverse backgrounds—all united in wanting what is best for the University. And I've been fortunate to be able to be part of the University's governance for close to a decade.

### Any final words?

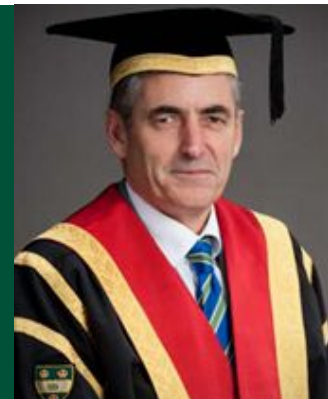
Everyone associated with the University should be proud of its achievements and how well regarded the University is. Let's not forget—the University consistently ranks in the top 250 universities worldwide. With so many stakeholders and competing demands, you can lose sight of the many achievements and the impact the University has on so many people, our region, and the world we live in.

I sincerely hope there is a willingness for the University to continue evolving and an aspiration to live up to the ambition set out in the strategic plan so that the University can reach its potential. I look forward to celebrating ongoing successes in the years ahead.

### Neil Paviour-Smith

BCA Well, FCA ACIS CFInstD MSAFAA AFA  
Chancellor

# HE KUPU NĀ TE TUMU WHAKARAE INTERVIEW WITH THE VICE-CHANCELLOR



## How has this year been overall for University operations?

Despite the ongoing challenges presented by the COVID-19 pandemic, we finished 2021 in a strong position, due in no small part to the efforts of staff who have continued to go beyond the call of duty. Our financial results, our enrolment numbers, our research successes, and the ongoing accolades for our staff are extremely pleasing. A key focus has been on enhancing the University's resilience and sustainability and we have continued to improve our systems and processes to ensure they are efficient, robust, and fit for purpose.

## How has the University continued to support its staff and students this year given the impact of COVID-19?

There were many learnings from 2020 that we have been able to apply successfully in 2021.

Our staff rose to a tremendous challenge, ensuring that disruptions to students' learning experience were minimal. We adopted a range of flexible teaching and working arrangements to make it easier for staff to work from home, while ensuring our HR advisors were always available to help facilitate any discussions with managers, if needed.

I appreciate the trust placed in us by students. We've continued to provide strong support for their academic success and their wellbeing, and have formalised our partnership with them with the development of the Student Engagement Framework. This articulates our processes for giving students a voice in matters that affect them. We recently undertook a self-review of our various services to identify what more we need to do to ensure compliance with the Pastoral Care Code of Practice and it was great to see that we are already in compliance with key requirements of the Code.

## How far have we come along on the journey to be a world-leading capital city university and one of the great global-civic universities?

Combining the traditional Western way of being engaged with the community and respect for the Treaty, we have engaged critically with our stakeholders in an independent and non-partisan manner. We have successfully leveraged our location as Aotearoa New Zealand's political and cultural capital and our proximity to internationally respected centres of creativity. We have engaged in partnerships that help build the capability of our researchers, delivering knowledge and understanding that contributes to improved outcomes in the Asia-Pacific and other regions, and added value to research across disciplines by incorporating indigenous knowledge. Most importantly, we have a good understanding of ourselves, our responsibilities, and our commitment to civil society and global citizenship. I am proud to see our ongoing evolution into a great global-civic university.

## You've described the current period as the Te Herenga Waka era—what does that mean?

The Māori name of our University reflects our place in wider society, a mooring place for canoes, and provides a strong narrative for the collective of students and staff who make up our University communities. Te Herenga Waka is a place where people from around the country and beyond can 'hitch their canoes' and find shelter. When people are ready to leave the University, they can unhitch their canoe and sail off to new horizons, while still maintaining a deep connection to the University. This emphasises how connectivity to place (tūrangawaewae) provides strong foundations for success. In addition, we have come to define our iho or essence as a global-civic university with our marae at our heart and it is inspiring to see the strong level of support throughout the University for this. It will see us embracing our Te Herenga Waka identity, increasing the number of Māori academic staff and students, supporting staff to become more comfortable with te reo, mātauranga Māori, and Te Tiriti o Waitangi, embedding Māori knowledge in our curricula, and using research practices that strengthen our distinctiveness.

## What are you most proud of during your time as Vice-Chancellor?

I am most proud of the University's outstanding teaching and research. I'm also very pleased with how much more engaged our University is with the wider community. We've made real progress in working in partnerships with others to use our research and expertise to address real-world problems and facilitate outcomes that lead to a more creative and equitable world. We're also playing a part in building a better world through our own sustainability initiatives at the University, including making great progress on our plan to be carbon neutral by 2030.

## Any final thoughts as your term as Vice-Chancellor draws to a close?

I'm very optimistic about the future of this great University. Our sense of who we are, our identity, is stronger and clearer and we are more resilient as a community. I am confident I leave this University in a sound financial position and with a direction of travel that will ensure a successful and sustainable future.

**Professor Grant Guilford**

*Vice-Chancellor*



# NGĀ MEA HIRAHIRA YEAR IN REVIEW

## THRIVING RESEARCH

- Significant successes, further consolidating our position as Aotearoa New Zealand’s top-ranked University for intensity of high-quality research. This includes:
  - Contributions across a wide range of fields celebrated with 12 researchers being recognised in the 2021 Research Honours Aotearoa Awards
  - 22 projects receiving funding of over \$14 million from the Marsden Fund for projects addressing topics in humanities, social sciences, mātauranga Māori, mathematics, and engineering
  - Our excellent early-mid career researchers were recognised with four Rutherford Discovery Fellowships and seven Whitinga Fellowships.
- Total external research income of \$88.8 million
- Professors James Renwick, Nick Golledge, and Dave Frame played a prominent role in commentary on the most recent Intergovernmental Panel on Climate Change report
- Contributions by staff to diverse fields recognised through various prestigious platforms, including the Marsden Medal for lifetime of outstanding service to science awarded to Professor Martha Savage and the Prime Minister’s Science Prize awarded to Dr Christopher Cornwall for his research on climate change and ocean studies
- Three new start-ups established by Wellington UniVentures, including Tasmanlon, a company focusing on developing safe, sustainable aluminium ion batteries for energy storage. Dr Shalini Divya, CEO of Tasmanlon, was recognised at the KiwiNet Awards with the Breakthrough Innovator Award
- Associate Professor Franck Natali selected as part of an elite group of researchers to join the inaugural Breakthrough Energy Fellows Program, supported by the Bill Gates Foundation, for his work on revolutionising the way ammonia is produced, which could have a global impact on reducing carbon emissions
- Ongoing contributions to the global response to the pandemic, including studying the potential effect of vaccination programmes on opening New Zealand’s borders and the psychological impact of lockdown.





## LEARNING AND TEACHING

- Dual mode delivery continued to ensure students could keep learning through the COVID-19 pandemic and comprehensive support services also offered to all students impacted by the pandemic
- Significant improvements to learning and teaching infrastructure now underway including a review of Undergraduate and Postgraduate Curriculum Frameworks, development of a new Curriculum Management System, and preparation for a new Learning Platform
- Supported high-school students impacted by COVID-19 to take the first steps towards tertiary education through a new provisional admission process
- 12 Māori, Pasifika, and refugee students supported as part of the first year of the Ngā Hoe a Kupe Scholarship pilot scheme, towards encouraging first-generation learners to embark on a journey with education
- Kāpuhipuhi-Wellington Uni-Professional established to offer non-degree teaching that supports development of professional skills and enhances employability, helping to meet the changing needs of the workplace in the Wellington region
- First cohort of 16 staff appointed as part of the newly introduced teaching-intensive pathway, aimed at supporting and recognising academic staff in permanent teaching roles.



# NGĀ MEA HIRAHIRA YEAR IN REVIEW



## ENHANCED STUDENT EXPERIENCE

- Establishment of Titoko, a Centre for Student Success, which encompasses a new holistic advisory model, a multi-channel student service centre, a new admissions and enrolment process, and modern enabling technologies
- Establishment of the new Student Experience and Wellbeing Directorate, bringing together Student Health and Counselling; wellbeing and health promotion services, rainbow and inclusion services, refugee background services, Disability Services, Student Learning; Careers and Employment; Student Interest and Conflict Resolution; international student support and international development scholarships
- Co-creation with student representatives of the Student Engagement Framework, articulating processes for student involvement and participation in matters that affect them
- Self-review confirmed we are already compliant with key requirements of the new Pastoral Care Code of Practice, relating to student safety and wellbeing and fostering learning environments to support positive learning experiences.

## ENGAGEMENT

- Six alumni selected as Distinguished Alumni Award recipients for 2021, recognising their contributions to fields ranging from justice and climate change activism, to Māori business, philanthropy, and international diplomacy
- Members of our community won six of the eight annual awards at the 2021 Ockham New Zealand Book Awards
- Memorandum of Understanding signed between Te Herenga Waka—Victoria University of Wellington, Whitireia Community Polytechnic Ltd (Whitireia), and Wellington Institute of Technology Ltd (WelTec) towards providing expanded academic pathways for students across a range of disciplines
- Increased capacity to deliver our programmes to students across the world through partnerships



# O TE TAU



## GROWING OUR IHO

with world-class institutions in China and India, the establishment of a student learning centre in China, and the launch of Educating for the Future Centre of Excellence across Indonesia and Australia

- \$7.2 million received in philanthropic donations
- Significant progress towards achieving our goal of being net carbon zero by 2030 with a 37% reduction in carbon emissions from 2017
- Ranked 17th for our work on clean energy and 40th for climate action out of 1,100 universities around the world in the Times Higher Education Impact rankings
- Partnered with Wellington City Council to plant 23,000 native trees to provide biodiversity and carbon benefits as part of our Zero Carbon Plan.

■ A refreshed Mai i te Iho ki te Pae, Māori strategic outcomes framework, outlines our goals for meeting the needs and interests of Māori staff and students as well as the pae or wider university

- An aspiration to be a truly place-based institution, with real biculturalism that honours Te Tiriti o Waitangi, articulated in the Ngā Herenga section in the University's Futures Report He moana pukepuke e ekengia e te waka—Navigating choppy waters: Te Herenga Waka to 2025
- More than 2,000 Māori full-time students were enrolled with us this year, the largest in the history of the University
- Across the year, we distributed 300 matched funding scholarships, established 10 new Taihonoa partnerships with iwi and Māori organisations, and facilitated 24 internships across 13 organisations, namely Toi Māori Aotearoa, Te Manatū Aorere—MFAT, Māori Education Trust, Kahui Legal, Te Ohu Kai Moana,

Te Arawhiti, Tuia Group, Ministry of Social Development, NZQA, Heritage New Zealand, GNS Science, Te Kōtahitanga o Taranaki, and Te Korowai o Ngāruahine

- Awarded \$250,000 from the National Science Challenge: Deep South Challenge: Changing with our Climate Te Taura fund to the 'He Pā Mataora—Learning to live with the Living Pā' research project
- Over 600 staff members completed Te Hāpai courses and over 500 registered for the Te Wiki o Te Reo Māori webinars as part of their professional development
- Confirmed commitment to investing up to \$45 million in development of the Living Pā, which will be one of the world's most environmentally responsible buildings and a new icon for Wellington and Aotearoa. Over 300 students, staff, alumni, iwi, and community members attended the whakamoe ceremony to officially close down 42–50 Kelburn as part of the preparation of the site of the Living Pā.



# HE WHAKARĀPOPOTOTANGA AT A GLANCE

**=236<sup>th</sup>** of the world's **18,000** universities\*

**TOP 1%** of the world's universities for 20 subjects and in the top 2% of universities overall

**In the world's top 100 for 11 subjects**

Development Studies, Earth and Marine Sciences, English Language and Literature, Geology, Hospitality and Leisure Management, Law, Library and Information Management, Linguistics, Performing Arts, Politics and International Studies, Psychology.

**Top ranked university**

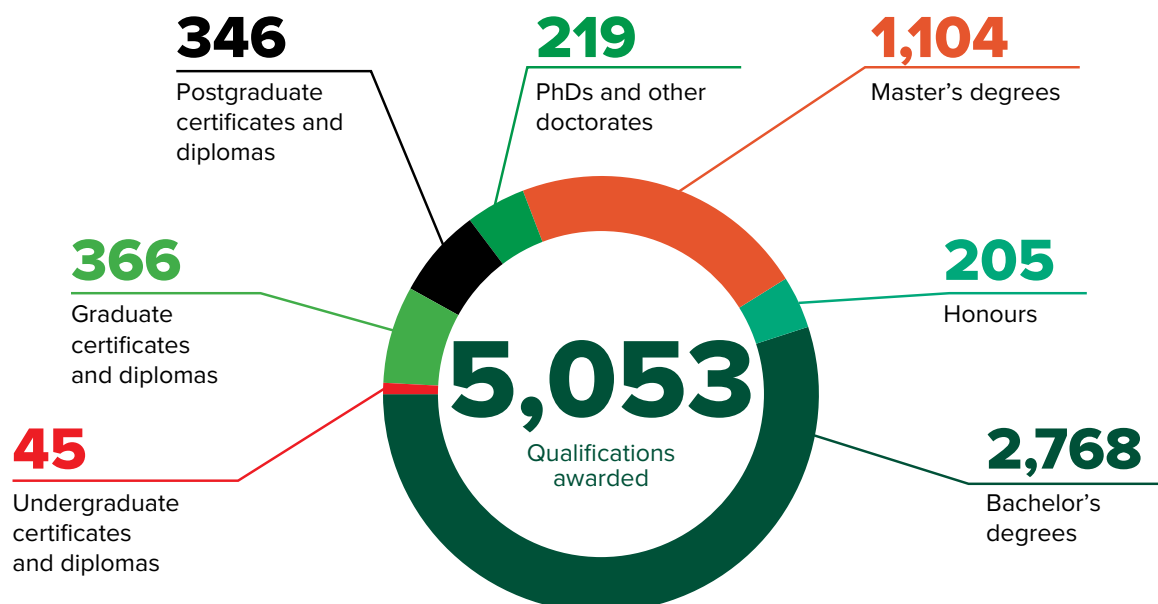
for intensity of high-quality research (latest Performance-Based Research Fund evaluation). More than 2,200 publications resulting from our researchers' work were published in 2021 (2,178 publications in 2019).

**500 EFTS\*\***  
The increase to the number of **Māori** students since 2013, an increase of **33%**

**311 EFTS\*\***  
The increase to the number of **Pasifika** students since 2013, an increase of **40%**

\*QS World University Rankings 2021

\*\*Domestic Equivalent Full-Time Students

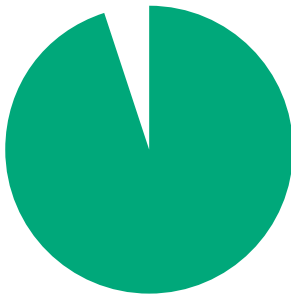


**\$27.8M**

invested in scholarships  
by the University

Total revenue  
**\$518M**

Total expenditure  
**\$496M**



**95%**

of teachers were assessed  
by students as 'excellent',  
'very good', or 'good'

External research income  
**\$89.0M**

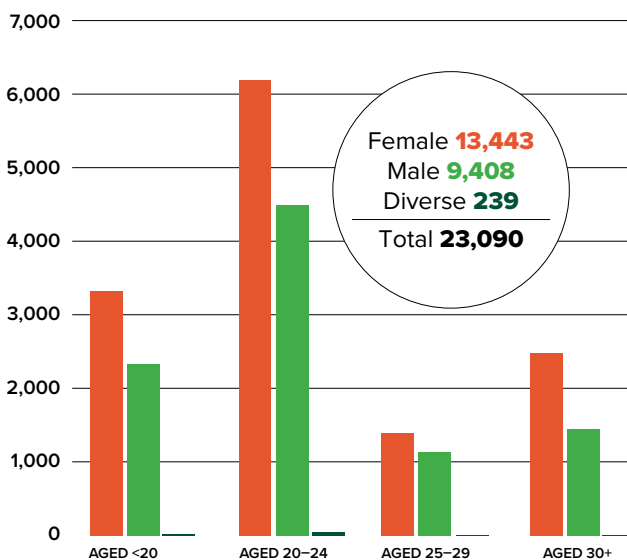
Equivalent full-time students

**16,931**\*\*\*  
government  
funded

**1,311** full fee

\*\*\*16,925 SAC funded and 6 STAR funded

**Student distribution<sup>1</sup>**



**2,329**

staff (full-time equivalent)

**1,147**

teaching and research staff

1 Student distribution by headcount

# TE TAUĀKI WHAKAMAUNGA ATU

## STATEMENT OF SERVICE PERFORMANCE 2021

FOR THE YEAR ENDED 31 DECEMBER 2021

The Statement of Service Performance illustrates the progress made during 2021 towards achieving our vision.

As New Zealand's globally ranked capital city university, Te Herenga Waka—Victoria University of Wellington's vision is to be a world-leading capital city university and one of the great global-civic universities. A civic university is one that values close involvement with the social, cultural, and economic life of its city and region. This is the mandate upon which the University was founded nearly 125 years ago, and it remains as important today.

The vision the University has adopted will ensure the University can continue to make a significant contribution to achieving the desired outcomes of the Tertiary Education Strategy (TES) and New Zealand's education vision. The TES sets out the Government's long-term strategic direction and current and medium-term priorities for tertiary education.

The University's Strategic Plan is designed to guide the University towards achieving this vision. The Strategic Plan drives all decision-making and resource allocation across the University and focuses our activities and development of capability.

Our Outcomes and Performance Framework shows how strategic objectives align with, and respond to, what is expected of tertiary education organisations and the outcomes of a world-leading education system that equips learners with knowledge, skills, and values to be successful citizens in the twenty-first century.

The 2021 Statement of Service Performance has been prepared with reference to the University's 2020–2024 Strategic Plan which can be found on our website: [www.wgtn.ac.nz/about/governance/strategic-plan](http://www.wgtn.ac.nz/about/governance/strategic-plan)

The Strategic Plan identifies six inter-related strategies that will support the University to achieve its vision of being a world-leading capital city university and one of the great global-civic universities. The distinctive qualities of the University are leveraged in each of the six strategies:

**S1:** Ambitious research for transformative impact

**S2:** Education preparing students for an extraordinary life

**S3:** Engagement that deepens relevance, impact, and reputation

**S4:** Equitable outcomes for all

**S5:** Belonging to the Asia–Pacific region

**S6:** Transforming the way we work.

These strategies draw on our heritage, position, iho (essence), and strategic context. The University's

distinctiveness is further defined by a number of particular attributes that matter to our staff, students, and communities: Governing for the future; Living and making the Wellington vibe; Being Asia–Pacific globally minded; Thriving through creativity; Honouring Te Tiriti o Waitangi; Commitment to sustainability and wellbeing.

### Our key outcomes

The Statement of Service Performance is organised around our three key outcomes—Research, Teaching and Learning, and Engagement. These outcomes broadly align with the six strategies in our Strategic Plan and with the characteristics of a university as defined in the Education and Training Act 2020.

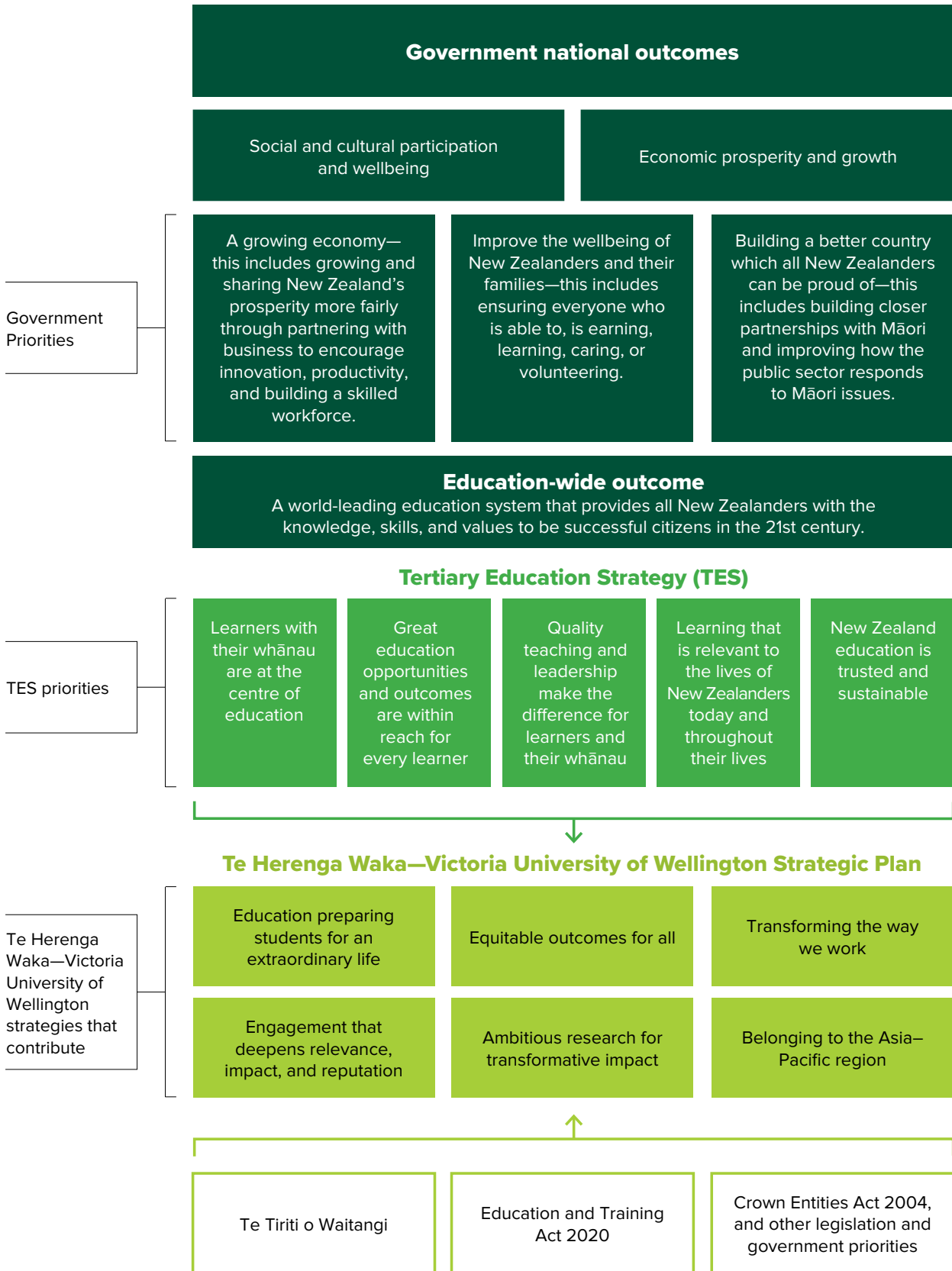
Excellence in research, learning, and teaching lie at the heart of our vision. Over the medium term, Te Herenga Waka—Victoria University of Wellington has an ambitious Learning and Teaching Strategy that signals the University's commitment to working in partnership with students to create and maintain an effective and inclusive learning and teaching environment, and a commitment to quality in design, delivery, and outcomes. Victoria University of Wellington invests significantly to make its student experience the best possible, from the initial contact in school and prior to university entry to the learning experience and after graduation.

The University's Research Strategy 2020–2024 builds on the existing culture of research excellence across Te Herenga Waka—Victoria University of Wellington as the highest ranked university for Performance-Based Research Funding (PBRF). The Strategy guides the University's efforts to increase the international recognition of our research, to build on our distinctive location in Aotearoa New Zealand's political and cultural capital, and to leverage the significant value that we gain from bringing mātauranga Māori to bear on both basic and applied research activity across all disciplines.

The Strategic Plan also signals our long-term commitment to working with the communities we serve, including our commitment to Māori as tangata whenua and Treaty partners; effective engagement with Māori and Pasifika learners, whānau, and communities; working with industry and innovation partners to increase the volume and effectiveness of commercial innovation; and growing the University's international linkages.

A complete list of all performance indicators can be found on pages 19–20.

**Outcomes and Performance Framework**



## Service performance reporting

Since 2019, the University has adopted PBE FRS 48, a standard that establishes requirements for improved reporting of service performance information in order to meet the needs of users of general-purpose financial reports. This standard aligns with the University's progress towards more integrated reporting of information about the University's activities.

## COVID-19

The COVID-19 restrictions continued to have an impact on our teaching, learning, research, and engagement activities during 2021. The effect of the pandemic on the University's performance is noted, where relevant, in the following pages of the Statement of Service Performance (SSP). Performance indicators particularly impacted by this global pandemic in 2021 included international student numbers, the composition of the student body, student and staff satisfaction, and international tuition fee revenue.

In response to COVID-19 and subsequent government restrictions, a set of academic provisions for 2020 and 2021 were developed that currently overrule a number of our standard academic regulations. These provisions will remain operative so long as (i) borders remain closed, or heavily restricted and (ii) capacity and activity restrictions under the COVID-19 Protection Framework remain a significant possibility. These provisions were developed in consultation with a broad spectrum of stakeholders.

## Disclosure of judgements

In determining key service performance information for each outcome, management has used judgement based on indicators that align with the mission and annual activities of the University. The University seeks to maintain consistency across years where appropriate and to determine service performance information that is relevant, reliable, neutral, understandable, and complete. The University's desire to be a world-leading institution also guides the selection of measures that are based on externally validated information and likely to be comparable with national and international universities. In addition to the University-selected measures, the Tertiary Education Commission (TEC) requires inclusion of TEC-defined Educational Performance Indicators (EPIs). These are provided on page 20 and commented on within.

## Linkages between financial and non-financial information

A key concept introduced by PBE FRS 48 is that financial statements and service performance information are both important components of a public benefit entity's general purpose financial report. The two documents convey a coherent picture about the performance of the entity, and they are linked through the resources that have been applied to each of the three outcomes.

For example, to achieve high-quality research outcomes, the University relies on PBRF funding and external research income, as well as income gained from other sources. All of these sources of funding are relevant to the University's ability to deliver key outcomes.

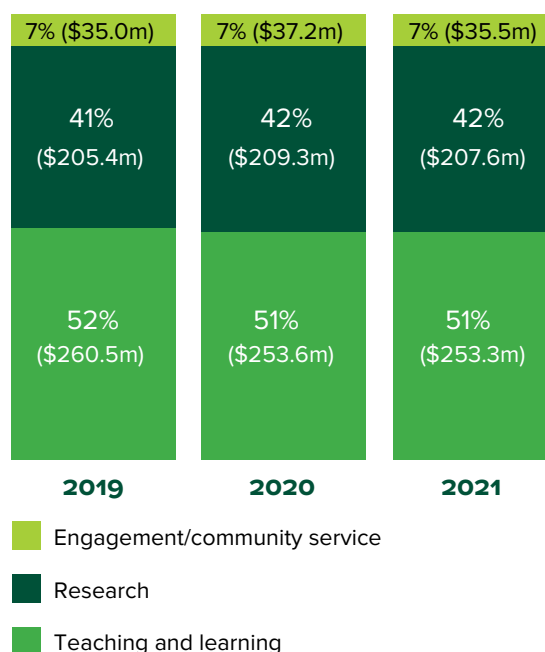
A University's performance objectives are long term and not meaningfully captured within singular output categories. University research and teaching are closely interdependent (as required by the Education and Training Act 2020) and the outcomes of university activities are not fully known within one year (e.g., research results, graduate outcomes).

While noting these interdependencies, the University, using high-level assumptions, is able to estimate that in 2021, 41.8% of total operating expenses was attributable to research, 51.0% to teaching and learning, and 7.1% to engagement/community service. This result reflects the distinctive nature of a research-led university committed to the student experience, high-quality research, and serving its communities.

In determining this estimate of allocation of expenditure, the University has reviewed major operating expenses as reported in the financial statements. Where there is a clear allocation of cost to a particular output, these have been adopted. Where there is ambiguity (e.g., related to the interdependencies described above) the University has exercised judgement based on an underlying workload principle for academic staff involved in both teaching and research of 40–40–20 (i.e., 40% research, 40% teaching, and 20% administration, with administration then allocated proportionally to teaching, research and engagement/community service). Costs not directly or solely attributable to teaching and learning or research, such as general administrative support or facilities management, have been apportioned on the same basis as academic salaries.

The following pages explore these outputs in greater detail, focusing on successes and challenges during 2021.

## Estimated expenditure by output category



# RANGAHAU RESEARCH

S1

S3

S6

## Service Performance Information indicator

Approximately 55% of total revenue is sourced from either government funding, the PBRF, or research-specific contracts. Of this, the University allocates \$112m to Research activities. The remainder of revenue has a non-government source, (i.e., direct tuition or commercial revenue).

See full list of Performance Indicators (including TEC Educational Performance Indicators) on pages 19–20.

## Progress made during 2021

Te Herenga Waka—Victoria University of Wellington, New Zealand’s number one university for intensity of high-quality research, had another highly successful year for research.<sup>1</sup> Dr Christopher Cornwall in the School of Biological Sciences became the third Te Herenga Waka researcher to win the Prime Minister’s MacDiarmid Emerging Scientist Prize. Dr Cornwall’s research focuses on the impacts of climate change on the marine environment, specifically ocean acidification, which is caused by increasing anthropogenic carbon dioxide concentrations in seawater.

We had a strong showing in Te Pūtea Rangahau a Marsden (the Marsden Fund) with 22 projects receiving more than \$14 million in funding, a success rate of 16% compared with the national average of 10%. The projects, which will be funded over three years, cover research across a range of fields including humanities, science, social sciences, mātauranga, mathematics, and engineering. The University also featured very strongly in the Royal Society Honours, scooping six of the 18 prizes and additionally as team members of the 2021 Rutherford Medal-winning team.

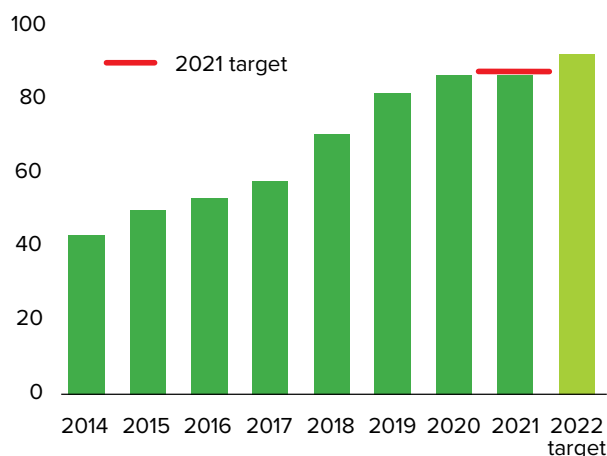
Four of 11 prestigious Rutherford Discovery Fellowships awarded this year by Royal Society Te Apārangi were awarded to researchers from Te Herenga Waka—Victoria University of Wellington. Dr Calum Chamberlain, Associate Professor Jessica Lai, Dr Samuel Mehr, and Dr Michael Price will undertake projects ranging from recognising when earthquakes start and reimagining patent systems to remove existing barriers, to understanding how human minds perceive music, and developing environmentally friendly solar cells to create a sustainable source of energy.

A COVID-19 vaccine study co-authored by Professor Colin Simpson, School of Health, showing associated reductions in hospitalisations, was awarded the Health Data Research UK (HDRUK) Impact of the Year award.

1 Performance-Based Research Fund, the country’s main measure of research activity.

2 Commencing students are defined as those new to Victoria University of Wellington and are only a subset of new PhD students (many of whom had undertaken previous study at the University).

## External Research Income 2014-2021 (\$m)



External Research Income (ERI), as part of PBRF reporting requirements, was \$79.5m, a 3% increase from 2020 (\$77.5m). Continued strong success with contestable funds contributed to a \$7.1m increase to \$65.0m in contracted research revenue (\$57.9m in 2020) in the University Research Trust. Other research revenue streams within the University were \$14.5m (\$19.6m in 2020), including Wellington UniVentures (\$6.0m) and the University Foundation (\$2.7m). Sub-contracted research, which is excluded from the figures above, increased to \$9.5m in 2021 (\$9.3m in 2020). This brings total ERI to \$89.0m (\$86.8m in 2020) against a target of \$87m.

Wellington UniVentures, the University subsidiary responsible for creating new enterprises from our research, continued to build on the successes of last year and reached its 2021 target of 70 new invention disclosures. Wellington UniVentures also supported the spin out of three companies, one exit, and three license deals. Wellington UniVentures grew their team in 2021 and supported the development of more social innovations, particularly from research in the humanities space. Seven early career researchers across humanities, biology, chemistry, physics, architecture and design, and two from the Robinson Research Institute were accepted on to the KiwiNet Emerging Innovator Programme.

Doctoral EFTS increased on 2020 numbers, despite the continued border closure and continuing effects of COVID-19 on research. This is due to a concerted campaign to grow domestic doctoral enrolments and allow offshore enrolment of international doctoral students. We put in place bespoke pastoral care for those located off-shore to ensure they are supported and connected to the University and to university life as much as possible. A total of 176 PhD students commenced or were able to continue their doctoral studies offshore in 2021. Overall, commencing research postgraduate EFTS<sup>2</sup> were 98 in 2021 (down very slightly from 100 in 2020) and the total number of research degree completions fell from 552 to 539.

Research postgraduate EFTS now represent 6.1% of total EFTS (unchanged from 2020). The proportion of taught postgraduate EFTS continued to increase, from 12.9% in 2020 to 13.2% in 2021, reflecting the continued growth in demand for the University’s expanding suite of taught Master’s degrees.

The University’s Rāpoi research computing facility was upgraded to enable a dramatic increase in the scale and speed of the research being performed. As the University’s core research computing facility, Rāpoi now has over 130 users, is being used for under- and post-graduate teaching, and is supporting major research initiatives worth over \$25m in research funding. Research training for researchers, PhDs, and external stakeholders is also offered, and is delivered in partnership with NiWA, ESR, and the National Library.

A new structure for the Research Office has been successfully implemented. This structure will better support the University to grow external research income, raise our international research profile, establish new large-scale collaborative centres, and develop key research partnerships. Key features of the restructure are: establishment of Research Partners to work with Faculties on their strategic goals; expanding the Mātauranga Māori and rangahau Māori team to strengthen our capability, capacity, and iwi partnerships; and expanded remit of Wellington UniVentures to grow research funding from non-traditional sources such as international and domestic industry funding and government contracts.

A new Wellington Postdoctoral Society was established in 2021, championed and financially supported by the Office of the Vice-Provost (Research). The Society will act as a voice for early career researchers, and a support and development network.

## AKO TEACHING AND LEARNING

S2

S4

S5

S6

### Service Performance Information indicator

Approximately 55% of total revenue is sourced from either government funding, the PBRF or research specific contracts. Of this the University allocates \$167m to Teaching and Learning activities. The remainder of revenue has a non-government source, i.e., direct tuition or commercial revenue, of this \$136m can be attributed to Teaching and Learning activities.

See full list of Performance Indicators (including TEC Educational Performance Indicators) on pages 19–20.

### Progress made during 2021

The University ended 2021 with 18,241 EFTS, 474 more than 2020 (+2.7%). Government-funded EFTS<sup>3</sup> grew from 15,950 EFTS in 2020 to 16,931 EFTS in 2021, with growth seen across all faculties excluding Commerce.

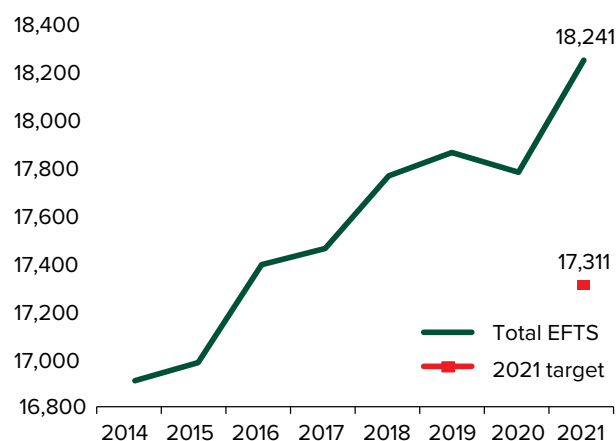
The increase in SAC-funded domestic EFTS (+975 EFTS or +6.1%) continued the growth seen in the latter part of 2020, in particular in taught postgraduate courses and in undergraduate courses in Education, Health, Humanities and Social Sciences, Law, and Science.

Supporting this increase in domestic students were new processes and system modifications implemented to facilitate unconditional Discretionary Entrance and Provisional Admission offers to students who had been

impacted by a disrupted 2020. In addition, applications could be received earlier than in previous years and online information about programmes, majors, and courses was updated to reflect those that could only be taken in person.

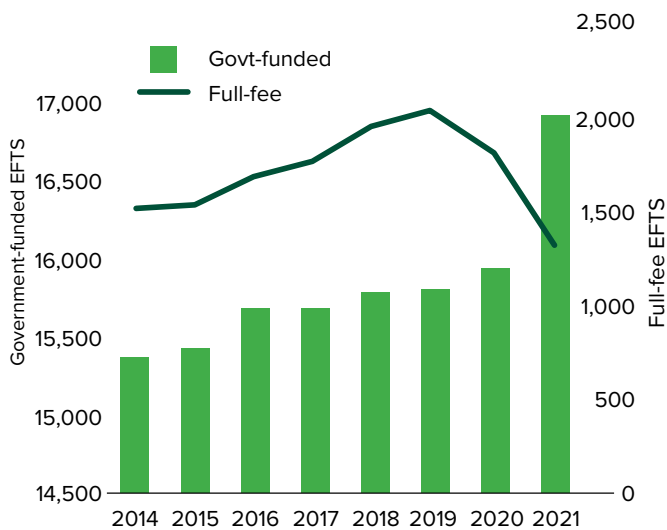
International full-fee EFTS performed better than anticipated, exceeding the target for 2021 as a higher number of international students commenced or continued to study with the University from offshore. However, overall, international full-fee EFTS continued to contract in 2021 due to the ongoing effects of COVID-19 and the accompanying travel and border restrictions, decreasing from 1,817 EFTS in 2020 to 1,311 EFTS in 2021, a decrease of 506 EFTS (-27.8%).

### Total EFTS 2014–2021



<sup>3</sup> Government-funded EFTS comprised of SAC-funded 16,925 EFTS and STAR (Secondary Tertiary Alignment Resource) six EFTS.

## EFTS by funding source 2014–2021



The number of international students (including government-funded international PhD candidates) decreased from 3,215 in 2020 to 2,586 in 2021, with enrolments from 98 countries. International students (including government-funded international PhD candidates) constituted 11.2% of the total student body (headcount), down from 14.3% in 2020 (and 16.5% in 2019).

After a decrease in 2020, the number of commencing EFTS rose again in 2021 from 5,333 to 5,395 including 336 international full-fee EFTS.

Māori commencing EFTS increased by 16%, from 561 to 648, but fell short of the University's ambitious target of 711 EFTS. Overall, Māori EFTS grew to 11.9% of the domestic student population, up from 11.5% in 2020.

Pasifika commencing EFTS increased from 310 in 2020 to 359 in 2021, short of the target of 376. Overall, Pasifika EFTS increased from 6.1% to 6.5% of the domestic student population.

First year retention rates at undergraduate degree level exceeded targets for Māori, Pasifika, and non-Māori and non-Pasifika students, with Māori retention rates increasing from 72.6% to 75.6%, and Pasifika rates increasing from 74.9% to 78.5%. These were particularly pleasing results given the continuation of COVID-19 disruptions and stress on both students and staff. As a proportion of undergraduate (level 7) degree EFTS, Māori students grew from 12.4% to 13.1% (just short of the target of 13.2%) and Pasifika students grew from 6.4% to 7.0% (achieving target). However, both Māori and Pasifika students decreased as a proportion of postgraduate EFTS in 2021 (from 8.0% to 7.6% and from 4.2% to 4.1%, respectively). Refer *TEC-defined Educational Performance Indicators (EPIs)* on page 20.

For the most part, courses were delivered in dual or mixed mode this year (i.e., both on campus and online) due to: Government guidelines requiring the University to be able to deliver online within less than 24-hours' notice if alert

levels change; borders remained closed throughout the year so dual mode delivery was required to deliver courses to our offshore students (and other students who could not make it to campus) while also giving our Wellington-based students the opportunity for a face-to-face experience; and to give staff and students as much certainty as possible with respect to their educational experience to allow for proper and effective planning. Building on the experience of 2020, staff were well prepared for dual mode delivery and a number of innovations were surfaced throughout the year and shared in forums like VicTeach and Ako Victoria.

A total of 1,344 courses and 566 teachers were evaluated in 2021, with 84% of courses, and 95% of teachers assessed as good, very good or excellent (compared with 86% and 96% in 2021, respectively).

Student satisfaction with services and facilities was relatively constant at 77.0% compared with 77.8% in 2020. This is below the pre-COVID-19 baseline target of >90%, but not unexpected in a second year of interruptions to the learning environment caused by the ongoing pandemic.

The University's graduates remain in demand, with 97% in employment, further study, and not seeking work in their first-year post-graduation (up from 95% in 2020 and ahead of the 96% target).

The Student Success Programme (SSP) moved into implementation during 2021. The future staffing of Titoko is now largely confirmed, and the new functions of holistic advising, multichannel student service centre, and a self-service student portal are in the process of being introduced.

This year, the University prepared for the implementation of the Pastoral Care Code of Practice, which comes into force on 1 January 2022. Preparations included developing a gap analysis, a wellbeing strategy, a risk register, a communications plan, a unified complaints process, and a training and engagement plan. From 2022, leadership in this area will be assumed by the newly created role of Director, Student Experience and Wellbeing. This important role will provide leadership in matters relating to student wellbeing and student experience, including ensuring the University meets its obligations under the new Pastoral Care Code. The role will also have responsibility for delivering aspects of the University's comprehensive suite of support and wellbeing services to domestic and international students.

Work commenced in 2021 on the Learning and Teaching Futures Programme to design the future operating model for digital learning and teaching. This programme also coordinates various learning and teaching projects underway in 2021 and beyond (and to be linked to the new Learning and Teaching Plan), including: curriculum framework and the curriculum management system.

Operational work of the newly structured Centre for Academic Development was dominated by ongoing support for dual delivery with over 2,000 staff being supported. The professional learning week held in August

brought together the Training Collaboration Network (representatives from all areas across the University that run staff training and professional development) for a full week of training activities including research trends, rubric design, using H5P and Zoom for teaching, operating AV in classrooms, meditation, and other learning and research tool sessions.

The new Teaching-Intensive Academic Career Pathway (TIP) was launched this year. A transfer process for staff on permanent teaching-only roles and principal pathway academics took place in early 2021. Fifteen initial appointments took effect from 5 July and further appointments have been made since. Under a new Director of the academic pathway, an effective community of practice is building amongst these colleagues. The University is now a strategic member of Advance HE which gives us the ability to apply for accreditation for teaching and learning programmes and to award internationally recognised Fellowships to our staff on behalf of Advance HE. Seven TIP staff have commenced the Advance HE Fellowship process.

Kāpuhipuhi—Wellington Uni-Professional was established on 1 February 2021 to grow non-degree teaching in order to meet changing workforce capability needs and contribute revenue to the University. During 2021, Kāpuhipuhi completed significant market validation research, which included extensive consultation with stakeholders at the University and industry partners across the private and public sectors. The branding is now

finalised and will be launched in early 2022. Kāpuhipuhi is also establishing the strategy and operating model for micro-credentials, with a number in development in 2021 including Restorative Practice (Justice Sector); Introduction to Cyber Security (developed with Cisco Systems); Mentoring for Middle Leaders (developed with PPTA); and Restorative Practice (Health Sector).

The Wellington School of Business and Government (WSBG) achieved AACSB re-accreditation for a further five years following a review panel 'virtual visit' in May 2021. AACSB accreditation is considered the highest and most reputable standard in business education. WSBG first achieved this accreditation in 2011, becoming the first university in Aotearoa to have obtained accreditation from AACSB in both business and accounting.

The Library continued to provide online and physical services to support learning, teaching, and research throughout changing COVID-19 levels. Print collections that were sent offsite and were unavailable after the 2016 Kaikoura earthquake were returned to Kelburn Library. The Library introduced OpenAthens authentication providing seamless offsite access to our online resources.

The establishment of the Wellington Student Learning Centre (SLC) at Capital Normal University (CNU) in August 2021 provides our students who have remained in China due to COVID-19 border restrictions the option of joining classmates on campus at one of China's top universities, located in central Beijing.

## RUAWHETU ENGAGEMENT

S3

S5

S6

See full list of Performance Indicators (including TEC Educational Performance Indicators) on pages 19–20.

### Progress made during 2021

Mauri Ora (Student Health and Student Counselling) commenced providing COVID-19 vaccines for students and staff at the beginning of August 2021. Students and staff also accessed other vaccination clinics around the Wellington region during the roll out, meaning our community has a high vaccination rate. More than 6,000 vaccines were administered in 2021, including at three mass vaccination events held in The Hub in September. These mass events were carried out with support from our student community, Tū Ora Compass Health, and the office of the AVC Pasifika. Vaccination rates for Māori and Pasifika students are at the same level as the general population. Mauri Ora will continue to provide vaccinations to both students and staff in 2022, and is planning for the booster shot programme which is about to commence.

In April, over 300 students, staff, alumni, and community members attended the Whakamoe ceremony of the Living Pā site. This ceremony was conducted by local mana whenua tohunga of Te Ātiawa, Ngāti Toa, and Ngāti Raukawa, under the leadership of former staff member, Sir Pou Temara. The final stage gate approval process for the Living Pā was approved by the University Council in December. The Living Pā redevelops Te Herenga Waka Marae as a hub where people are empowered to work, teach, and learn sustainably in a building that meets the most rigorous environmental standards in the world (the Living Building Challenge principles). Construction of the Living Pā building will commence in April 2022 with the facility expected to be completed in 2024. The Living Pā project has been named as a finalist in the 2022 Excellence in Sustainability section of the Wellington Property People Awards and the University was awarded \$250,000 from the National Science Challenge: Deep South Challenge: Changing with our Climate Te Taura fund to the 'He Pā Mataora—Learning to live with the Living Pā' research project.

The Sustainability Office continued the delivery of the University's Zero Carbon Plan and for 2021 our carbon emissions are on track to be over 50% less than our 2017 baseline. The inaugural 'Growing our Future' tree planting event for staff, students, and alumni in partnership with the City Council was held in July and purchased our first batch of carbon credits from the air travel carbon levy. The team worked with Victoria University of Wellington Students' Association (VUWSA) to deliver another successful sustainability week which included all the cafes on campus not offering single use cups. The glass milk bottle scheme was expanded to include more of the campus and the first organic waste collection scheme and worm farm for Rankine Brown was set up. The team continued to support teaching and research through the provision of living lab opportunities, facilitating connections, and showcasing excellence.

The Office of the Deputy Vice-Chancellor (Māori) distributed 300 match funding scholarships, and established 10 new Taihonoa partnerships and 24 internships across 13 organisations.

The first year of the Nga Hoe a Kupe Scholarship pilot scheme proved successful. Targeted at low decile Wellington schools, the programme supported 12 Māori, Pasifika, and refugee students and is generously funded by the Foundation, staff donations, and University resources. The scheme uses a new and comprehensive approach to supporting recipients to succeed including academic mentoring, peer support, and accommodation in Weir House. We have also succeeded in securing additional support from Hoku Foundation for more students in the 2022 intake.

Te Herenga Waka staff and students co-designed a new Student Engagement Framework. The Framework sets the overall approach to student engagement and incorporates a Student Engagement Agreement which sets out initiatives, responsibilities, and directions for the following 12 months. The Student Engagement Agreement will be a living document that will be revisited by key university leaders and student leaders at the beginning of each year to develop and define what the staff/student partnership programme of work will be for that year.

Work continued to extend our offerings overseas. Amongst the highlights for 2021 were: launched joint undergraduate degree in Intercultural Communication with Communications University of Zhejiang (China); established collaborative undergraduate programme in Communication Studies with Vidyalandkar School of Information and Technology (India); the establishment of a joint Institute with Zhengzhou University (ZZU), one of China's Double First-Class Universities, to deliver three Wellington undergraduate programmes, in Architecture, Landscape Architecture, and Industrial Design; established agreement with University of Foreign Language Studies (Viet Nam) to offer (online) our Postgraduate Certificate in Teaching English to Speakers of Other Language; launched Educating for the Future Centre of Excellence operating (virtually) across Indonesia and Australia; completed China Capable Public Sector programme of work for MFAT.

We led 20th anniversary milestone events for the 'Rethinking Pacific Education Initiative for and by Pacific People' and marked the 25th anniversary of calling Old Government Buildings home for Te Kauhanganui Tātai Ture—the Faculty of Law.

The recipients of the Distinguished Alumni Awards 2021 were climate change advocate Lisa McLaren; entrepreneur and arts supporter Chris Parkin; lawyer and champion for social change Stacey Shortall; prominent business leader Rachel Taulelei; Chief District Court Judge Heemi Taumaunu; and former diplomat and public servant Sir Maarten Wevers.

The support of charitable foundations, individuals, whānau, and gifts from our alumni community continued to make a significant difference to growing student success, research impact, and community engagement. By 31 December 2021, we have raised \$143.5 million and we are well on track to ensure we reach our \$150 million target by the end of 2022. Our Foundation endowment funds saw particularly strong investment returns of \$6.9 million in 2021, with many of our scholarship and prize endowments generating enough income to be able to give out multiple awards. We also saw strong growth in our alumni appeals programme with an 80% increase in the number of alumni donating to our appeals, and a 35% increase in the number of staff giving.

The 2021 Your Voice—Te Herenga Waka Staff Survey received an 81% response rate with a margin of error of 0.9%. The overall engagement figure was 67%, compared with the target of 68%. Results indicate staff are generally highly positive about their teams, colleagues, and their managers, but show a decrease in engagement of 8%, concerns about workload and senior leadership, and reduced satisfaction with pay, benefits, and career opportunities.

It was confirmed that a national music centre, a joint initiative between the University and the New Zealand Symphony Orchestra, will be built in Civic Square, the heart of Wellington.

A joint agreement between Te Herenga Waka—Victoria University of Wellington and Te Kura Matatini o Taranaki—Western Institute of Technology at Taranaki (WITT) was signed in November 2021 to support joint programmes and micro-credentials, collaboration between staff, students, and others, shared research and facilities, and secondary school outreach in the field of renewable energy.

A new fund is investing in research and communication that supports better governance and better social and environmental policies for the benefit of all New Zealanders. The first three successful applicants of The Gama Foundation Governance and Policy Studies Endowment Fund will receive nearly \$1 million over three years to research the political influence of lobbying and donations by vested interests, establish a Political Integrity Index for New Zealand, and support reform of laws governing party funding and vested interests in our democratic system.

Victoria University Wellington Press won three (of eight) prizes in the Ockham New Zealand Book Awards announced at the Auckland Writers Festival: Jann Medicott Acorn Prize for Fiction: *Bug Week & Other Stories* by Airini Beautrais; Poetry: *The Savage Coloniser Book* by Tusiata Avia; and Best First Book of Non-fiction: *Specimen* by Madison Hamill.

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Students Lottie Thompson, Jono Sylvester, and Taran Molloy won the 19th Annual Hong Kong Red Cross International Humanitarian Law Moot Court Competition for the Asia-Pacific Region. Taran also won the title of best individual mooter of the competition. They were coached to prepare for the competition by Professor Alberto Costi and Dr Marnie Lloyd.

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A successful Pasifika week was held where Pasifika cultures, food, music, and cultural performances and University activities were promoted as a way to engage the wider Pasifika and University community. In partnership with the Faculty of Engineering, a new Pasifika STEM office

was opened, providing visibility for Pasifika STEM students and increased access and support. A Borrin Foundation funded partnership with the Faculty of Law now supports a Pasifika Research Fellow and Research Assistant to address the question of Pasifika success in Law. The Faculty of Law has also launched the Pasifika TAPA programme to provide support for Pasifika Law students.

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Frank Kitts Park has been confirmed as the preferred site for our national Fale Malae, and the Wellington City Council has given in-principle support. Architects have been contracted together with a small group of Pasifika artists including a Pasifika alumni and architect. Fundraising work, and internal and external consultation are also continuing.

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The University was audited in May 2021 by Archives New Zealand on our compliance with the Public Records Act and the mandatory Information and Records Management Standard. The formal report was published by Archives New Zealand and a roadmap addressing recommendations has been prepared.

## All performance indicators

STRATEGY	INDICATOR	2021 ACTUAL	2021 TARGET	2020 ACTUAL	2019 ACTUAL
<b>Research (pages 13–14)</b>					
<b>S1</b>	External research income (\$m)	89.0	87.0	86.8	81.3
<b>S1</b>	Number of new invention disclosures	70	70	61	53
<b>S1</b>	Publications (Scopus-indexed) in top 25% of journals (%) <sup>4</sup>	56.7	58	53.8	52.2
<b>S1, S2</b>	Research postgraduate/Total EFTS (%)	6.1	6.9	6.1	6.5
<b>S1, S2</b>	Commencing research postgraduate EFTS	98	110	100	99
<b>Teaching and Learning (pages 14–16)</b>					
<b>S2</b>	Taught postgraduate/Total EFTS (%)	13.2	12.9	12.9	12.3
<b>S2</b>	Teachers assessed as ≥ good by students (%)	95	93	96	95
<b>S3, S3</b>	Graduates in employment, further study, and not seeking work first year post-graduation (%)	97	95	95	96
<b>S2, S6</b>	Proportion of students rating services and facilities as 'good' or 'very good' (%)	77.0	>90	77.8	88.2
<b>S2, S4</b>	Commencing Māori student EFTS	648	711	561	567
<b>S2, S4, S5</b>	Commencing Pasifika student EFTS <sup>5</sup>	359	376	310	348
<b>S4</b>	Proportion of professors who are female (%)	27.5	27.5	27.8	24.4
<b>S4</b>	Proportion of academic staff who are Māori (%)	5.8	6.5	5.9	5.9
<b>S4, S5</b>	Proportion of academic staff who are Pasifika (%)	2.1	3.0	1.9	1.9
<b>Engagement (pages 16–18)</b>					
<b>S3, S5</b>	Commencing international full-fee EFTS	336	320	648	856
<b>S3</b>	Fundraising campaign (\$m)—as per accounting policy includes all bequests, pledges, as well as cash donations	143.5	150.0	122.1	111.3
<b>All (pages 13–18)</b>					
<b>S6</b>	Revenue retained as surplus for reinvestment (%)	6.1	-0.6	-0.7	3.3
<b>S6</b>	Staff satisfaction—Your Voice (%)	67	68	N/A	N/A

## Equity, Diversity, and Inclusion

As a university, we have a responsibility to deepen our commitment to equity, diversity, and inclusion. Recognition of our responsibilities in this area led to the development of the Equity, Diversity, and Inclusion (EDI) Framework which sets out the University's goals for both students and staff under four themes: Attraction and Recruitment, Participation, Influence and Engagement, and Retention. At the same time as the EDI Framework was being developed, action plans were finalised in consultation and partnership with student and staff groups.

Many initiatives that support the goals of the EDI Framework since it was created in 2018 are underway. We've been focusing on growing our student community to better reflect the diversity of New Zealand's population. In addition to progress and initiatives described elsewhere in this report, Āwhina and Pasifika Student Success teams are well established and guided by the Deputy Vice-Chancellor (Māori) and Assistant Vice-Chancellor (Pasifika); dedicated

and inclusive spaces have been created for students in equity groups; and new professional staff roles support EDI, including the appointment of a permanent Refugee Background Advisor. This is the only such role in a New Zealand university. There is also better support now for disabled members of the University community with the inclusive software Read&Write and EquatIO available on all student and staff computers and a focus on other inclusive learning tools (e.g., captioning). It should be noted that the number of our students identifying as having a disability is already representative of the wider New Zealand disabled population.

EDI has become a core part of our 'Leading Through Influence' leadership programme, our Head of School programme, and our Staff Orientation sessions. EDI principles have also been woven into our University sustainable change practices and we've developed a number of resources to support staff to create a more inclusive workplace. These include the ABC and BUILD online training resources

<sup>4</sup> Revised indicator now lagged by one year as provisional data not available on annual reporting timeline. 2021 results are as confirmed June 2021 based on 2020 publications at 2020 ranking. Time series recast accordingly.

<sup>5</sup> Includes international Pasifika students.

to enable staff to safely respond to unacceptable behaviour, links to resources for Māori, Pasifika, and Rainbow staff, dissemination of the 'Unconscious bias in recruitment guide', and the Returning Carers' Research Fund. We are proud to have developed two sector-leading EDI initiatives—our stand-alone Sexual Harassment Response Policy and Procedures that manifests our commitment to reducing sexual harm, and EARS—Te Rauawa, an independent anonymous employee advisory and resolution service that supports staff who are managing difficult workplace situations.

We have revised our academic promotions processes, holding more women academic briefing sessions and providing unconscious bias training and education

resources to promotions panel members. The University has taken a strategic approach to increasing recruitment of Māori and Pasifika academic staff in recent years including appointments within the schools of Health, Engineering and Computer Science, and Mathematics and Statistics; the Faculty of Humanities and Social Sciences; and the Wellington School of Business and Government. The Faculty of Law commenced recruitment for five new Māori roles in 2021 to support incorporating tikanga in Law courses.

An EDI current state analysis project was initiated in mid-2021; findings from this project will inform a future programme of work.

### TEC-defined Educational Performance Indicators (EPIs)

EPI TYPE	DESCRIPTION	2021 PROVISIONAL	2021 TARGET	2020 ACTUAL	2019 ACTUAL
<b>Participation</b>	The proportion of total SAC <sup>6</sup> eligible EFTS enrolled at the TEO who are non-Māori, non-Pasifika at level 4–7 (non-degree) (%) <sup>7</sup>	<b>54.5</b>	59.2	46.2	68.7
<b>Participation</b>	The proportion of total SAC eligible EFTS enrolled at the TEO who are Māori at level 4–7 (non-degree) (%) <sup>7</sup>	<b>20.2</b>	30.5	29.3	23.4
<b>Participation</b>	The proportion of total SAC eligible EFTS enrolled at the TEO who are Pasifika at level 4–7 (non-degree) (%) <sup>7</sup>	<b>33.3</b>	20.0	26.1	14.2
<b>Participation</b>	The proportion of total SAC eligible EFTS enrolled at the TEO who are non-Māori, non-Pasifika at level 7 degree (%)	<b>81.1</b>	81.1	82.2	81.9
<b>Participation</b>	The proportion of total SAC eligible EFTS enrolled at the TEO who are Māori at level 7 degree (%)	<b>13.1</b>	13.2	12.4	12.5
<b>Participation</b>	The proportion of total SAC eligible EFTS enrolled at the TEO who are Pasifika at level 7 degree (%)	<b>7.0</b>	7.0	6.4	6.7
<b>Participation</b>	The proportion of total SAC eligible EFTS enrolled at the TEO who are non-Māori, non-Pasifika at level 8–10 (%)	<b>88.8</b>	87.8	88.3	88.8
<b>Participation</b>	The proportion of total SAC eligible EFTS enrolled at the TEO who are Māori at level 8–10 (%)	<b>7.6</b>	8.2	8.0	7.7
<b>Participation</b>	The proportion of total SAC eligible EFTS enrolled at the TEO who are Pasifika at level 8–10 (%)	<b>4.1</b>	4.9	4.2	3.9
<b>First-Year Retention</b>	The first-year retention rate for non-Māori, non-Pasifika students at level 7 degree (%)	<b>81.0</b>	80.8	82.6	80.3
<b>First-Year Retention</b>	The first-year retention rate for Māori students at level 7 degree (%)	<b>75.6</b>	74.5	72.6	72.3
<b>First-Year Retention</b>	The first-year retention rate for Pasifika students at level 7 degree (%)	<b>78.5</b>	74.5	74.9	77.4
<b>Course Completion<sup>8</sup></b>	The course completion rate for non-Māori, non-Pasifika students (SAC eligible EFTS) at level 1–10 (%)	<b>85.9</b>	89.1	89.3	88.8
<b>Course Completion<sup>8</sup></b>	The course completion rate for Māori students (SAC eligible EFTS) at level 1–10 (%)	<b>76.6</b>	82.9	82.4	80.4
<b>Course Completion<sup>8</sup></b>	The course completion rate for Pasifika students (SAC eligible EFTS) at level 1–10 (%)	<b>67.3</b>	77.0	74.7	72.0
<b>Research</b>	The amount of External Research Income earned (\$m) <sup>9</sup>	<b>79.3</b>	75	77.5	72.2
<b>International Research</b>	The number of international student EFTS <sup>10</sup>	<b>1,311</b>	852	1,817	2,047
<b>Research</b>	The number of research degrees completed	<b>539</b>	545	552	538

6 Student Achievement Component funding.

7 Participation rates for non-degree EFTS are subject to high variability due to small numbers.

8 Course completion rates remain provisional until after all final 2021 academic year (including Trimester 3) results are submitted in the following April Single Data Return (SDR). The provisional results tend to underestimate final completion rates as a result.

9 PBRF definition, excluding sub-contracts to other New Zealand universities.

10 International full-fee paying students plus NZAID and Commonwealth Scholarship students.



# NGĀ KAUPAPA I UTUA E NGĀ ĀKONGA STUDENT LEVIES

The Student Services Levy and a separate Student Assistance Levy are paid by all students to contribute to the development of a healthy and inclusive learning community. The Student Services Levy also improves services that can facilitate academic success and a positive student experience. A few services are fully funded by the Levy so they can be provided without individual user charge. Services that are partially funded by the Levy are provided to students at a heavily subsidised rate. Others are funded entirely from other sources.

Victoria University of Wellington staff work in partnership with the Advisory Committee on the Student Services Levy (ACSSL), an oversight body with student representatives from the Victoria University of Wellington Students' Association (VUWSA) and Ngāi Taurira executives who consult with other representation groups including PGSA, Pasifika Students' Council, and other representative student bodies. They run a consultation process before a recommendation on the Levy is made to ACSSL. The University works in partnership with students to ensure they have a strong voice in how the Student Services Levy is spent. In 2021, following significant consultation with students, the University approved a change to the Student Services Levy with effect from 2022 to a per-point charging structure (capped at 150 points) with a transitional rebate for existing Wellington-based students.

Student levies made a net deficit of \$238,710 in 2021. Annual carried forward surpluses and deficits are ring-fenced and actively managed during the next financial year's levy budgeting and planning process.

In 2021, an internal student studying 25 points or more was charged \$843 for the Student Services Levy. A Student Assistance Levy of \$28 was paid by all students. The total Compulsory Student Service Fee per student in 2021 was \$871, which translates to \$918 (2020: \$911) per equivalent full-time student.

The revenue from the levies can be used only for those services that fall within the following government-specified categories:

## Advocacy and legal advice

Advocacy services delivered by the University manage a range of resolution activities in relation to student complaints, disputes, appeals, pastoral (including accommodation) issues, and academic disputes. VUWSA is also contracted to deliver an independent advocacy service and train and support class representatives and faculty delegates to ensure that students have a meaningful and independent voice at Victoria University of Wellington.

## Careers information, advice, and guidance

Careers and Employment aims to increase the employability of the University's students by providing career and course advice and assessments, and helping students prepare job applications, CVs, and develop interview skills.

## Counselling services and pastoral care

Support services include Student Counselling, Āwhina and Pasifika Support for Māori and Pasifika students, peer mentoring, and student support coordinators based in halls of residence. A range of welfare services, such as food bank delivery, are delivered by VUWSA.

## Employment information

The University provides information on jobs through publications, career expos, employer and industry presentations, and through an online service, CareerHub, which features study-related job vacancies.

## Financial support and advice

The University's student finance advisors provide financial advice to students, administer the Hardship Fund, and teach financial literacy. The Student Assistance Levy contributes to the Hardship Fund (which has had a separate bank account since it was set up in 1991) and is available to assist students if they experience a short-term emergency or one-off immediate need.

## Health services

The University's Student Health and Wellbeing services operate from the Kelburn and Pipitea campuses and include Student Health and Student Counselling. Counselling services are also available at Te Aro. Disability Services works alongside students with temporary and ongoing impairments to ensure they are able to engage fully and achieve in their studies. Wellbeing services operate from the Student Union Building on Kelburn campus along with Rainbow and Refugee student advisory services.

## Media

VUWSA publishes the weekly magazine *Salient* and operates its website and podcasts. *Salient* provides paid work opportunities and skills development for its contributors, who are all students.

## Childcare services

Victoria Kids provides childcare facilities for children of Victoria University of Wellington students.

## Clubs and societies

The University has more than 150 clubs across political, cultural, sports, faith-based, and academic interests. Assistance is provided to clubs and societies through the provision of meeting rooms, activity spaces and resources, training programmes, and advice on club financial management.

## Sports, recreation, and cultural activities

Victoria Recreation provides space and equipment for students to keep active. Sports leagues, tailored fitness and other programmes, recreational facilities, and venues for student events and activities are offered.

SERVICE CATEGORY	2021 STUDENT SERVICES LEVY (SSL) REVENUE \$'000	2021 STUDENT ASSISTANCE LEVY (SAL) REVENUE \$'000	2021 REVENUE FROM OTHER SOURCES \$'000	TOTAL REVENUE \$'000	TOTAL COST (SSL RELATED AND SAL GRANTS) \$'000	NET SURPLUS/ (COST) \$'000
Advocacy and legal advice	962	–	–	962	993	(31)
Careers information, advice, and guidance	1,275	–	28	1,303	1,076	227
Counselling services and pastoral care	2,763	–	461	3,224	3,108	116
Employment information	1,007	–	11	1,018	984	34
Financial support and advice	637	525	230 <sup>11</sup>	1,392	1,615	(223)
Health services	4,044	–	2,546	6,590	6,557	33
Media	257	–	–	257	257	–
Childcare services	216	–	–	216	213	3
Clubs and societies	1,088	–	–	1,088	1,088	–
Sports, recreation, and cultural activities	1,953	–	437	2,390	2,788	(398)
<b>Total</b>	<b>14,202</b>	<b>525</b>	<b>3,713</b>	<b>18,440</b>	<b>18,679</b>	<b>(239)</b>

<sup>11</sup> Includes \$189,650 received via the Hardship Fund for Learners (HAFL) which was established by TEC to help tertiary education organisations (TEOs) provide temporary financial assistance for currently enrolled tertiary learners who were facing hardship due to the COVID-19 pandemic.



# NGĀ TAUĀKI WHAKAHAERE PŪTEA

## FINANCIAL OVERVIEW

The operating surplus for the consolidated Group (excluding the University Foundation) was \$21.9m, which is 4.2% of revenue. The consolidated Group operating surplus (including all entities) was \$31.7m, equating to 6.0% of revenue.

The COVID-19 pandemic continued to have an impact on the financial results of the University during 2021. In particular, the ongoing border restrictions resulted in an \$8.8m (22%) fall in international full-fee revenue compared with 2020 as students completed their studies and were not replaced by as many new students. However, this

decrease was more than offset by increases in government funding and domestic tuition revenue due to strong growth in domestic EFTS, which increased by 6% on 2020.

The 2021 surplus result was also bolstered by receipts of \$14.4m in relation to the ongoing Kaikoura earthquake insurance claim.

	2021 \$ '000	2020 \$ '000	2019 \$ '000	2018 \$ '000	2017 \$ '000
<b>Financial performance</b>					
Total operating revenue <sup>12</sup>	518,274	490,209	506,655	490,381	448,094
<b>University surplus/(deficit) for the year</b>	<b>21,915</b>	<b>(9,872)</b>	<b>5,608</b>	<b>15,869</b>	<b>6,447</b>
<b>EBITDA</b>	<b>70,557</b>	<b>49,241</b>	<b>60,908</b>	<b>68,046</b>	<b>66,414</b>
<b>Surplus/(deficit) (including the Foundation)</b>	<b>31,655</b>	<b>(3,267)</b>	<b>16,939</b>	<b>14,985</b>	<b>13,450</b>
<b>Financial position</b>					
Total current assets	182,202	165,338	168,859	148,396	146,103
Total non-current assets	1,060,463	968,369	989,068	912,449	904,731
<b>Total assets</b>	<b>1,242,665</b>	<b>1,133,707</b>	<b>1,157,927</b>	<b>1,060,845</b>	<b>1,050,834</b>
Total current liabilities	144,762	156,376	209,996	137,867	191,616
Total non-current liabilities	83,440	85,193	51,133	115,112	64,715
<b>Total liabilities</b>	<b>228,202</b>	<b>241,569</b>	<b>261,129</b>	<b>252,979</b>	<b>256,331</b>
<b>Total community equity</b>	<b>1,014,463</b>	<b>892,138</b>	<b>896,798</b>	<b>807,866</b>	<b>794,503</b>
<b>Statistics</b>					
University surplus/(deficit) to total revenue	4.2%	(2.0%)	1.1%	3.2%	1.4%
University surplus/(deficit) to total assets	1.8%	(0.9%)	0.5%	1.5%	0.6%
Current assets to current liabilities	126%	106%	80%	109%	76%
Assets to equity	123%	127%	129%	131%	132%

The Victoria University of Wellington Foundation (the fundraising arm of the University) earned \$11.5m from donations and investment returns. Funds raised through the generous engagement of alumni and civic supporters of the University are critical to help us invest in key initiatives and scholarships that support the realisation of the Strategic Plan. Total Foundation funds increased to \$89.7m at year end.

Net cash flow from operations at \$74.8m increased by \$14.8m from 2020 mainly due to the insurance receipts and the increases in government funding and domestic

tuition fees, partially offset by the fall in international full-fee tuition revenue due to the ongoing border restrictions. Capital expenditure for the year of \$55.7m was \$9m higher than the prior year, although the University's ability to complete its planned capital programme of works for 2021 was hampered by shortages of certain materials and labour resources due to the impacts of COVID-19. Key projects that were progressed during 2021 included the ongoing refurbishment of Rutherford House and the detailed design for the Living Pā and the National Music Centre.

<sup>12</sup> These numbers are University Group (excluding the Foundation).





# TE TAUĀKI PŪTEA FINANCIAL STATEMENTS

For the year ended 31 December 2021

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## STATEMENT OF RESPONSIBILITY

We are responsible for:

- the preparation of the annual financial statements and statement of service performance and the judgements used in them
- establishing and maintaining a system of internal control designed to provide reasonable assurance as to the integrity and reliability of financial reporting.

In our opinion, the financial statements and statement of service performance for the financial year ended 31 December 2021 fairly reflect the financial position and operations of Victoria University of Wellington and the Group.

**John Allen**

Chancellor  
21 March 2022

**Professor Jennifer Windsor**

Acting Vice-Chancellor  
21 March 2022

## TE TAUĀKI WHIWHINGA, WHAKAPAUNGA WHĀNUI

# STATEMENT OF COMPREHENSIVE REVENUE AND EXPENSE

For the year ended 31 December 2021

	NOTE	CONSOLIDATED			UNIVERSITY		
		ACTUAL 2021 \$'000	BUDGET 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	BUDGET 2021 \$'000	ACTUAL 2020 \$'000
<b>Revenue</b>							
Government funding		173,857	162,500	158,346	173,857	162,500	158,346
Performance-Based Research Fund (PBRF) funding		35,101	35,300	35,236	35,101	35,300	35,236
Domestic tuition		104,256	101,000	95,466	104,256	101,000	95,466
Full-fee tuition		31,817	21,400	40,661	31,817	21,400	40,661
Research		79,791	75,400	76,719	37,381	38,400	42,346
Commercial		12,581	14,900	12,312	9,638	12,600	12,521
Other revenue	2	80,871	69,900	71,469	68,440	58,200	53,354
<b>Total operating revenue</b>	<b>2</b>	<b>518,274</b>	<b>480,400</b>	<b>490,209</b>	<b>460,490</b>	<b>429,400</b>	<b>437,930</b>
<b>Expenses</b>							
People	3	268,330	268,500	270,353	247,177	251,900	253,396
Operating	4	138,134	121,400	125,553	103,625	89,700	104,521
Occupancy	4	41,253	40,400	45,062	39,633	39,000	43,690
Finance costs	5	1,795	2,000	2,265	2,219	2,300	2,794
Depreciation & amortisation	11,12	46,847	52,000	56,848	46,191	52,000	56,236
<b>Total operating expenses</b>		<b>496,359</b>	<b>484,300</b>	<b>500,081</b>	<b>438,845</b>	<b>434,900</b>	<b>460,638</b>
<b>University surplus/(deficit)</b>		<b>21,915</b>	<b>(3,900)</b>	<b>(9,872)</b>	<b>21,645</b>	<b>(5,500)</b>	<b>(22,707)</b>
<b>Victoria University of Wellington Foundation:</b>							
Movement of net assets	6	9,740	900	6,605	–	–	–
<b>Surplus/(deficit) (including the Foundation)</b>		<b>31,655</b>	<b>(3,000)</b>	<b>(3,267)</b>	<b>21,645</b>	<b>(5,500)</b>	<b>(22,707)</b>
<b>Other comprehensive revenue and expense</b>							
Movements in revaluation reserve	11	88,079	–	(982)	99,608	–	(982)
Movements in cash flow hedge reserve		2,591	–	(411)	2,591	–	(411)
<b>Total other comprehensive revenue and expense</b>		<b>90,670</b>	<b>–</b>	<b>(1,393)</b>	<b>102,199</b>	<b>–</b>	<b>(1,393)</b>
<b>Total comprehensive revenue and expense</b>		<b>122,325</b>	<b>(3,000)</b>	<b>(4,660)</b>	<b>123,844</b>	<b>(5,500)</b>	<b>(24,100)</b>

The accompanying notes form part of these financial statements.

## TE TAUĀKI TŪNGA PŪTEA

# STATEMENT OF FINANCIAL POSITION

As at 31 December 2021

	NOTE	CONSOLIDATED			UNIVERSITY		
		ACTUAL 2021 \$'000	BUDGET 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	BUDGET 2021 \$'000	ACTUAL 2020 \$'000
<b>Current assets</b>							
Cash & cash equivalents	8	49,675	69,500	64,116	12,893	14,000	21,009
Investments & other financial assets	9	99,501	44,300	70,180	24,405	(3,000)	7,714
Accounts receivable & accruals	10	7,020	37,400	10,676	9,054	33,000	15,118
Pre-paid expenses		19,489	14,200	15,930	16,253	14,000	13,998
Other current assets		6,517	100	4,436	97	100	96
Loans to related parties		–	–	–	6,010	6,300	4,510
<b>Total current assets</b>		<b>182,202</b>	<b>165,500</b>	<b>165,338</b>	<b>68,712</b>	<b>64,400</b>	<b>62,445</b>
<b>Non-current assets</b>							
Property, plant, & equipment	11	1,052,611	994,600	959,019	1,041,129	971,600	936,458
Intangibles	12	4,383	12,200	6,210	4,383	12,200	6,210
Investments in related parties	9,13	3,469	5,300	3,140	5,261	5,300	5,261
<b>Total non-current assets</b>		<b>1,060,463</b>	<b>1,012,100</b>	<b>968,369</b>	<b>1,050,773</b>	<b>989,100</b>	<b>947,929</b>
<b>Total assets</b>		<b>1,242,665</b>	<b>1,177,600</b>	<b>1,133,707</b>	<b>1,119,485</b>	<b>1,053,500</b>	<b>1,010,374</b>
<b>Current liabilities</b>							
Accounts payable & accruals	14	63,630	81,800	74,780	53,929	72,900	67,433
Revenue in advance	15	63,203	71,000	63,444	16,159	31,500	24,274
Related party borrowings	18	–	–	–	63,000	50,000	54,000
Employee entitlements	16	17,929	17,300	18,042	17,480	16,700	17,731
Other current liabilities		–	4,100	110	–	–	110
<b>Total current liabilities</b>		<b>144,762</b>	<b>174,200</b>	<b>156,376</b>	<b>150,568</b>	<b>171,100</b>	<b>163,548</b>
<b>Non-current liabilities</b>							
Employee entitlements	16	22,440	28,100	24,110	22,440	28,100	24,110
Bank borrowings	17	61,000	95,400	61,000	61,000	95,400	61,000
Other non-current liabilities		–	–	83	–	–	83
<b>Total non-current liabilities</b>		<b>83,440</b>	<b>123,500</b>	<b>85,193</b>	<b>83,440</b>	<b>123,500</b>	<b>85,193</b>
<b>Total liabilities</b>		<b>228,202</b>	<b>297,700</b>	<b>241,569</b>	<b>234,008</b>	<b>294,600</b>	<b>248,741</b>
<b>Net assets</b>		<b>1,014,463</b>	<b>879,900</b>	<b>892,138</b>	<b>885,477</b>	<b>758,900</b>	<b>761,633</b>
<b>Community equity</b>							
Accumulated surplus		479,642	433,600	445,815	342,794	316,200	318,979
Other reserves		534,877	446,300	446,379	542,683	442,700	442,654
Non-controlling interest		(56)	–	(56)	–	–	–
<b>Total community equity</b>		<b>1,014,463</b>	<b>879,900</b>	<b>892,138</b>	<b>885,477</b>	<b>758,900</b>	<b>761,633</b>

The accompanying notes form part of these financial statements.

## TE TAUĀKI NEKENEKE TŪTANGA

# STATEMENT OF CHANGES IN EQUITY

For the year ended 31 December 2021

NOTE	CONSOLIDATED			UNIVERSITY		
	ACTUAL 2021 \$'000	BUDGET 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	BUDGET 2021 \$'000	ACTUAL 2020 \$'000
<b>Community equity at 1 January</b>	<b>892,138</b>	<b>882,900</b>	<b>896,798</b>	<b>761,633</b>	<b>753,400</b>	<b>785,733</b>
Surplus/(deficit) for the year	31,655	(3,000)	(3,267)	21,645	(5,500)	(22,707)
<b>Other comprehensive revenue and expense</b>						
Increase/(decrease) in asset revaluation reserve	11 88,079	–	(982)	99,608	–	(982)
Increase/(decrease) in cash flow hedge reserve	2,591	–	(411)	2,591	–	(411)
<b>Total comprehensive revenue and expenses</b>	<b>122,325</b>	<b>(3,000)</b>	<b>(4,660)</b>	<b>123,844</b>	<b>–</b>	<b>(1,393)</b>
<b>Community equity at 31 December</b>	<b>20 1,014,463</b>	<b>879,900</b>	<b>892,138</b>	<b>885,477</b>	<b>758,900</b>	<b>761,633</b>
<b>Community equity represented by:</b>						
<b>Accumulated surplus</b>						
Opening balance	445,815	436,600	446,082	318,979	321,700	338,686
Surplus/(deficit) for the year	31,655	(3,000)	(3,267)	21,645	(5,500)	(22,707)
Transfer from revaluation reserve	2,172	–	3,000	2,172	–	3,000
<b>Closing balance</b>	<b>479,642</b>	<b>433,600</b>	<b>445,815</b>	<b>342,796</b>	<b>316,200</b>	<b>318,979</b>
<b>Non-controlling interest</b>						
Opening balance	(56)	–	(56)	–	–	–
<b>Closing balance</b>	<b>(56)</b>	<b>–</b>	<b>(56)</b>	<b>–</b>	<b>–</b>	<b>–</b>
<b>Asset revaluation reserve</b>						
Opening balance	448,666	446,300	452,648	444,941	442,700	448,923
Increase/(decrease) in revaluation reserve	11 88,079	–	(982)	99,608	–	(982)
Transfer to accumulated surplus	(2,172)	–	(3,000)	(2,172)	–	(3,000)
<b>Closing balance</b>	<b>534,573</b>	<b>446,300</b>	<b>448,666</b>	<b>542,377</b>	<b>442,700</b>	<b>444,941</b>
<b>Cash flow hedge reserve</b>						
Opening balance	(2,287)	–	(1,876)	(2,287)	–	(1,876)
Decrease in cash flow hedge reserve	2,591	–	(411)	2,591	–	(411)
<b>Closing balance</b>	<b>304</b>	<b>–</b>	<b>(2,287)</b>	<b>304</b>	<b>–</b>	<b>(2,287)</b>
<b>Community equity at 31 December</b>	<b>1,014,463</b>	<b>879,900</b>	<b>892,138</b>	<b>885,477</b>	<b>758,900</b>	<b>761,633</b>

The accompanying notes form part of these financial statements.

# TE TAUĀKI KAPEWHITI

## STATEMENT OF CASH FLOWS

For the year ended 31 December 2021

	NOTE	CONSOLIDATED			UNIVERSITY		
		ACTUAL 2021 \$'000	BUDGET 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	BUDGET 2021 \$'000	ACTUAL 2020 \$'000
<b>Cash flows from/(to) operating activities</b>							
Government funding		170,334	162,500	156,385	169,371	162,500	157,347
PBRF revenue		35,101	35,300	35,236	35,101	35,300	35,236
Tuition fees		134,718	122,400	132,329	134,715	122,400	132,330
Research, commercial, & other revenue		179,539	156,700	163,488	112,328	103,700	108,109
Interest received		328	3,000	1,426	377	300	1,119
Receipts from tuition fees		5	–	4	–	–	–
Cash donations		5,497	6,000	9,021	2,912	5,200	5,862
GST (net)		(457)	–	(2,161)	(424)	–	(1,522)
Payments to employees		(272,072)	(277,900)	(272,921)	(251,013)	(261,400)	(256,039)
Payments to suppliers		(176,435)	(155,800)	(160,627)	(143,539)	(124,900)	(139,825)
Interest paid		(1,795)	(2,000)	(2,265)	(2,219)	(2,300)	(2,794)
<b>Net cash flow from operating activities</b>		<b>74,763</b>	<b>50,200</b>	<b>59,915</b>	<b>57,609</b>	<b>40,800</b>	<b>39,823</b>
<b>Cash flows from investing activities</b>							
Receipts from sale of property, plant, equipment, & intangibles	8	–	–	727	–	–	727
Purchase of property, plant, equipment, & intangibles		(63,479)	(80,100)	(46,693)	(58,932)	(80,000)	(46,040)
Payments for investments		(25,540)	(3,300)	(14,523)	(14,100)	–	(10,000)
<b>Net cash flow from investing activities</b>		<b>(89,011)</b>	<b>(83,400)</b>	<b>(60,489)</b>	<b>(73,032)</b>	<b>(80,000)</b>	<b>(55,313)</b>
<b>Cash flows from financing activities</b>							
Proceeds from borrowings		–	63,200	35,000	–	63,200	61,000
Repayment of borrowings		(193)	(30,000)	(46,100)	(193)	(30,000)	(72,110)
Proceeds from related party borrowings		–	–	–	7,500	–	19,800
<b>Net cash flow from financing activities</b>		<b>(193)</b>	<b>33,200</b>	<b>(11,100)</b>	<b>7,307</b>	<b>33,200</b>	<b>8,690</b>
<b>Net decrease in cash &amp; cash equivalents</b>		<b>(14,441)</b>	<b>–</b>	<b>(11,674)</b>	<b>(8,116)</b>	<b>(6,000)</b>	<b>(6,800)</b>
Cash & cash equivalents at the beginning of the year		64,116	–	75,790	21,009	–	27,809
<b>Cash &amp; cash equivalents at the end of the year</b>	8	<b>49,675</b>	<b>–</b>	<b>64,116</b>	<b>12,893</b>	<b>(6,000)</b>	<b>21,009</b>

The accompanying notes form part of these financial statements.

## Reconciliation of surplus to net cash flow from operating activities

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
<b>Surplus/(deficit)</b>	<b>31,655</b>	<b>(3,267)</b>	<b>21,645</b>	<b>(22,707)</b>
<b>Add/(less) non-cash items</b>				
Depreciation & amortisation	46,847	56,848	46,191	56,236
Other non-cash items	878	(3,073)	(183)	–
<b>Total non-cash items</b>	<b>47,725</b>	<b>53,775</b>	<b>46,374</b>	<b>56,236</b>
<b>Add/(less) items classified as investing activities</b>				
Losses/(gains) on disposal of property, plant, & equipment	6,592	5,246	6,600	5,227
(Gains)/losses on investments held at fair value	(641)	(3,640)	–	–
<b>Total items classified as investing activities</b>	<b>5,951</b>	<b>1,606</b>	<b>6,600</b>	<b>5,227</b>
<b>Add/(less) changes in working capital items</b>				
(Increase)/decrease in receivables	3,654	12,965	6,344	17,743
(Increase)/decrease in deferred revenue	593	(3,519)	593	(3,519)
(Increase)/decrease in prepayments	(3,559)	1,022	(2,255)	80
(Increase)/decrease in other current assets	(2,080)	(15)	(1)	14
Increase/(decrease) in accounts payable	(7,500)	(3,849)	(10,691)	(4,218)
Increase/(decrease) in employment provisions	(1,782)	(2,264)	(1,922)	(2,248)
Increase/(decrease) in revenue received in advance	106	3,461	(9,078)	(6,785)
<b>Net cash inflow/(outflow) from operating activities</b>	<b>74,763</b>	<b>59,915</b>	<b>57,609</b>	<b>39,823</b>

## Reconciliation of liabilities arising from financing activities

CONSOLIDATED	2020	CASH FLOWS	NON-CASH CHANGES	2021
Bank borrowings	61,000	–	–	61,000
Other current liabilities	110	(110)	–	–
Other non-current liabilities	83	(83)	–	–
<b>Total</b>	<b>61,193</b>	<b>(193)</b>	<b>–</b>	<b>61,000</b>
UNIVERSITY	2020	CASH FLOWS	NON-CASH CHANGES	2021
Bank borrowings	61,000	–	–	61,000
Related party borrowings	54,000	9,000	–	63,000
Other current liabilities	110	(110)	–	–
Other non-current liabilities	83	(83)	–	–
<b>Total</b>	<b>115,193</b>	<b>8,807</b>	<b>–</b>	<b>124,000</b>

The accompanying notes form part of these financial statements.



# NGA KORERO WHAKAMARAMA MO NGA TAUĀKI PŪTEA NOTES TO THE FINANCIAL STATEMENTS

For the year ended 31 December 2021

## 1 STATEMENT OF ACCOUNTING POLICIES

### THE REPORTING ENTITY

Victoria University of Wellington (the University) is a Tertiary Education Institution domiciled in New Zealand, and is governed by the Crown Entities Act 2004 and the Education and Training Act 2020.

The primary purpose of the Consolidated Group (the Group) is to provide tertiary education services. This includes advancing knowledge by teaching and research, and offering courses leading to a range of degrees, diplomas, and certificates. It also makes research available to the wider community for mutual benefit, and provides research and scholarships for the purpose of informing the teaching of courses. These aspects are covered fully in the statement of service performance.

The University and the Group are designated as Public Benefit Entities (PBEs) for the purpose of complying with NZ GAAP.

The financial statements of the University and the Group for the year ended 31 December 2021 were authorised for issue in accordance with a resolution of the Victoria University of Wellington Council (the University Council) on 21 March 2022.

### BASIS OF PREPARATION

The accounting policies have been applied consistently to all periods presented. Significant accounting policies can be found in the specific notes to which they relate.

These financial statements are presented in accordance with Section 220 of the Education and Training Act 2020, the Crown Entities Act 2004, and New Zealand Generally Accepted Accounting Practice (NZ GAAP). They comply with PBE Accounting Standards.

The financial statements are presented in New Zealand dollars, which is the presentation currency and the functional currency of all entities within the Group. All values are rounded to the nearest thousand dollars (\$'000). The measurement base applied is historical cost except where specifically identified in their relevant notes.

All components in the financial statements are stated exclusive of GST, with the exception of receivables and payables, which include any GST invoiced. The University is exempt from income tax. However, there are some controlled entities within the Group that are not exempt from income tax.

The Group financial statements are prepared on a consolidation basis, which involves adding together

like-items of assets, liabilities, equity, revenue, expenses, and cash flows on a line-by-line basis. All significant intra-group balances, transactions, revenue, and expenses are eliminated on consolidation. The Group financial statements comprise the financial statements of the University, its controlled entities (including controlled trusts and subsidiary companies), and investments in joint ventures as at 31 December each year. Investments in joint ventures have been recognised at fair value through surplus or deficit.

The budget for 2021 was approved by the University Council on 20 November 2020.

### Standards, amendments, and interpretations issued that are not yet effective and have not been early adopted

Standards, amendments, and interpretations issued but not yet effective that have not been early adopted, and which are relevant to the University and Group, are:

#### PBE IPSAS 41 Financial Instruments

PBE IPSAS 41 Financial Instruments replaces PBE IPSAS 29 Financial Instruments: Recognition and Measurement and PBE IFRS 9 Financial Instruments and is effective for financial years beginning on or after 1 January 2022, with earlier adoption permitted. The main changes compared to PBE IPSAS 29 that are relevant to the University are:

- new financial asset classification requirements for determining whether an asset is measured at fair value or amortised cost
- a new impairment model for financial assets based on expected losses, which might result in the earlier recognition of impairment losses.

The University intends to adopt PBE IPSAS 41 for the 31 December 2022 financial year. The University has not yet assessed in detail the impact of the new Standard.

### Changes in accounting policy

There have been no changes in the accounting policies of the Group and the University for the year ended 31 December 2021, other than the adoption of new PBE Standards and amendments to PBE Standards as disclosed below. Other than the changes disclosed below, all accounting policies and disclosures are consistent with those applied by the Group and the University in the previous financial year.

### New and amended standards and interpretations

The Group applied for the first time certain standards and amendments that were effective for the year ending 31 December 2021.

## PBE IPSAS 2 Cash Flow Statements

An amendment to PBE IPSAS 2 Statement of Cash Flows requires entities to provide disclosures that enable users of financial statements to evaluate changes in liabilities arising from financing activities, including both changes arising from cash flows and no-cash changes. This amendment is effective for annual periods beginning on or after 1 January 2021. These disclosures are shown on the reconciliation of liabilities arising from financing activities on the Statement of Cash Flows.

## Software as a Service—new interpretation

In April 2021, the IFRS Interpretations Committee published additional guidance regarding the accounting treatment of configuration and customisation costs incurred in implementing software as a service (“SaaS”) arrangements. The Committee referenced the applicable accounting standards that set criteria for the recognition of intangible assets. These criteria include the identifiability and control of the asset, and the expectation of future economic benefits or service potential arising from the asset. The Committee concluded that configuration and customisation costs should only be capitalised if the specific criteria outlined in the applicable accounting standards for recognising a separate asset are met.

As a result, the University has changed its accounting policy in relation to configuration and customisation costs incurred in implementing SaaS arrangements. These costs are expensed as operational expenditure, unless they result in the development of an intangible asset that meets the definition and recognition criteria outlined in PBE IPSAS 31 Intangible Assets. If these criteria are met, then the costs will be capitalised.

The impact of this change in accounting policy is that \$4.2m of costs that would previously have been capitalised as intangible assets were expensed during 2021. Cash outflows of \$4.0m were included in payments to suppliers and employees in the statement of cash flows that would previously have been included as payments to purchase intangible assets.

In addition, a review of SaaS-related costs which had been capitalised in prior years identified \$1.2m capitalised at intangible asset but under the updated accounting policy would not meet the capitalisation criteria. These intangible assets have been written off in the 2021 year and the cost expensed within losses on disposal of assets.

## SIGNIFICANT ACCOUNTING JUDGEMENTS AND ESTIMATES

In applying the Group’s accounting policies, management continually evaluates judgements, estimates, and assumptions based on experience and other factors, including expectations of future events that may impact the Group. All judgements, estimates, and assumptions made are believed to be reasonable, based on the most current set of circumstances available to management. Significant judgements, estimates, and assumptions made by management in the preparation of these financial statements are outlined below.

- Management relies on the services of an independent valuer to assess the recoverable value of land and

building assets and the remaining useful lives on a regular basis (refer note 11).

- Asset impairment judgements will be made where there is reason to suggest that the carrying value of the assets has changed materially since the previous balance date (refer notes 11 and 12).
- Management relies on the services of an independent actuary to assess the carrying value of retirement and long service entitlements (refer note 16).
- Valuation of Level 3 investments (refer note 9).
- Stage of completion of research projects is regularly assessed to determine the carrying value of deferred revenue recognised.

The Statement of Service Performance requires judgement from management. Refer to page 12 for further disclosure.

## Effect of COVID-19

On 11 March 2020, the World Health Organization declared the outbreak of a coronavirus (COVID-19) pandemic. The New Zealand Government introduced a 4-stage Alert Level system to manage and minimise the risk of COVID-19 in New Zealand. This was replaced towards the end of 2021 with a new tiered ‘traffic light’ system. The pandemic continued to impact the University and the Group in 2021 with the emergence of new strains of COVID-19 including Delta and Omicron.

The reduction in international students (full fee), particularly those from China, continued into 2021 due to borders remaining closed to non-residents. While international students studying remotely increased, the overall number of international students was down on prior years. An increase in 2021 domestic students has partially offset this.

Revenue related to full-fee tuition and, to a lesser extent, student accommodation has remained lower as COVID-19 restrictions continue. Management has assessed the possible effect on accounts receivable and formed the view that no impairment needs to be recognised. Management also has no evidence that the fair value of property, plant, and equipment has been affected by COVID-19 (refer note 11).

The University has continued to offer its dual mode teaching and learning activities (face-to-face and online) for 2021 and dual mode will again be offered in 2022.

The Ministry of Education (MOE) permission to operate outside a number of financial covenants continued for 2021. The University has complied with all TEC and all bank covenants during 2021.

Further COVID-19-related disclosures can be found in the Statement of Service Performance, Note 21—Events after balance date, and Note 22—Explanations of major variances against budget.

We anticipate the impact of COVID-19 will be ongoing for a number of years.

## 2 REVENUE

Revenue is measured at fair value. The Group's significant revenue items are explained below.

**Government funding:** The University considers this funding to be non-exchange in nature. This funding is recognised as revenue as the courses to which the funding relates are taught, unless there is an obligation to return the funds if the conditions of the funding are not met. If there is such an obligation, the funding is initially recorded as a deferred revenue liability and then recognised as revenue when the conditions of the funding are satisfied. In addition to this, the Government provided additional funding to support students through COVID-19. This was used to bolster the Student Hardship Fund and to provide student technological solutions.

**Performance-Based Research Fund (PBRF) funding:** This is non-exchange in nature, and is measured based on the estimated funding entitlement at the commencement of the year plus or minus adjustments from the previous year.

**Domestic tuition:** Domestic student tuition fees are considered non-exchange. Revenue is recognised when the course withdrawal date has passed, which is when the student is no longer entitled to a refund. Domestic tuition revenue also includes fees-free funding received from the Tertiary Education Commission, which is also considered non-exchange in nature and recognised on the same basis as domestic student fees.

**Full-fee tuition:** International student tuition fees are accounted for as exchange transactions and recognised as revenue on a course percentage of completion basis.

**Pre-paid tuition:** Pre-paid tuition for future years is recognised as deferred income until the year of study.

**Research revenue:** For an exchange research contract, revenue is recognised on a percentage of completion basis. The percentage of completion is measured with reference to the actual research expenditure incurred as a proportion to total expenditure expected to be incurred. For a non-exchange research contract, the total funding receivable under the contract is recognised as revenue immediately, unless there are substantive conditions in the contract. If there is a condition attached to the funding with performance and return obligations, the funds are recognised initially as a liability to the extent that the conditions remain unfulfilled. Revenue is then recognised when the conditions are satisfied.

**Fees for accommodation and services provided to students:** This revenue is recognised as a component of Other revenue and is accounted for as exchange transactions. Accommodation and other services are provided to students on a straight-line basis over the academic year and revenue is recognised on this basis.

**Payments on insurance claims:** Proceeds from payment on insurance claims are recognised as non-exchange and in the year in which the payment was received.

### Other revenue

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Fees for accommodation and services provided to students	53,918	48,291	47,737	43,435
Payments on insurance claims	15,352	–	15,352	–
Proceeds from disposal of fixed assets	8	727	–	727
Interest received	608	1,272	512	1,007
Gains on investments	1,076	3,087	–	–
Donations received	865	2,000	858	2,000
Sundry revenue	9,044	16,092	3,981	6,185
<b>Total other revenue</b>	<b>80,871</b>	<b>71,469</b>	<b>68,440</b>	<b>53,354</b>
Other revenue from exchange transactions	57,914	60,652	47,298	45,992
Other revenue from non-exchange transactions	22,957	10,817	21,142	7,362
<b>Total other revenue</b>	<b>80,871</b>	<b>71,469</b>	<b>68,440</b>	<b>53,354</b>

The interest amount is predominantly from on call or on short-term deposits. In 2021, interest rates ranged from 0.15% to 2.05% (2020: 0.15% to 2.59%).

### 3 PEOPLE EXPENSES

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Salaries	250,027	249,984	231,155	233,993
Contractors	8,060	4,282	6,306	3,683
Entitlements	10,243	16,087	9,716	15,720
<b>Total</b>	<b>268,330</b>	<b>270,353</b>	<b>247,177</b>	<b>253,396</b>

Entitlements include contributions to KiwiSaver, UniSaver, and other defined contribution superannuation schemes, which are recognised as an expense when incurred.

### 4 OPERATING AND OCCUPANCY

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
The following items are included within operating & occupancy expenses:				
Audit fees to Ernst & Young	379	366	239	238
Property leases	17,044	17,739	16,871	17,512
Losses on disposal of property, plant, & equipment	6,600	5,973	6,600	5,954
Information technology	16,220	14,452	15,702	14,172
Grants & scholarships	31,705	26,054	11,768	21,032
Insurance	8,130	7,994	7,694	7,578
Travel & accommodation	2,593	4,715	1,320	1,506

Operating lease payments (net of any operating lease incentive received) are recognised as an expense on a straight-line basis over the lease term. Operating lease incentives are recognised as a reduction in the lease expense over the term of the lease. Note 19 provides a summary of lease commitments.

### 5 FINANCE COSTS

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Interest on borrowings	1,900	2,311	2,324	2,840
Capitalised borrowing costs	(105)	(46)	(105)	(46)
<b>Total</b>	<b>1,795</b>	<b>2,265</b>	<b>2,219</b>	<b>2,794</b>

Borrowing costs are expensed when incurred. The portion of borrowing costs directly attributable to qualifying assets are capitalised up to the point the qualifying asset is commissioned for use.

### 6 VICTORIA UNIVERSITY OF WELLINGTON FOUNDATION

The Victoria University of Wellington Foundation is a controlled subsidiary and included in the Consolidated Group financial statements. The movement of net assets for the Foundation includes investment returns of \$6.9m (2020: \$6.0m) and donation revenue of \$4.6m (2020: \$7.0m).

## 7 FINANCIAL INSTRUMENTS

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
<b>Financial assets</b>				
<b>Loans and receivables</b>				
Cash & cash equivalents	49,675	64,116	12,893	21,009
Trade receivables	5,277	9,035	8,886	14,542
Loans to related parties	–	–	6,010	4,510
Term deposits	36,319	22,219	24,100	10,000
Other current assets	6,516	4,436	97	96
<b>Total</b>	<b>97,787</b>	<b>99,806</b>	<b>51,986</b>	<b>50,157</b>
<b>At fair value through surplus or deficit</b>				
Investment in joint ventures	2,272	2,265	–	–
Other investments	64,367	50,480	–	–
<b>Total</b>	<b>66,639</b>	<b>52,745</b>	<b>–</b>	<b>–</b>
<b>At fair value through other comprehensive revenue and expense— cash flow hedges</b>				
Derivative financial instruments	12	(1,644)	305	(2,286)
<b>Total</b>	<b>12</b>	<b>(1,644)</b>	<b>305</b>	<b>(2,286)</b>
<b>Total financial assets</b>	<b>164,438</b>	<b>150,907</b>	<b>52,291</b>	<b>100,162</b>
<b>Financial liabilities</b>				
<b>Financial liabilities at amortised cost</b>				
Accounts payable	22,193	21,337	18,506	18,570
Bank borrowings	61,000	61,000	61,000	61,000
Related party borrowings	–	–	63,000	54,000
<b>Total</b>	<b>83,193</b>	<b>82,337</b>	<b>142,506</b>	<b>133,570</b>

2020 Comparatives have been restated to reflect current disclosures of longer-term deposits as loans and receivables which was previously recognised as financial assets at fair value through profit and loss. Longer-term deposits are recognised within investments and other financial assets.

Financial risk management objectives and policies: Unless otherwise stated, the carrying value equates to fair value for all financial assets and liabilities. Fair value is determined using quoted prices in active markets (where available) or other observable inputs. Where neither of these are available, then fair value is determined using observable price data and other relevant models used by market participants.

All purchases and sales of financial assets are recognised on the trade date (i.e., the date that the Group commits to purchase the asset). Purchases or sales are purchases or sales of financial assets under contracts that require delivery of the assets within the period established generally by regulation or convention in the marketplace.

Financial assets are derecognised when the right to receive cash flows from the financial assets has expired or when the entity transfers substantially all the risks and rewards of

the financial assets. If the entity neither retains nor transfers substantially all of the risks and rewards, it derecognises the asset if it has transferred control of the assets.

**Financial assets at fair value through surplus or deficit:**

The Group's investments in shares, managed funds, and fixed interest securities are classified as financial assets held for trading, with gains or losses recognised in surplus or deficit.

**Financial liabilities:** Financial liabilities measured at amortised cost include accounts payables, related party and bank borrowings. After initial recognition, these liabilities are subsequently measured at amortised cost using the effective interest rate method. Accounts payable and related party borrowings have contractual maturities of less than a year and are classified as current liabilities. Bank borrowing contractual maturities are in line with facilities terms in Bank borrowings note 17.

**Loans and receivables:** Loans and receivables are non-derivative financial assets with fixed or determinable payments that are not quoted in an active market. Such assets are carried at amortised cost using the effective-interest-rate method. Gains and losses are

recognised within the surplus or deficit when the loans and receivables are derecognised or impaired. These are classified as current assets, except for those with maturities greater than 12 months after balance date, which are classified as non-current.

Impairment of loans and receivables is established when there is objective evidence that the Group will not be able to collect amounts due. The amount of the impairment is the difference between the asset's carrying amount and the present value of estimated future cash flows, discounted using the original effective interest rate. For trade receivables, the carrying amount of the asset is reduced through the use of an allowance account, and the amount of the loss is recognised in the surplus or deficit. When the receivable is uncollectable, it is written off against the allowance account. Overdue receivables that have been renegotiated are reclassified as current (i.e., not past due). For other financial assets, impairment losses are recognised directly against the instrument's carrying amount.

### (a) Market risk and sensitivity

Currency risk exposure arises on foreign-exchange sales and purchases (typically library items and scientific equipment) denominated in a foreign currency. Wherever possible, the University transacts in the functional currency, including the setting of fees for international students. The Group's policies require that foreign currency forward-purchase contracts are used to limit the Group's exposure to movements in exchange rates on foreign-currency denominated liabilities and purchase commitments above \$100,000, where the committed payment date is known and is within 12 months. The Group entered into multiple foreign-exchange contracts during 2021 to mitigate any such risk, and held USD\$1.6m (2020: USD\$2.8m) of forward-exchange contracts at 31 December 2021 with a fair value of \$85k (2020: \$200k). These contracts are held to offset exchange rate risk on expected purchases in USD.

The Foundation holds \$44.9m of investments at fair value through surplus or deficit (2020: \$38.5m), which are invested in a range of foreign denominations and are exposed to foreign-exchange risk. The fair value of the Foundation's forward foreign-exchange contracts totals \$(0.3m) (2020: \$0.6m). A 10% movement in the New Zealand dollar against all currencies would give rise to a \$1.5m gain or loss that would equally impact equity (2020: \$1.6m).

Price risk arises as the fair value of shares in listed companies and units in managed funds will fluctuate as a result of changes in market prices. Market prices for a particular share may fluctuate due to factors specific to the individual share or its issuer, or factors affecting all shares traded in the market. This price risk is managed by diversification of the portfolio. A 10% movement in the market price of investments at fair value through surplus or deficit would give rise to a \$4.2m gain or loss that would equally impact equity (2020: \$3.9m).

Fair-value interest rate risk is the risk that the value of a financial instrument will fluctuate due to changes in market interest rates. The Group is exposed to fair-value interest

rate risk due to the exposure created by borrowings and investments issued at fixed rates of interest.

Cash flow interest rate risk exposure arises due to the exposure on bank borrowings and investments issued at variable interest rates. Generally, the Group raises long-term borrowings at floating rates and enters interest swaps to manage the cash flow interest rate risk. Under the interest rate swaps, the Group agrees with other parties to exchange, at specified intervals, the difference between fixed term contract rates and floating rate interest amounts calculated by reference to the agreed notional principal amounts, and applies hedge accounting to ensure fair-value fluctuations on the interest rate portfolio are taken to other comprehensive revenue or expense. The fair value of interest rate swaps totals \$0.3m (2020: \$(2.3m)). The fixed interest rates of interest rate swaps vary from 0.5% to 3.0% (2020: 0.5% to 3.2%).

The following table demonstrates the potential effect of movements in interest rates on the University and Group's surplus or deficit and equity, if interest rates had been 1.5% higher or lower with all other variables held constant. The calculation effectively changes the actual average of the contracted borrowing rates for the year by 1.5%.

	CONSOLIDATED 2021	
	-150BPS \$'000	+150BPS \$'000
Potential effect of movement in interest rates	611	(611)

### (b) Credit risk

Credit risk is the risk that a third party will default on its obligations to the Group, causing it to incur a loss. Due to the timing of its cash inflows and outflows, surplus cash is invested into term deposits, which gives rise to credit risk.

In the normal course of business, the Group is exposed to credit risk from cash and term deposits with banks, debtors, other receivables, government bonds, loans to subsidiaries, and derivative financial instrument assets. For each of these, the maximum credit exposure is best represented by their carrying amount.

The Group limits the amount of credit exposure to any one financial institution for term deposits to no more than the greater of \$40.0m or 40% of total investment held. The Group invests funds only with registered banks with high credit ratings and for a period not exceeding 370 days.

Investments held at fair value through surplus or deficit are predominantly managed by an external fund manager in a range of securities to diversify the risk.

The Group transacts with its students. These transactions do not create a significant credit risk, as students have no concentration of credit because of the relatively low value of individual student transactions. The Group also transacts with the Crown. These transactions do not create significant credit risk.

The Group holds no collateral or other enhancements for financial instruments that give rise to credit risk.

### (c) Liquidity risk

Liquidity risk is the risk that the Group may encounter difficulties in meeting financial liabilities as they fall due. The Group monitors and manages this risk in accordance with its Treasury Statute.

The Group's objective is to ensure there is access to cash, treasury investments, and committed bank funding at a future time when funds are required. The maturity profile of the total committed funding in respect to all external debt should be spread where practicable to reduce the concentration risk of having all or most of the University's committed funding maturing at the same time and at least 50% of committed funding facilities must expire more than two years beyond the reporting date. Note 17 provides a

summary of bank loan facilities that are available to the University. The amount and expiry date of all bank loans, committed bank facilities, and term debt will not exceed the maximum amount and term of the Ministerial Consent to Borrow.

The Group's assessment of risk with respect to refinancing its debt is low. Access to sources of funding is sufficiently available and debt maturing within 12 months can be rolled over with existing lenders.

The maturity profile of the Group's financial liabilities based on contractual undiscounted payments is such that, other than borrowings, all accounts payable are expected to be repaid within six months. Borrowings of \$0m will mature within 12 months and will need to be refinanced or repaid (2020: \$0m).

### Financial liabilities—contract maturities

	CONSOLIDATED 2021 \$'000					TOTAL
	ON DEMAND	LESS THAN 3 MONTHS	3 TO 12 MONTHS	1 TO 5 YEARS	GREATER THAN 5 YEARS	
Accounts payable	–	22,193	–	–	–	22,193
Bank borrowings	–	–	–	61,000	–	61,000
<b>Closing balance</b>	<b>–</b>	<b>22,193</b>	<b>–</b>	<b>61,000</b>	<b>–</b>	<b>83,193</b>

	CONSOLIDATED 2021 \$'000					TOTAL
	ON DEMAND	LESS THAN 3 MONTHS	3 TO 12 MONTHS	1 TO 5 YEARS	GREATER THAN 5 YEARS	
Accounts payable	–	21,337	–	–	–	21,337
Bank borrowings	–	–	–	61,000	–	61,000
<b>Closing balance</b>	<b>–</b>	<b>21,337</b>	<b>–</b>	<b>61,000</b>	<b>–</b>	<b>82,337</b>

	CONSOLIDATED 2021 \$'000					TOTAL
	ON DEMAND	LESS THAN 3 MONTHS	3 TO 12 MONTHS	1 TO 5 YEARS	GREATER THAN 5 YEARS	
Accounts payable	–	18,506	–	–	–	18,506
Bank borrowings	–	–	–	61,000	–	61,000
Related party borrowings	–	63,000	–	–	–	63,000
<b>Closing balance</b>	<b>–</b>	<b>81,506</b>	<b>–</b>	<b>61,000</b>	<b>–</b>	<b>142,506</b>

	CONSOLIDATED 2021 \$'000					TOTAL
	ON DEMAND	LESS THAN 3 MONTHS	3 TO 12 MONTHS	1 TO 5 YEARS	GREATER THAN 5 YEARS	
Accounts payable	–	18,570	–	–	–	18,570
Bank borrowings	–	–	–	61,000	–	61,000
Related party borrowings	–	54,000	–	–	–	54,000
<b>Closing balance</b>	<b>–</b>	<b>72,570</b>	<b>–</b>	<b>61,000</b>	<b>–</b>	<b>133,570</b>

## 8 CASH AND CASH EQUIVALENTS

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Cash at bank	4,275	1,780	1,516	322
Bank on-call deposits	45,213	59,252	11,377	17,687
Short-term deposits	187	3,084	–	3,000
<b>Closing balance</b>	<b>49,675</b>	<b>64,116</b>	<b>12,893</b>	<b>21,009</b>
Including:				
Funds held by controlled trusts	32,841	42,334	–	–

Cash and cash equivalents comprise cash at bank and on hand, and short-term deposits with an original maturity of three months or less, which are readily convertible to known amounts of cash and subject to an insignificant risk of changes in value.

Funds held by controlled trusts may have donor restrictions and will be used for specified purposes. When donor restrictions exist, the funds are held in trust until the University fulfils the donor's request.

## 9 INVESTMENTS AND OTHER FINANCIAL ASSETS

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
<b>Current portion</b>				
Investments at fair value through profit or loss (Level 1 and 2)	63,182	47,961	305	(2,286)
Term deposits	36,319	22,219	24,100	10,000
<b>Closing balance</b>	<b>99,501</b>	<b>70,180</b>	<b>24,405</b>	<b>7,714</b>
	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
<b>Non-current portion</b>				
Investments in related parties	–	–	5,261	5,261
Investments in joint ventures (Level 3)	2,272	2,265	–	–
Other investments (Level 3)	1,197	875	–	–
<b>Closing balance</b>	<b>3,469</b>	<b>3,140</b>	<b>5,261</b>	<b>5,261</b>

Quantitative disclosure of fair-value measurement hierarchy for investments as at 31 December 2021:

	CONSOLIDATED			CONSOLIDATED		
	2021	2021	2021	2020	2020	2020
	\$'000	\$'000	\$'000	\$'000	\$'000	\$'000
	LEVEL 1	LEVEL 2	LEVEL 3	LEVEL 1	LEVEL 2	LEVEL 3
Investments	1,409	61,761	3,469	1,457	48,147	3,140
Derivatives—Forward foreign exchange	—	(293)	—	—	642	—
Derivatives—Interest rate swaps	—	305	—	—	(2,286)	—
<b>Closing balance</b>	<b>1,409</b>	<b>61,773</b>	<b>3,469</b>	<b>1,457</b>	<b>46,503</b>	<b>3,140</b>

	UNIVERSITY			UNIVERSITY		
	2021	2021	2021	2020	2020	2020
	\$'000	\$'000	\$'000	\$'000	\$'000	\$'000
	LEVEL 1	LEVEL 2	LEVEL 3	LEVEL 1	LEVEL 2	LEVEL 3
Investments	—	—	—	—	—	—
Derivatives—Forward foreign exchange	—	—	—	—	—	—
Derivatives—Interest rate swaps	—	305	—	—	(2,286)	—
<b>Closing balance</b>	<b>—</b>	<b>305</b>	<b>—</b>	<b>—</b>	<b>(2,286)</b>	<b>—</b>

Due to the diverse nature of the Group's operations, the Group has a number of investments. Primarily, the Foundation holds investments in accordance with their Statement of Investment Policy (SIPO). Insurance proceeds received are held on deposit until reinvested in building and infrastructure. Investments to commercialise research are typically in unlisted entities, whose trading activity may be limited.

All assets and liabilities for which fair value is measured or disclosed in the financial statements are categorised within the fair-value hierarchy, described as follows, based on the lowest level input that is significant to the fair-value measurement as a whole.

**Level 1:** Fair value of investments in listed shares and fixed interest instruments obtained using quoted bid price at balance date.

**Level 2:** Fair value of investments in managed funds, obtained using closing unit prices as at balance date, published by the respective fund managers. The fair value of the forward foreign-exchange contracts was determined by a present value model with reference to current forward exchange rates for contracts with similar maturity profiles at balance date. The fair values of interest rate swaps have been determined by calculating the expected cash flows under the terms of the swaps and discounting these values to present value.

**Level 3:** Fair value of investments in unlisted shares is derived by taking into account significant milestones, progress towards milestones, any recent share valuations or share transactions, as well as unobservable price data and other relevant models used by market participants.

The table below provides a reconciliation from the opening balance to the closing balance for the Level 3 fair value investments.

	CONSOLIDATED	
	ACTUAL	ACTUAL
	2021	2020
	\$'000	\$'000
Opening balance	3,140	6,810
Sale of Investments	(844)	(4,893)
Purchases	962	1,477
Gain or losses recognised in other revenue	211	(254)
<b>Closing balance</b>	<b>3,469</b>	<b>3,140</b>

The table below provides a sensitivity analysis for Level 3 fair value measurements.

	2021		2020	
	\$'000 +10%	\$'000 -10%	\$'000 +10%	\$'000 -10%
Investments—aggregate share price at year end	120	(120)	171	(171)
Joint Venture—aggregate share price at year end	227	(227)	142	(142)
<b>Change in fair value</b>	<b>347</b>	<b>(347)</b>	<b>313</b>	<b>(313)</b>

### Derivative financial instruments and hedge accounting

The Group does not hold or issue derivative financial instruments for trading purposes. The Group uses financial instruments to manage exposure to interest rate fluctuations and foreign-exchange risks, and are stated at fair value. For the purposes of hedge accounting, hedges are classified as cash flow hedges where they hedge exposure to variability for a forecast transaction. Interest rate swaps that meet the conditions for hedge accounting as cash flow hedges can have the effective portion of the gain or loss on the hedging instrument recognised directly in other comprehensive revenue and expense and the ineffective portion recognised in the net surplus/(deficit). Hedge accounting is discontinued when the hedging instrument expires, is sold, terminated, exercised, or no longer qualifies for hedge accounting. At that time, any cumulative gain or loss on the hedging instrument recognised in the hedging reserve is kept in the reserve until the forecasted transaction occurs. If a hedged transaction is no longer expected to occur, the net cumulative gain or loss recognised in other comprehensive revenue and expense is transferred to the net surplus/(deficit) for the year. For derivatives that do not qualify for hedge accounting, any gains or losses arising from changes in fair value are taken direct to the net surplus/(deficit) for the year.

## 10 ACCOUNTS RECEIVABLE AND ACCRUALS

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Receivables & accruals	7,240	11,519	9,172	15,465
Less: Provision for doubtful debts	(413)	(881)	(259)	(351)
Other	193	38	141	4
<b>Closing balance</b>	<b>7,020</b>	<b>10,676</b>	<b>9,054</b>	<b>15,118</b>
Total receivables comprise:				
Receivables from exchange transactions	6,827	10,637	8,913	15,113
Receivables from non-exchange transactions	193	39	141	5
<b>Closing balance</b>	<b>7,020</b>	<b>10,676</b>	<b>9,054</b>	<b>15,118</b>
<b>Ageing of receivables and accruals</b>				
Not past due	3,086	7,627	7,011	14,368
Past due 1–30 days	1,042	1,021	716	96
Past due 31–60 days	1,083	711	472	184
Past due 61–90 days	681	556	388	271
Past due over 91 days not impaired	936	723	326	196
Past due over 91 days impaired	412	881	259	350
<b>Total gross trade receivables</b>	<b>7,240</b>	<b>11,519</b>	<b>9,172</b>	<b>15,465</b>

Accounts receivable are initially measured at fair value and subsequently measured at amortised cost using the effective-interest-rate method, less any provision for impairment.

## 11 PROPERTY, PLANT, AND EQUIPMENT

CONSOLIDATED	LAND \$'000	BUILDINGS & INFRASTRUCTURE \$'000	COMPUTERS & NETWORKS \$'000	PLANT & EQUIPMENT \$'000	ART COLLECTION, HERITAGE, & LIBRARY \$'000	CAPITAL WORK IN PROGRESS \$'000	TOTAL \$'000
<b>Cost and valuation</b>							
<b>Balance as at 1 January 2020</b>	<b>236,409</b>	<b>608,956</b>	<b>33,920</b>	<b>99,449</b>	<b>78,154</b>	<b>44,528</b>	<b>1,101,416</b>
Additions	2,810	35,654	4,511	9,435	8,472	47,572	108,454
Disposals & reclassifications	(800)	(1,272)	(18,582)	(11,260)	(8,451)	(63,493)	(103,858)
Valuation movement	(60)	(922)	–	–	–	–	(982)
<b>Balance as at 31 December 2020</b>	<b>238,359</b>	<b>642,416</b>	<b>19,849</b>	<b>97,624</b>	<b>78,175</b>	<b>28,607</b>	<b>1,105,030</b>
Additions	1,675	11,856	4,031	9,854	7,594	56,785	91,795
Disposals & reclassifications	–	(7,148)	(2,310)	(10,303)	(6,200)	(36,871)	(62,832)
Valuation movement	88,079	–	–	–	–	–	88,079
<b>Balance as at 31 December 2021</b>	<b>328,113</b>	<b>647,124</b>	<b>21,570</b>	<b>97,175</b>	<b>79,569</b>	<b>48,521</b>	<b>1,222,072</b>
<b>Accumulated depreciation</b>							
<b>Balance as at 1 January 2020</b>	<b>–</b>	<b>4,775</b>	<b>22,885</b>	<b>57,461</b>	<b>41,513</b>	<b>–</b>	<b>126,634</b>
Depreciation charge	–	23,506	4,758	9,807	15,205	–	53,276
Disposals	–	(784)	(15,196)	(9,789)	(8,130)	–	(33,899)
<b>Balance as at 31 December 2020</b>	<b>–</b>	<b>27,497</b>	<b>12,447</b>	<b>57,479</b>	<b>48,588</b>	<b>–</b>	<b>146,011</b>
Depreciation charge	–	24,543	3,043	9,057	7,279	–	43,922
Disposals & reclassifications	–	(1,666)	(2,310)	(9,936)	(6,560)	–	(20,472)
<b>Balance as at 31 December 2021</b>	<b>–</b>	<b>50,374</b>	<b>13,180</b>	<b>56,600</b>	<b>49,307</b>	<b>–</b>	<b>169,461</b>
<b>Net book value</b>							
<b>As at 1 January 2020</b>	<b>236,409</b>	<b>604,181</b>	<b>11,035</b>	<b>41,988</b>	<b>36,641</b>	<b>44,528</b>	<b>974,782</b>
<b>As at 31 December 2020</b>	<b>238,359</b>	<b>614,919</b>	<b>7,402</b>	<b>40,145</b>	<b>29,587</b>	<b>28,607</b>	<b>959,019</b>
<b>As at 31 December 2021</b>	<b>328,113</b>	<b>596,750</b>	<b>8,390</b>	<b>40,575</b>	<b>30,262</b>	<b>48,521</b>	<b>1,052,611</b>

UNIVERSITY	LAND \$'000	BUILDINGS & INFRASTRUCTURE \$'000	COMPUTERS & NETWORKS \$'000	PLANT & EQUIPMENT \$'000	ART COLLECTION, HERITAGE, & LIBRARY \$'000	CAPITAL WORK IN PROGRESS \$'000	TOTAL \$'000
<b>Cost and valuation</b>							
<b>Balance as at 1 January 2020</b>	<b>222,640</b>	<b>601,392</b>	<b>33,838</b>	<b>97,555</b>	<b>78,144</b>	<b>43,989</b>	<b>1,077,558</b>
Additions	2,810	35,654	4,457	9,226	8,472	46,928	107,547
Disposals & reclassifications	(800)	(1,272)	(18,581)	(11,222)	(8,451)	(63,231)	(103,557)
Valuation movement	(60)	(922)	–	–	–	–	(982)
<b>Balance as at 31 December 2020</b>	<b>224,590</b>	<b>634,852</b>	<b>19,714</b>	<b>95,559</b>	<b>78,165</b>	<b>27,686</b>	<b>1,080,566</b>
Additions	1,675	11,856	3,972	9,073	7,594	55,682	89,852
Disposals & reclassifications	–	(7,148)	(2,310)	(10,303)	(6,200)	(36,034)	(61,995)
Valuation movement	99,608	–	–	–	–	–	99,611
<b>Balance as at 31 December 2021</b>	<b>325,873</b>	<b>639,560</b>	<b>21,376</b>	<b>94,329</b>	<b>79,559</b>	<b>47,334</b>	<b>1,208,028</b>
<b>Accumulated depreciation</b>							
<b>Balance as at 1 January 2020</b>	<b>–</b>	<b>4,775</b>	<b>22,851</b>	<b>56,184</b>	<b>41,513</b>	<b>–</b>	<b>125,323</b>
Depreciation charge	–	23,506	4,714	9,239	15,205	–	52,664
Disposals & reclassifications	–	(784)	(15,196)	(9,769)	(8,130)	–	(33,879)
<b>Balance as at 31 December 2020</b>	<b>–</b>	<b>27,497</b>	<b>12,369</b>	<b>55,654</b>	<b>48,588</b>	<b>–</b>	<b>144,108</b>
Depreciation charge	–	24,404	2,995	8,588	7,279	–	43,266
Disposals & reclassifications	–	(1,665)	(2,310)	(9,937)	(6,560)	–	(20,472)
<b>Balance as at 31 December 2021</b>	<b>–</b>	<b>50,236</b>	<b>13,054</b>	<b>54,305</b>	<b>49,307</b>	<b>–</b>	<b>166,902</b>
<b>Net book value</b>							
<b>As at 1 January 2020</b>	<b>222,640</b>	<b>596,617</b>	<b>10,987</b>	<b>41,371</b>	<b>119,657</b>	<b>43,989</b>	<b>1,035,261</b>
<b>As at 31 December 2020</b>	<b>224,590</b>	<b>607,355</b>	<b>7,345</b>	<b>39,905</b>	<b>29,577</b>	<b>27,686</b>	<b>936,458</b>
<b>As at 31 December 2021</b>	<b>325,873</b>	<b>589,324</b>	<b>8,322</b>	<b>40,024</b>	<b>30,252</b>	<b>47,334</b>	<b>1,041,129</b>

**Capital work in progress:** This is valued on a basis of expenditure incurred and certified gross progress claim certificates up to balance date. Work in progress is not depreciated, and includes borrowing costs on qualifying assets. The total costs of the project are transferred to the relevant asset class on completion and then depreciated accordingly.

**Additions:** The cost of an item of property, plant, and equipment is recognised as an asset if, and only if, it is probable that future economic benefits or service potential associated with the item will flow to the Group and the cost of the item can be measured reliably. In most instances, an item of property, plant, and equipment is initially recognised at its cost. Where an asset is acquired at no cost, or for a nominal cost, it is recognised at fair value as at the date of acquisition.

**Measurement subsequent to initial recognition for non-revalued assets:** Computers and network assets, plant and equipment assets, and library assets are measured after initial recognition at cost less accumulated depreciation and impairment. Art collections and heritage assets are measured after initial recognition at cost less accumulated impairment.

**Disposals:** Gains and losses on disposals are determined by comparing the disposal proceeds with the carrying amount of the asset. Gains and losses on disposals are reported net in the surplus or deficit. When revalued assets are sold, the amounts included in property revaluation reserves in respect of those assets are transferred to general funds within equity.

**Depreciation:** This is provided on a straight-line basis on all property, plant, and equipment other than land and the art and heritage collections, at rates that will write off the cost (or valuation) of the assets to their estimated residual values over their useful lives. Art and heritage collections are not depreciated because they are maintained such that they have indefinite or sufficiently long useful lives that any depreciation is considered negligible.

**Impairment:** Property, plant, and equipment are reviewed for indicators of impairment at each balance date and whenever events or changes in circumstances indicate that the carrying amount might not be recoverable. An impairment loss is recognised for the amount by which the asset's carrying amount exceeds its recoverable amount. The recoverable amount is the higher of an asset's fair value less costs to sell and value in use. If an asset's carrying amount exceeds its recoverable amount, the asset is considered to be impaired and the carrying amount is written-down to the recoverable amount. For revalued assets, the impairment loss is recognised against the revaluation reserve for that class of asset. Where that results in a debit balance in the revaluation reserve, the balance is recognised in the surplus or deficit. For assets not carried at a revalued amount, the total impairment loss is recognised in the surplus or deficit. The reversal of an impairment loss on a revalued asset is credited to other comprehensive revenue and expense and increases the asset revaluation reserve for that class of asset. However, to the extent that an impairment loss for that class of asset was previously recognised in the surplus or deficit, a reversal of an impairment loss is also recognised in the surplus or deficit. For assets not carried at a revalued amount, the reversal of an impairment loss is recognised in the surplus or deficit.

CLASS OF ASSET DEPRECIATED	ESTIMATED USEFUL LIFE	DEPRECIATION RATES
Buildings & infrastructure	12–84 years	Straight line
Computers & networks	3–10 years	Straight line
Plant & equipment	3–25 years	Straight line
Library	5–10 years	Straight line

The residual value and useful life of an asset is reviewed, and adjusted if applicable, at each financial year end.

### Asset revaluation reserve

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Land	303,366	215,287	311,377	211,769
Buildings & infrastructure	231,207	233,379	231,000	233,172
<b>Total revaluation reserve</b>	<b>534,573</b>	<b>448,666</b>	<b>542,377</b>	<b>444,941</b>

Land, buildings, and infrastructure are revalued with sufficient regularity to ensure that their carrying amount does not differ materially from fair value. Independent registered valuers undertake such revaluations every three years, unless there is reason to suggest that the values have changed materially in the intervening years, in which case a revaluation may be undertaken outside the three-year cycle. Property, plant, and equipment revaluation movements are accounted for on a class-of-asset basis.

The last independent valuation of land, buildings, and infrastructure assets was undertaken as at 1 December 2019 by Mr P. Todd, registered valuer with Darroch Limited and member of the New Zealand Institute of Valuers.

A management review of carrying values of land, building, and infrastructure assets during 2021 indicated that values for buildings and infrastructure did not differ materially from fair value, and the carrying values for land were assessed as having changed materially. As a result, Mr Todd, now with RS Valuations Limited, was engaged to undertake an out-of-cycle revaluation of land. The outcome of this valuation is reflected in the reported carrying value of land in the Statement of Financial Position as at 31 December 2021.

The net revaluation results are credited or debited to other comprehensive revenue and expense and are accumulated to an asset revaluation reserve in equity for that class of asset. Where this would result in a debit balance in the asset revaluation reserve, this balance is recognised within the surplus or deficit. Any subsequent increase on revaluation that reverses a previous decrease in value recognised in the surplus or deficit will be recognised first in the surplus or deficit up to the amount previously expensed, and then recognised in other comprehensive revenue and expense.

The valuation of land occupied by non-residential buildings (i.e., the campuses) takes into account various factors, including zoning, title implications, alternative uses, subdivision, and development potential. Land is measured at fair value, which is determined by reference to its highest and best use if vacant, in line with market-based evidence.

Non-residential buildings are, for the purposes of the valuation, deemed to be 'specialised assets'. Specialised assets are valued using the optimised depreciated replacement cost methodology that is based on the current gross replacement cost of the building less allowances for physical deterioration (including planned future seismic and asbestos remediation), earthquake damage, and optimisation for obsolescence and relative surplus capacity. Residential buildings and properties located in the Wellington CBD are valued based on the market value that is the estimated price for properties should an exchange occur between a willing buyer and willing seller in an arm's length transaction. As part of the revaluation process, the independent valuer provided the Group with estimates of remaining useful lives for buildings.

Under the Education and Training Act 2020, the University is required to obtain prior consent of the Ministry of Education to dispose of, or sell, assets where the value of those assets exceeds an amount determined by the Minister of Education.

The rate for capitalisation of borrowing costs was 4.4%.

**Kaikoura earthquake:** The University's property, plant, and equipment suffered damage as a result of a November 2016 earthquake. An ongoing structured work programme is supporting both the claim process with our insurers, and any required remediation works. The programme is supported by both external consultant engineers and quantity surveyors and is sequentially structured. The initial focus has been on damage identification to the overall property portfolio, which has now progressed to remediation scopes and associated costing for a sample of buildings. Required activity within the programme may take a number of years to complete.

Damage has been identified to both buildings and infrastructure, and plant and equipment fixed asset categories. To date, other than the Rankine Brown building, no other major structural damage to buildings has been identified.

As a result of the Kaikoura earthquake, the University has incorporated a fair value adjustment within the movement in revaluation reserve of other comprehensive revenue and expense. The adjustment has been determined with reference to the damage identified to date, supported by insurance progress payments received.

In accordance with a three-year valuation cycle, a full independent valuation of land, buildings, and infrastructure assets will be performed at 31 December 2022.

## 12 INTANGIBLES

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
<b>Cost and valuation</b>				
Opening balance	22,383	24,759	22,383	24,759
Additions	2,353	3,102	2,353	3,102
Disposals & reclassifications	(6,808)	(5,478)	(6,808)	(5,478)
<b>Closing balance</b>	<b>17,928</b>	<b>22,383</b>	<b>17,928</b>	<b>22,383</b>
<b>Accumulated amortisation</b>				
Opening balance	16,173	17,283	16,173	17,283
Amortisation charge	2,925	3,572	2,925	3,572
<b>Disposals &amp; reclassifications</b>	<b>(5,553)</b>	<b>(4,682)</b>	<b>(5,553)</b>	<b>(4,682)</b>
<b>Closing balance</b>	<b>13,545</b>	<b>16,173</b>	<b>13,545</b>	<b>16,173</b>
<b>Net book value</b>	<b>4,383</b>	<b>6,210</b>	<b>4,383</b>	<b>6,210</b>

Intangible assets represent the Group's major IT systems and supporting processes that have been purchased, developed, and implemented. These are capitalised at cost, but only if they meet the definition and recognition criteria specified in PBE IPSAS 31 Intangible Assets.

Subsequent to initial recognition, intangible assets are measured at cost less accumulated amortisation and impairment. Amortisation for intangible assets is calculated using a straight-line basis and the amortisation periods are three to five years, with the expense recognised in the surplus or deficit.

The carrying value of an intangible asset with a finite life is amortised on a straight-line basis over its useful life. Useful lives have been assessed as three to five years. Amortisation begins when the asset is available for use and ceases at the date that the asset is derecognised. The amortisation charge for each period is recognised in the surplus or deficit in the Statement of Comprehensive Revenue and Expense.

The amortisation period and amortisation method for each intangible asset are reviewed at each annual reporting date. If the expected useful life of the asset differs from previous estimates, then the amortisation period is amended accordingly. Also, the amortisation method will be amended if there are changes in the expected pattern of consumption of future economic benefits or service potential of the asset. Changes in the amortisation period or methodology are accounted for as changes in accounting estimates, in accordance with public benefit accounting standards.

In April 2021, the IFRS Interpretations Committee published additional guidance regarding the accounting treatment of configuration and customisation costs incurred in implementing software as a service ('SaaS') arrangements.

The Committee concluded that configuration and customisation costs should only be capitalised if the specific criteria for recognising a separate asset are met. As outlined previously under 'Changes in accounting policy', the University has changed its accounting policy in relation to configuration and customisation costs incurred in implementing SaaS arrangements, to align with the Committee's guidance.

As a result, the University reviewed current and previous IT systems projects that involve SaaS arrangements. Management has exercised judgement in determining:

- whether cloud computing arrangements provide a software licence intangible asset
- whether costs to configure or customise SaaS arrangements create an intangible asset that meets the definition and recognition criteria under the applicable accounting standards.

If costs do not meet these criteria, they are expensed as operational expenditure.

## 13 INVESTMENTS IN RELATED PARTIES

NAME	PERCENTAGE CONTROLLED/OWNED	PRINCIPAL ACTIVITY
<b>Controlled entities</b>		
<b>Controlled trusts</b>		
Victoria University of Wellington Foundation	100%	Manages funds raised for the University
Research Trust of Victoria University of Wellington	100%	Conducts academic research
Victoria University of Wellington Art Collection Funding Trust	100%	Supports the University's art collection
Victoria University of Wellington School of Government Trust	100%	Manages funds raised for the University
Presbyterian Methodist Halls of Residence Trust	100%	Provides student accommodation
<b>Subsidiary companies</b>		
Te Puni Village Limited	100%	Provides student accommodation
Wellington Uni-Professional Limited	100%	Provides non-degree teaching
Victoria Link Limited (trading as Wellington UniVentures)	100%	Commercialises research
iPredict Limited	100%	Non-trading
Predictions Clearing Limited	100%	Non-trading
Wetox Limited	100%	Develops waste-water-treatment technology
Boutiq Science Limited	84%	Provides nanoparticle solutions
New Zealand School of Music Limited	100%	Non-trading
General Cable Superconductors Limited	100%	Manufactures high temperature superconducting cable
All controlled entities have a 31 December balance date.		
<b>Joint venture</b>		
NZ Innovation Booster Limited Partnership	50%	Commercialises research

The Group recognises its share of the jointly controlled entity at fair value utilising the exemption for 'Venture Capital Organisations' under paragraph 25 of PBE IPSAS 36 Investments in Associates and Joint Ventures. The Group's share in 2021 is \$2.3m (2020: \$1.4m).

## 14 ACCOUNTS PAYABLE AND ACCRUALS

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Accounts payable	13,010	8,475	9,323	5,707
Contract retentions	1,284	1,421	1,284	1,421
Deposits held on behalf of students	7,899	11,442	7,899	11,442
Other accruals	35,909	45,849	30,819	42,500
Statutory payables	5,528	7,593	4,604	6,363
<b>Closing balance</b>	<b>63,630</b>	<b>74,780</b>	<b>53,929</b>	<b>67,433</b>
Total payables comprise:				
Payables from exchange transactions	49,142	61,460	43,537	55,652
Payables from non-exchange transactions	14,488	13,320	10,392	11,781
<b>Closing balance</b>	<b>63,630</b>	<b>74,780</b>	<b>53,929</b>	<b>67,433</b>

Payables are initially recognised at fair value and subsequently measured at amortised cost using the effective-interest-rate method.

## 15 REVENUE IN ADVANCE

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Pre-paid tuition fees	14,952	14,992	14,517	14,731
Deferred revenue on research contracts	45,560	38,307	–	–
Other revenue in advance	2,691	10,145	1,642	9,543
<b>Closing balance</b>	<b>63,203</b>	<b>63,444</b>	<b>16,159</b>	<b>24,274</b>
Total revenue in advance comprises:				
Revenue in advance from exchange transactions	47,603	39,552	1,608	983
Revenue in advance from non-exchange transactions	15,600	23,892	14,551	23,291
<b>Total revenue in advance</b>	<b>63,203</b>	<b>63,444</b>	<b>16,159</b>	<b>24,274</b>

## 16 EMPLOYEE ENTITLEMENTS

	CONSOLIDATED		UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
<b>Current liabilities</b>				
Annual leave	15,569	15,799	15,120	15,488
Retirement & long-service leave	2,360	2,243	2,360	2,243
<b>Closing balance</b>	<b>17,929</b>	<b>18,042</b>	<b>17,480</b>	<b>17,731</b>
<b>Non-current liabilities</b>				
Retirement & long-service leave	22,440	24,110	22,440	24,110
<b>Closing balance</b>	<b>22,440</b>	<b>24,110</b>	<b>22,440</b>	<b>24,110</b>

The retirement and long-service leave liabilities were independently assessed as at 31 December 2021 by Lee-Ann du Toit, an actuary with Deloitte and a Fellow of the New Zealand Society of Actuaries.

An actuarial valuation involves the projection, on a year-by-year basis, of the long-service leave and retirement leave benefit payment, based on accrued services in respect of current employees. These benefit payments are estimated in respect of their incidence according to assumed rates of death, disablement, resignation, and retirement, allowing for assumed rates of salary progression. Of these assumptions, the discount, salary progression, retirement age, and resignation rates are the most important. The projected cash flow is then discounted back to the valuation date at the valuation discounted rates. The present obligation appears on the statement of financial position and movements in those provisions are reflected in the statement of comprehensive revenue and expense.

The discount rate applied was a single rate of 2.45% (2020: 1.0%). The salary projections assume a 1.50% increase for 2022 and 2.5% from 2023 onwards every year. Resignation rates vary with age and the length of service and are reflective of the experience of company superannuation schemes of New Zealand. No explicit allowance has been made for redundancy.

Employee entitlements are recognised when the University has a present obligation (legal or constructive) as a result of a past event and it is probable that an outflow of resources embodying economic benefits will be required to settle the obligation and a reliable estimate can be made of the amount of the obligation.

Liabilities in respect of employee entitlements that are expected to be paid or settled within 12 months of balance date are accrued at nominal amounts calculated on the basis of current salary rates. Liabilities in respect of employee entitlements that are not expected to be paid or settled within that period are accrued at the present value of expected future payments, using discounted rates as advised by the actuary.

Annual leave for all staff is accrued based on employment contract/agreement entitlements using current rates of pay. Annual leave is classified as a current liability. Long-service leave has been accrued for qualifying general staff.

## 17 BANK BORROWINGS

The University has the following loan facility agreements.

NAME OF BANK	FACILITY LIMIT	TERM
Bank of New Zealand	\$75.0m	Split equally to mature January 2023 & January 2025
ASB Bank Limited	\$37.5m	Maturity January 2025
Industrial and Commercial Bank of China (New Zealand) Limited	\$37.5m	Maturity January 2025

These facilities were approved by the Secretary for Education for the purposes of funding the University's long-term capital development programme.

The facilities under the loan agreements are unsecured. The lending banks receive the benefit of financial and other covenants under a Negative Pledge Deed including debt/debt equity ratio, interest cover ratio, and Guaranteeing Group/Total Group asset ratio.

As at 31 December 2021, \$61.0m (2020: \$61.0m) of the above facilities have been drawn down. Interest rates on borrowings are reset for a period not exceeding 180 days, are based on standard bank indices (BKBM/OCR), and at balance date range from 1.47% to 1.90%.

Borrowings are initially recognised at the amount borrowed. After initial recognition, all borrowings are measured at amortised cost using the effect-interest-rate method. Borrowings are classified as current liabilities unless the University has an unconditional right to defer settlement of the liability for at least 12 months after balance date.

Interest rate swaps with a total face value of \$40.0m are in place to hedge the \$61.0m of borrowings drawn at balance date (2020: \$45.0m face value of interest rate swaps to hedge \$61.0m of borrowings).

## 18 RELATED PARTIES

Related party disclosures have not been made for transactions with related parties that are within a normal client/recipient relationship on terms and conditions no more or less favourable than those that are reasonable to expect that the Group would have adopted in dealing with the party at arm's length in the same circumstances.

### a) Transactions with key management personnel

Key management personnel represent Council members and the Senior Leadership Team (SLT).

Council remuneration includes meeting fees and honoraria paid to Council members, but excludes salaries paid to Council members who are also staff members of the University (including the Vice-Chancellor and two other staff members on Council). There are 12 members of Council.

	CONSOLIDATED/UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Council members (3 FTE)*	233	238
Senior Leadership Team (13 FTE)	4,685	4,438
<b>Total remuneration</b>	<b>4,918</b>	<b>4,676</b>

\* Due to the difficulty in determining the full-time equivalent for Council members, the full-time equivalent figure is taken as the total number of Council members who received compensation in their capacity as employees of the University.

In 2020, to support the University with the financial challenges of COVID-19, most Council members reduced their fees for eight months to December 2020. To effect the reduction, standard fees have been paid to the members, who then donated a portion of their fee to the University's Foundation. Some SLT members made payroll giving donations, some agreed pay reductions and furloughs. The above amounts are presented before deducting payroll giving, and net of pay reductions and furloughs.

	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
<b>Directors' fees</b>		
Victoria Link Limited	90	90
Wellington Uni-Professional Limited	118	23
<b>Total</b>	<b>208</b>	<b>113</b>

### b) Loans with related parties

The University has loans with certain 100% controlled subsidiaries as well as Accounts Payable and Account Receivable. The University has loans to Victoria Link Limited, 31 December 2021 balance \$3.0m (2020: \$6.8m), to Presbyterian Methodist Halls of Residence Trust, 31 December 2021 balance \$2.0m (2020: \$2.0m), and Wellington Uni-Professional Limited, 31 December 2021 balance \$1.0m (2020: \$0m). The University has a loan from the Research Trust of Victoria University of Wellington, 31 December 2021 balance \$63.0m (2020: \$54.0m).

## 19 CONTINGENT LIABILITIES AND COMMITMENTS

### Contingent liabilities

#### Construction

In common with many large construction projects, the University regularly reviews its construction projects. These discussions are often complex and technical and do not always result in a financial outcome. Accordingly, the University is unable to estimate any amount payable in relation to current variations or other risk sharing arrangements under review.

#### Commitments

##### Property, plant, and equipment

Projects for which firm commitments have been made are presented below. Commitments include planned maintenance costs and capital expenditure projects.

	CONSOLIDATED/UNIVERSITY	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Buildings	133,091	79,172

#### Non-cancellable leases and other commitments—the Group as lessee

The University has entered into commercial leases on certain land and buildings (remaining terms of between one to 65 years) and equipment (average term of three years) with no renewal option included in the contracts. There are no restrictions placed upon the lessee by entering into these leases. Future minimum rentals payable under non-cancellable operating leases are as follows.

	CONSOLIDATED	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Due not later than 1 year	17,075	16,317
Due between 1–5 years	64,175	63,207
Due later than 5 years	188,334	171,188
<b>Total non-cancellable operating leases—the Group as lessee</b>	<b>269,584</b>	<b>250,712</b>

#### Non-cancellable leases and other commitments—the Group as lessor

Property is leased under operating leases. The majority of these leases have a non-cancellable term of 36 months. The future aggregate minimum lease payments to be collected under non-cancellable operating leases are as follows.

	CONSOLIDATED	
	ACTUAL 2021 \$'000	ACTUAL 2020 \$'000
Due not later than 1 year	564	482
Due between 1–5 years	1,374	1,342
Due later than 5 years	737	380
<b>Total non-cancellable operating leases—the Group as lessor</b>	<b>2,675</b>	<b>2,204</b>

No contingent rents have been recognised during the year.

## 20 EQUITY AND CAPITAL MANAGEMENT

Equity is the community's interest in the Group, measured as the difference between total assets and total liabilities. Equity is made up of the following components:

- accumulated surplus
- the cash flow hedging reserve, which reflects the revaluation of derivatives designated as cash flow hedges. It consists of the cumulative effective portion of net changes in the fair value of these derivatives
- the asset revaluation reserve, which reflects the revaluation of those property, plant, and equipment items that are measured at fair value after initial recognition
- the non-controlling interest is the portion of subsidiaries not 100% owned.

The Group's capital is its equity, which is represented by net assets. The Group is subject to the financial management and accountability provisions of the Education and Training Act 2020, which includes restrictions in relation to disposing of assets or interests in assets, ability to mortgage or otherwise charge assets or interests in assets, granting leases of land or buildings or parts of buildings, and borrowing. The Group manages its revenues, expenses, assets, liabilities, investments, and general financial dealings prudently, and in a manner that promotes the current and future interests of the community. The objective of managing the Group's equity is to ensure that it effectively and efficiently achieves the goals and objectives for which it has been established, while remaining a going concern.

## 21 EVENTS AFTER BALANCE DATE

The judgements and estimates made in preparing these financial statements were based on facts and circumstances as at 31 December 2021.

At 11.59pm 23 January 2022 New Zealand as a whole moved to the Red Traffic Light setting in response to managing a COVID-19 Omicron variant outbreak. It remains unclear what impact this will have on the easing of border restrictions in 2022.

## 22 EXPLANATIONS OF MAJOR VARIANCES AGAINST BUDGET

Explanations for major variations against the 2021 budget are as follows.

### Statement of comprehensive revenue and expense

- Government funding and Tuition revenue was \$25.0m favourable to budget driven by higher EFTS than was budgeted. This includes an increase in full-fee tuition revenue of \$10.4m.
- Research revenue was \$4.4m favourable to budget mainly due to increased research activity, which was offset by higher research expenditure noted below.
- Other revenue was \$11.0m favourable to budget primarily as a result of insurance proceeds, partially offset by lower student accommodation revenue, which was primarily due to COVID-19-related lockdowns.
- Operating costs were \$16.7m unfavourable to budget primarily as a result of higher scholarship costs, higher research costs (offset by higher research revenue), higher IT costs including the expensing of SaaS-related software costs, and a de-recognition of certain building assets.
- Depreciation and amortisation was \$5.2m favourable mainly due to the impact of the 2020 change to accounting policy to expense low value IT assets and lower capital expenditure than budget.

### Statement of financial position

- Cash and cash equivalents were \$19.8m lower than budget primarily as a result of funds being held in investments and longer-term deposits, partially offset by higher than budget cashflows from operating activities.
- Investments were \$55.2m higher than budget as a result of funds being held in longer-term (over 90 days) deposits rather than cash and short-term deposits.
- Accounts receivable and accruals were \$30.4m less than budget primarily as a result of the timing of receipts.
- Property, plant, and equipment was \$60.5m higher than budget as a result of the 2021 land revaluation partially offset by lower capital expenditure.
- Intangibles were \$7.8m lower than budget due to both lower than budget expenditure on intangible assets and reclassification of spend on SaaS projects to operating costs.
- Accounts payable and accruals were \$18.2m lower than budget as a result of timing of payments.
- Bank borrowings were \$34.4m lower than budget as a result of higher cash received and lower than budget capital expenditure.
- Other reserves were \$91.0m higher than budget as a result of the 2021 revaluation of land.



# TE PŪRONGO A TE KAIATĀTARI KAUTE MOTUHAKE INDEPENDENT AUDITOR'S REPORT



Chartered Accountants

## TO THE READERS OF VICTORIA UNIVERSITY OF WELLINGTON AND GROUP'S FINANCIAL STATEMENTS AND STATEMENT OF SERVICE PERFORMANCE FOR THE YEAR ENDED 31 DECEMBER 2021

The Auditor-General is the auditor of Victoria University of Wellington (the University) and group. The Auditor-General has appointed me, David Borrie, using the staff and resources of Ernst & Young, to carry out the audit of the financial statements and statement of service performance of the University and group on his behalf.

### Opinion

We have audited:

- the financial statements of the University and group on pages 27 to 51, that comprise the statement of financial position as at 31 December 2021, the statement of comprehensive revenue and expense, statement of changes in equity and statement of cash flows for the year ended on that date and the notes to the financial statements that include accounting policies and other explanatory information; and
- the statement of service performance of the University and group on pages 10 to 20.

In our opinion:

- the financial statements of the University and group on pages 27 to 51:
  - present fairly, in all material respects:
    - the financial position as at 31 December 2021; and
    - the financial performance and cash flows for the year then ended; and
  - comply with generally accepted accounting practice in New Zealand in accordance with Public Benefit Entity Reporting Standards; and; and
- the statement of service performance on pages 10 to 20:
  - presents fairly, in all material respects, the University and group's service performance achievements as compared with the forecast outcomes included in the investment plan and asset management plan for the year ended 31 December 2021; and

- complies with generally accepted accounting practice in New Zealand.

Our audit was completed on 21 March 2022. This is the date at which our opinion is expressed.

The basis for our opinion is explained below. In addition, we outline the responsibilities of the Council and our responsibilities relating to the financial statements and the statement of service performance, we comment on other information, and we explain our independence.

### Basis for our opinion

We carried out our audit in accordance with the Auditor-General's Auditing Standards, which incorporate the Professional and Ethical Standards and the International Standards on Auditing (New Zealand) issued by the New Zealand Auditing and Assurance Standards Board. Our responsibilities under those standards are further described in the Responsibilities of the auditor section of our report.

We have fulfilled our responsibilities in accordance with the Auditor-General's Auditing Standards.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

### Key audit matters

Key audit matters are those that, in our professional judgement, were of most significance in our audit of the financial statements and statement of service performance for the current year. These matters were addressed in the context of our audit of the financial statements and statement of service performance as a whole, and in forming our opinion thereon, but we do not provide a separate opinion on these matters. For each matter below, our description of how our audit addressed the matter is provided in that context.

We have fulfilled the responsibilities described in the Responsibilities of the auditor for the audit of the financial statements and statement of service performance section of the audit report, including in relation to these matters. Accordingly, our audit included the performance of procedures designed to respond to our assessment of the risks of material misstatement of the financial statements and statement of service performance. The results of our audit procedures, including the procedures performed to address the matters below, provide the basis for our audit opinion on the accompanying financial statements and statement of service performance.

**Student enrolment, academic results and Government grant revenue**

WHY SIGNIFICANT	HOW OUR AUDIT ADDRESSED THE KEY AUDIT MATTER
<p>The core function of the University is to deliver tertiary education to students at a consistently high level of academic quality.</p> <p>The University recognised \$173.9m of Government funding, received through the Tertiary Education Commission (TEC), the quantum of which is based on equivalent full-time student enrolments (EFTS) and retention and achievement results.</p> <p>The University reports its actual achievement against enrolment, retention and achievement targets in the statement of service performance. Due to the significance of student enrolment, retention and academic results in assessing the University’s overall performance for the period, we view the University’s reporting of EFTS, Government funding revenue and retention and achievement results as a key audit matter.</p>	<p>In obtaining our audit evidence we:</p> <ul style="list-style-type: none"> <li>■ understood the University’s key processes, systems and controls to support accurate EFTS calculations and student achievement performance information;</li> <li>■ used our professional judgement to select performance measures that in our view are significant in terms of assessing the University’s performance in the period. Our detailed testing was focused on assessing the accuracy of reported performance against these selected measures;</li> <li>■ tested on a sample basis the controls surrounding the Student Management System from which the reported enrolment, retention and achievement data is extracted;</li> <li>■ tested a sample of student enrolments and withdrawals and their recognition within the Student Management System to assess whether EFTS numbers have been accurately reported;</li> <li>■ tested the reconciliation of reported student achievement performance, on a sample basis, to the Student Management System;</li> <li>■ compared the University’s actual EFTS results against target EFTS in the Investment Plan and sought to understand significant variances;</li> <li>■ reviewed correspondence and verified a sample of remittances from TEC to assess the appropriateness of Government grant revenue recorded in the financial statements;</li> <li>■ compared recorded Government grant revenue with the University’s investment plan and sought to understand any significant variances; and</li> <li>■ considered Government grant revenue financial statement disclosures and the presentation of student enrolment, achievement and retention information in the statement of service performance for compliance with PBE IPSAS 23: Revenue from Non-Exchange Transactions and PBE FRS 48: Service Performance Reporting.</li> </ul>

### **Responsibilities of the Council for the financial statements and the statement of service performance**

The Council is responsible on behalf of the University and group for preparing financial statements that are fairly presented and that comply with generally accepted accounting practice in New Zealand.

The Council is also responsible on behalf of the University and group for preparing a statement of service performance that is fairly presented and that complies with generally accepted accounting practice in New Zealand.

The Council is responsible for such internal control as it determines is necessary to enable it to prepare financial statements and a statement of service performance that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements and the statement of service performance, the Council is responsible on behalf of the University and group for assessing the University and group's ability to continue as a going concern. The Council is also responsible for disclosing, as applicable, matters related to going concern and using the going concern basis of accounting, unless the Council intends to liquidate the University and group or to cease operations, or has no realistic alternative but to do so.

The Council's responsibilities arise from the Education and Training Act 2020 and the Crown Entities Act 2004.

### **Responsibilities of the auditor for the audit of the financial statements and the statement of service performance**

Our objectives are to obtain reasonable assurance about whether the financial statements and the statement of service performance, as a whole, are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion.

Reasonable assurance is a high level of assurance, but is not a guarantee that an audit carried out in accordance with the Auditor-General's Auditing Standards will always detect a material misstatement when it exists. Misstatements are differences or omissions of amounts or disclosures, and can arise from fraud or error. Misstatements are considered material if, individually or in the aggregate, they could reasonably be expected to influence the decisions of readers taken on the basis of these financial statements and statement of service performance.

For the budget information reported in the financial statements and the statement of service performance, our procedures were limited to checking that the information agreed to the University and group's Council approval budget for the financial statements and the Investment Plan for the statement of service performance.

We did not evaluate the security and controls over the electronic publication of the financial statements and the statement of service performance.

As part of an audit in accordance with the Auditor-General's Auditing Standards, we exercise professional judgement and maintain professional scepticism throughout the audit. Also:

- We identify and assess the risks of material misstatement of the financial statements and the statement of service performance, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- We obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the University and group's internal control.
- We evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the Council.
- We conclude on the appropriateness of the use of the going concern basis of accounting by the Council and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the University and group's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements and the statement of service performance or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the University and group to cease to continue as a going concern.
- We evaluate the overall presentation, structure and content of the financial statements and the statement of service performance, including the disclosures, and whether the financial statements and the statement of service performance represent the underlying transactions and events in a manner that achieves fair presentation.
- We obtain sufficient appropriate audit evidence regarding the financial statements and the statement of service performance of the entities or business activities within the group to express an opinion on the consolidated financial statements and the consolidated statement of service performance. We are responsible for the direction, supervision and performance of the group audit. We remain solely responsible for our audit opinion.

We communicate with the Council regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

From the matters communicated with the Council, the auditor determines those matters that were of most significance in the audit of the consolidated financial statements and service performance information of the current period and are therefore the key audit matters. The auditor describes these matters in the auditor's report unless law or regulation precludes public disclosure about the matter or when, in extremely rare circumstances, the auditor determines that a matter should not be communicated in the auditor's report because the adverse consequences of doing so would reasonably be expected to outweigh the public interest benefits of such communication.

Our responsibilities arise from the Public Audit Act 2001.

### Other information

The Council is responsible for the other information. The other information comprises the information included on pages 1 to 9, 21 to 26 and 56 to 59, but does not include the financial statements and the statement of service performance, and our auditor's report thereon.

Our opinion on the financial statements and the statement of service performance does not cover the other information and we do not express any form of audit opinion or assurance conclusion thereon.

In connection with our audit of the financial statements and the statement of service performance, our responsibility is to read the other information. In doing so, we consider whether the other information is materially inconsistent with the financial statements and the statement of service performance or our knowledge obtained in the audit, or otherwise appears to be materially misstated. If, based on our work, we conclude that there is a material misstatement of this other information, we are required to report that fact. We have nothing to report in this regard.

### Independence

We are independent of the University and group in accordance with the independence requirements of the Auditor-General's Auditing Standards, which incorporate the independence requirements of Professional and Ethical Standard 1: *International Code of Ethics for Assurance Practitioners* issued by the New Zealand Auditing and Assurance Standards Board.

We also provide assurance services in relation to the University's Performance-Based Research Fund return, on behalf of the Auditor-General. We have no other relationship with, or interest in, the University or any of its subsidiaries.

### David Borrie

Ernst & Young  
On behalf of the Auditor-General  
Wellington, New Zealand



# TE RŪNANGA COUNCIL 2021



**Back row from left:** Professor Brigitte Bönisch-Brednich, James Te Puni (Ngāti Porou), Caroline Ward (Secretary to Council), John Allen, Hon Maryan Street, Cath Nesus (Ngāti Porou).

**Front row from left:** Alan Judge, Rhianna Morar (Ngāti Porou, Te Arawa), Chancellor Neil Paviour-Smith, Pro-Chancellor Dr Dianne McCarthy CRSNZ CNZM, Vice-Chancellor Professor Grant Guilford, Professor Kate Hunter.

**Absent:** Tiana Jakicevich (Ngāti Kahungunu, Whakatōhea, Tūhoe)

## Meetings and payments

The payments to Council members listed below include attendance at Council and committee meetings during 2021. The Vice-Chancellor, Professor Grant Guilford, is not eligible to receive fee payments.

NAME	COUNCIL MEETINGS		COMMITTEE MEETINGS		PAYMENT (\$)
	ELIGIBLE TO ATTEND	ATTENDED	ELIGIBLE TO ATTEND	ATTENDED	
John Allen	8	8	16	16	20,000
Brigitte Bönisch-Brednich	8	8	8	7	20,000
Grant Guilford	8	8	19	19	N/A
Kate Hunter	8	8	11	10	20,000
Tiana Jakicevich	8	7	10	9	20,000
Alan Judge	8	7	8	8	20,000
Dianne McCarthy	8	8	28	28	24,166
Rhianna Morar	8	8	17	12	20,000
Cath Nesus	8	7	14	14	20,000
Neil Paviour-Smith	8	8	30	30	40,000
Hon Maryan Street	2	2	2	2	5,000
James Te Puni	8	7	6	3	20,000
Therese Walsh	1	0			4,166

## Disclosures—Council 2021

### John Allen

- Chair, Be. Accessible Charitable Trust
- Chief Executive, Wellington NZ
- Board Member, Koi Tu, Centre for Informed Futures at Auckland University
- Member, Regional Skills Committee—Ministerial appointee
- Director, Wellington Uni-Professional Ltd
- Director, Creative HQ

### Professor Grant Guilford

- Director, New Zealand School of Music Ltd
- Trustee, Victoria University of Wellington Foundation
- Chair, Universities New Zealand Committee on International Policy

### Tiana Jakicevich

(Ngāti Kahungunu, Whakatōhea, Tūhoe)

- Academic Officer/Faculty Representative, Ngā Rangahautira
- Collective member, Te Ara Whatu
- Member, Greenpeace Voting Assembly (May 2021)

### Alan Judge

- Chair/Shareholder, Aquatx Holdings Ltd
- Shareholder, Biotelliga Holdings Ltd
- Chair, Habit Group Holdings Ltd
- Trustee, The Dame Malvina Major Foundation
- Trustee, Victoria University of Wellington Foundation (February 2021)
- Trustee, Maxwell Fernie Trust (March 2021)

### Dr Dianne McCarthy, CRSNZ CNZM

- Deputy Chair, NZ Institute of Economic Research
- Trustee, Malaghan Institute of Medical Research
- Trustee, Hearing Research Foundation
- Member Governance Group, Dodd Walls Centre for Photonic and Quantum Technologies
- Director, Bragato Research Institute
- Trustee, Royal Society of NZ Endowment Fund Trust

### Rhianna Morar (Ngāti Porou, Te Arawa)

- Taura representative, Te Hunga Rōia—Māori Law Society
- Tumuaki Wahine (Female Co-President), Ngā Rangahautira—Māori Law Students' Association

### Cath Nesus (Ngāti Porou)

- Director/Shareholder, Nesus & Associates

### Neil Paviour-Smith

- Managing Director, Forsyth Barr Ltd
- Chair, New Zealand Regulatory Board (Chartered Accountants Australia and New Zealand)
- Director, The New Zealand Initiative Ltd
- Chancellor, Victoria University of Wellington
- Director, NZ Art Show
- Trustee, Victoria University of Wellington Foundation Board of Trustees
- Director, Forsyth Barr Subsidiaries and Entities:
  - Forsyth Barr Cash Management Nominees Ltd
  - Forsyth Barr Custodians Ltd
  - Forsyth Barr Group Ltd
  - Forsyth Barr (Hamilton) Ltd
  - Forsyth Barr Investment Management Ltd
  - Leveraged Equities Finance Ltd
  - Forsyth Barr Asia Ltd (HK registered)

### Hon Maryan Street (appointed September 2021)

- Group Manager, Employee Relations, Kiwirail

### James Te Puni (Ngāti Porou)

- Board Member/Trustee, Whitireia Foundation
- Chair, Barnardos NZ
- Kaiwhakahaere Matua/CEO, Te Ahuru Mowai
- Trustee, Waikanae Christian Holiday Park (March 2021)
- Director/Shareholder, Titahi Ltd (March 2021)
- Director/Shareholder, Waiuta Residential Ltd
- Director/Shareholder, Titahi Asset Holdings Ltd (April 2021)

### Dame Therese Walsh DNZM (resigned February 2021)

- Ambassador, Wellington Homeless Women's Trust
- Board Member, Antarctica NZ
- Chair/Director, Air New Zealand Ltd
- Director, ASB Bank Ltd
- Director, On Being Bold Ltd
- Director, Therese Walsh Consulting Ltd
- Director, Contact Energy (ceased August 2021)
- Member, Climate Change Commission Nominations Panel

### Nothing to disclose

### Professor Brigitte Bönisch-Brednich Professor Kate Hunter



# TE TUMU WHAKAHAERE SENIOR LEADERSHIP TEAM 2021



**Back row from left:** Pro-Vice-Chancellor Government, Law and Business Professor Mark Hickford, Deputy Vice-Chancellor (Engagement) Blair McRae, Vice-Provost (Academic) Professor Stuart Brock, Deputy Vice-Chancellor (Māori) Professor Rawinia Higgins (Tūhoe), Director, Human Resources Annemarie de Castro, Chief Financial Officer Les Montgomery.

**Front row from left:** Senior Leadership Team Secretary Vicki Faint, Pro-Vice-Chancellor Humanities and Education Professor Jennifer Windsor, Pro-Vice-Chancellor Science, Health, Engineering, Architecture and Design Innovation Professor Ehsan Mesbahi, Vice-Chancellor Professor Grant Guilford, Provost Professor Wendy Larner, Chief Operating Officer Mark Loveard.

**Absent:** Dean Wellington School of Business & Government Jane Bryson, Vice-Provost (Research) Professor Margaret Hyland

## Disclosures—Senior Leadership Team 2021

### Annemarie de Castro

- Director, Adams Properties (Blenheim) Ltd

### Professor Jane Bryson

- Board Member, Queen Margaret College
- Committee Member, Academic Branch, Human Resources Institute of NZ

### Professor Grant Guilford

- Director, New Zealand School of Music Ltd
- Trustee, Victoria University of Wellington Foundation
- Chair, Universities New Zealand Committee on International Policy
- Member, Victoria University of Wellington Council

### Professor Mark Hickford

- Board Member, Te Kura Kaiwhakawā—Institute of Judicial Studies
- Board/Committee Member, Borrin Foundation Grants and Scholarships Committee
- Executive Committee Member, New Zealand Council of Legal Education (ceased October 2021)

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**Professor Rawinia Higgins**  
(Tūhoe)

- Member, Waitangi Tribunal
- Board Member, Ngā Pae o te Māramatanga, Centre of Research Excellence
- Commissioner, Te Taura Whiri I te Reo (Māori Language Commission)
- Member, UN Global Taskforce for the Decade of Indigenous Languages representing the Pacific Region
- Co-Chair, Te Hāpai Ō

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**Professor Margaret Hyland**

- Director, Victoria Link Ltd
  - Board Member, Return on Science Physical Sciences Investment Committee (ceased July 2021)
  - Board Member, Eureka! Trust
  - Director, Cirris Materials Science Ltd
  - Member, Ministry of Primary Industries, Leadership Group for the Biological Emissions Reduction Science Accelerator (from July 2021)
  - Panel Member, Scion Crown Research Institute's Science Advisory Panel (from July 2021)
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**Professor Wendy Lerner**

- Chair, Fulbright New Zealand
- Director, Wellington Uni-Professional Ltd
- President, Royal Society Te Apārangi (ceased June 2021)
- Trustee, Rutherford Foundation Trust (ceased June 2021)
- Trustee, Royal Society of New Zealand Endowment Trust Fund (ceased June 2021)

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**Mark Loveard**

- Director, Strategy 2 Results Ltd
- Director, Te Puni Village Ltd
- Director, Victoria Link Ltd

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**Les Montgomery**

- Director/Shareholder, Bessmount Investments Ltd
  - Director, Te Puni Village Ltd
  - Trustee, The Research Trust of Victoria University of Wellington
  - Trustee, VUW School of Government Trust
  - Trustee, Fale Malae Trust
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**Professor Ehsan Mesbahi**

- Board Member, MacDiarmid Institute Board
- Trustee, The Research Trust of Victoria University of Wellington
- Director, Victoria Link Ltd

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**Professor Jennifer Windsor**

- Trustee, VUW Art Gallery Collection Funding Trust
- Trustee, VUW Art Gallery Collection Trust
- Advisory Board Member, Confucius Institute at VUW
- Advisory Board Member, New Zealand Contemporary China Research Centre

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**Professor Stuart Brock**  
(Kahungunu ki te Wairoa)

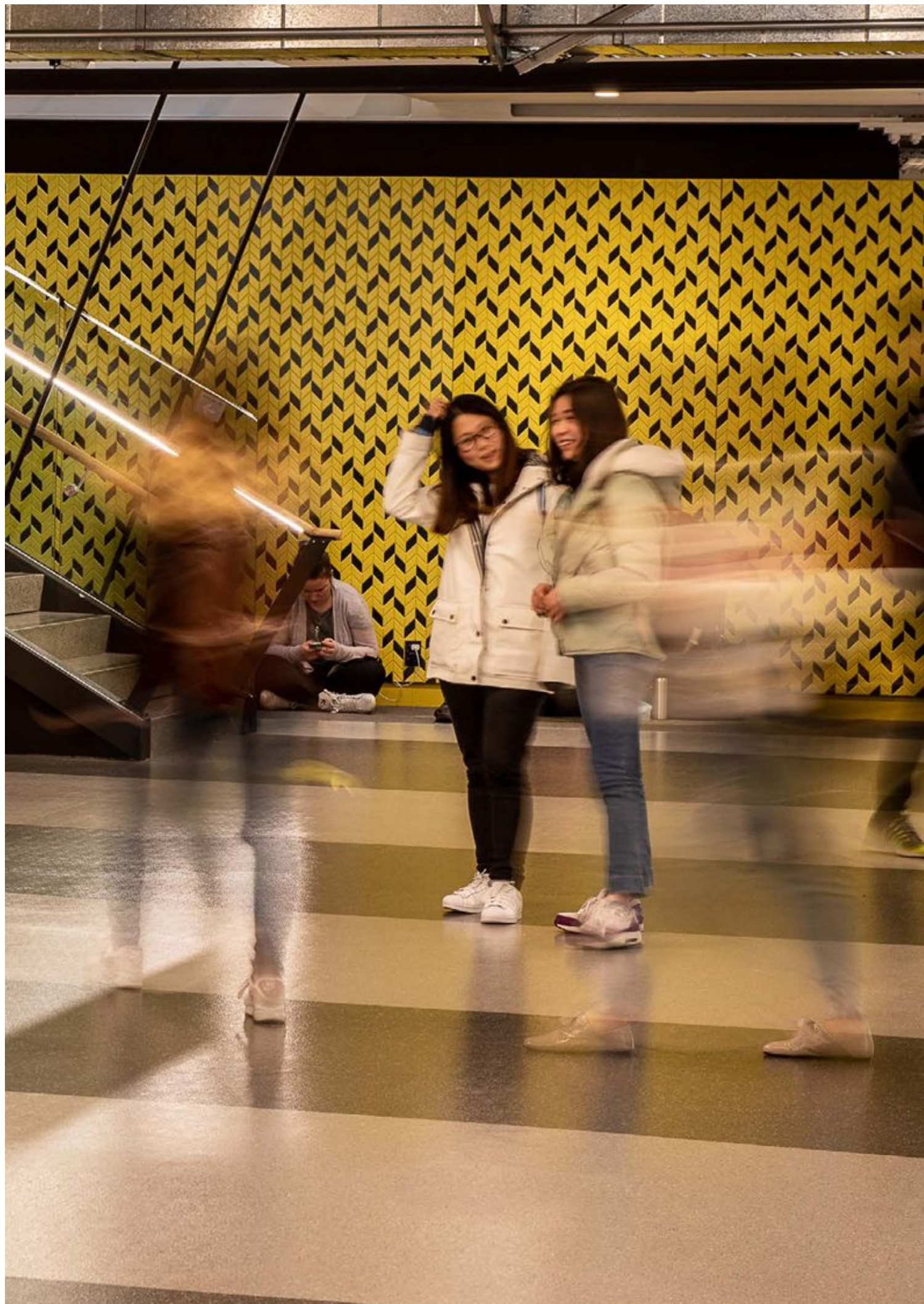
- CEO/Member Australasian Association of Philosophy Board

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**Blair McRae**

- Director, Wellington Uni-Professional Ltd
- Trustee, VUW Art Gallery Collection Trust
- Member, Universities New Zealand Policy Committee
- Member, Victoria University Confucius Institute
- Trustee, Williamstown Trust







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## **Agenda Item 14**

### **VUWSA President report**

**To receive:** a report from Mr Ralph Zambrano, VUWSA President, dated 14 March 2022 providing his first report as VUWSA President (document VUWC 22/28).

**To resolve:** **that the report from the VUWSA President be noted.**



## 2022 VUWSA PRESIDENT REPORT

<b>To</b>	Members of University Council
<b>From</b>	Ralph Zambrano, VUWSA President 2022
<b>Report Number</b>	One
<b>Reporting Period</b>	January 10 – March 11, 2022

### Introduction

The first few months of 2022 have been unprecedented. From the outbreak of Omicron within our University community to the occupation and closure of our Pipitea Campus, the start of the year has been filled with challenges and opportunities.

Through lobbying, organising, and bringing people together, VUWSA have been able to organise COVID care packs, develop a Pipitea campus transport support plan, and do some good mahi for the students of Te Herenga Waka. Despite the disruptions and disarray, I am proud of the advocacy and work VUWSA, and other student leaders have done and continue to do; and I mihi those in the University who have supported us.

This year, VUWSA will be bold, courageous, and unapologetic in standing up and speaking out for the best interests of our students. It is VUWSA's responsibility and duty to be an independent voice which holds the university to account, as much as it is to work constructively and in partnership with the university. Whether it is one student or 22,000, we will be committed to being their independent advocates and representatives.

### Student Issues

#### Pipitea Campus Occupation and Closure

The occupation and consequent closure of Pipitea Campus has been a significant focus for VUWSA over the last month. Following the arrival of the Freedom Convoy in early February, the



VUWSA Executive came together to respond to the occupation of Pipitea campus, its consequent closure, and the harm being subjected to our university community.

The VUWSA Executive developed a “Give VUW Students their Campus Back” campaign, consisting of an initial statement disavowing the occupation and the negative impact to our community, the launch of a petition calling for the safe and peaceful relocation of occupiers off campus, and an open letter penned to the Minister of Education, Police Commissioner Andrew Coster, Mayor Andy Foster, and Vice-Chancellor Grant Guilford calling for three asks to be met. Our petition has received over 30,000 signatures and we are incredibly grateful and appreciative for everyone within our university community and around the country who has thrown their support behind VUWSA.

Our campaign and response received significant attention, resulting in the development of a transport response plan to support impacted students, where all affected students were eligible to a free 10-trip bus pass for two weeks and specific equity support is given, and direct communications from the Minister of Education and the Police Commissioner.

I want to acknowledge the University for bringing VUWSA into the Incident Management Team for Pipitea Campus and engaging with us on certain issues and concerns. We recognise this situation was extraordinary, highly complex and complicated to respond to, however, VUWSA stands by our position that proactive planning may have avoided or minimized instances of harm to our community and damage to our campus.

In future, if a similar situation were to arise, we ask for **greater consideration of the potential risks to our community, contingency plans which are communicated and ready to be implemented, and more initiative from the University to engage with VUWSA and other student leaders.**

## **Learning and Teaching**



Alongside Ngāi Tauira, Pasifika Students' Council, and the PGSA, VUWSA has been engaging with the Vice-Chancellor, Vice-Provost (Academic), and others in University Leadership about the university's plan for learning and teaching and the continuity of dual delivery.

Several meetings have been held between VUWSA, University Leadership and Staff, Partner Associations on Campus, and the TEU to discuss key concerns regarding the delivery and feasibility of dual delivery. While students prefer face to face teaching as to online learning and know such is important for many students to succeed, we are cognizant of the impacts and strain an Omicron outbreak will have to the realities of maintaining in person delivery, alongside online means.

It is disappointing to note during the consultation process on learning and teaching for 2022, VUWSA and student leaders were given confused messaging and unrealistic timelines to work with; for example, students were contacted at 5pm and asked to provide feedback and a position on a potential decision set to be discussed at 10:00am the next day. This greatly impacted student involvement, ignored the various pressures on students, and to an extent, eroded student leaders' trust with the decisions being made. Moving forward, we have asked for **greater notice and flexibility with consultation timelines** and for **more consistent, considered, and transparent communication**. VUWSA continues to engage and work on the sustainability and suitability of dual delivery.

The beginning of Trimester 1 and strains on learning and teaching has highlighted the need for increased technology and equity support. We see and have heard stories about the inaccessibility of online learning, issues with internet connections, and how there are tauira who are not properly supported or accustomed to such mode of learning. VUWSA, Ngāi Tauira and our Partner Associations have advocated and continue to advocate for further support for online learning through the **provision of broadband sticks to students, workshops on how to participate and engage online, and providing dedicated spaces on campus for Māori, Pasifika, and marginalized communities to participate virtually**. We are working closely with the Student



Experience and Wellbeing Team on the provision of a technology grant and hope this means will alleviate current academic and equity issues.

We commend the University for the continued provision and promotion of the laptop loan scheme and assurance campus will be open for students. However, while it is promising to see and know work is being done to address some of our concerns, we stress various equity issues remain unaddressed and unresolved and it is in everyone's best interests for solutions to be delivered sooner rather than later.

Other key focuses on learning and teaching:

- Flexible assessments and extensions policy
- Submission on the Assessment Handbook
- Tutor's support and pay

### **Omicron Outbreak**

The unprecedented outbreak of Omicron in our community has been a critical area of advocacy for VUWSA. While we recognise the impact of Omicron is far-reaching and has been a high stress point for many staff and services at the university, we are significantly concerned to see the systems, which VUWSA were told would be able to cope with an outbreak, fraying and reaching a near breaking point. It is disheartening and frustrating for us to know VUWSA staff and members of the Executive, past and current, have raised concerns about the potential consequences an outbreak within our Halls and campus would negatively have on students, their welfare, engagement, and academics, **well before the arrival of Omicron in our community**; and they were ignored or entertained in a token way.

While we acknowledge and are appreciative that communication, information sharing, and engagement between VUWSA and the University has greatly improved from the beginning of the outbreak, and are now a part of the Incident Management Team (IMT), **we are disappointed VUWSA was not proactively engaged with or updated on the developing outbreak in our**



**community.** We became aware of the increasing number of cases and the strain being put on support systems through the media and direct outreach from stressed students and parents, not the University. We believe these regrettable series of events contributed to the troublesome situation we have seen in our Halls of Residences, further strained systems, and impaired our ability to support students cohesively, effectively, and uniformly during this outbreak.

With the focus of the outbreak shifting from Halls to private flats and VUWSA now involved with IMT, we are cautiously optimistic about the response and support given to students being markedly better. We are incredibly grateful for all the staff and students who went above and beyond to support our taura in need. I want to acknowledge the efforts of the Incident Management Team and those who are now actively engaging and reaching out to VUWSA and other student leaders. **We hope in future crises, outreach to VUWSA will be more proactive and our calls of concern will be genuinely considered and addressed.**

### **Trimester One Course Fees**

Given the closure of Pipitea Campus and the unprecedented outbreak of Omicron causing disruption to learning and teaching on a scale we have never seen before, VUWSA and The Students' Assembly sent and released an open letter to the Vice Chancellor **calling the University to provide all students a six-week refund or rebate for Trimester One courses. We are also open and willing to consider an extension of the withdrawal period for courses.**

We are firm in our belief if Te Herenga Waka wants to stand as a world-leading capital city university, during a time of crisis, there is greater importance and urgency for the University to not just do what is *pragmatic* but what is *right* for students.

To do what is right for students, financial recourse needs to be provided. For VUWSA and The Students' Assembly, this is not just about money but about the quality of education, addressing the exacerbation of equity issues, and looking after students' welfare and wellbeing. Undoubtedly, we will continue to apply pressure and advocate for this.



#### **Other key student issues:**

- Implementation of the vaccine mandate
- Renting and student accommodation
- Cost of Living
- Free Public Transport
- City Safety
- Culture of university campuses and treatment of taurira

#### **Student Initiatives**

##### **COVID Care Packs and Food Packs**

In response to the outbreak of Omicron in our university community and the clear need for greater community and welfare support, VUWSA has partnered with the Wellington Student Volunteer Army and is working with the university on the funding, resourcing, and delivery of Covid Care Packs (medical) and food packs. The medical pack contains the necessary medication to aid recovery, while food packs contain enough food staples to feed up to two students throughout a 10-day isolation period. These packs are available to students who are isolating in their flats, homes, or self-catered Halls.

We are **thankful for the contributions the university has made** to enable the provision of these packs and know they have been of terrific value and help for students. Since February 28, we have delivered over 800 packs to students.

We are optimistic we will be able to manage a surge in requests as case numbers in Wellington grow and credit this resiliency to the mahi of the Wellington Student Volunteer Army, Student Volunteer Army Club, key University staff, and our student volunteers.



## **2022 Student Agreement**

Building on the creation of the Student Engagement Framework last year, work has been underway on developing the first ever Student Agreement. The draft priority areas in the 2022 Student Agreement are the training of student leaders, developing reward and recognition parameters for student participation, examining pathways for student involvement on hiring panels, and ensuring student engagement on core university projects. The final document will be finalized and agreed to by the Student Assembly and publicly signed at the VUWSA Initial General Meeting on March 23.

While the creation of an annual Student Agreement is promising and a step in the right direction, **VUWSA is cautious and cognizant of the potential risk this agreement may have in indirectly embedding the inherent power imbalance that exists between students and the University.** The Student Engagement Framework and the Student Agreement have incredible potential to reframe and redefine what it means to be a student at Te Herenga Waka but the documents themselves are not enough. We believe **an effective mechanism for accountability needs to be developed alongside a socialisation of the documents at all levels of the university.**

### **Other key student initiatives:**

- Rainbow Room
- O Week

## **Student Engagement**

### **Financing of Student Assembly, Associated Committees, and VUWSA Halls Committee**

To fortify our network of student advocacy at Te Herenga Waka, VUWSA have sought and secured increased funding from the Advisory Committee for the Student Services Levy (ACSSL) for Student Assembly and its associated committees – Student Academic Committee and Student Equity and Diversity Committee.



Further funding for the VUWSA Halls Committee had been previously agreed to by Accommodation Services and we believe it is in students, VUWSA's and the University's best interests for there to be consistency with resourcing and remuneration amongst all VUWSA's organised committees and bodies. We have seen the positive impact remuneration makes in reducing the barriers which withhold student leaders from participating and engaging in representative spaces and are appreciative of ACSSL's approval for further funding.

While we are excited to be able to fully finance, resource, and remunerate all VUWSA committees and bodies for the first time, we know more needs to be done and given to properly support our partner associations on campus. **We encourage the university to take a bold and progressive step and work to provide remuneration for all key student representative groups on campus.**

Student Academic Committee has convened for the year and Student Assembly has been engaged with offline. The Inaugural Hui for Student Assembly is scheduled for Friday March 11, Student Equity Diversity Committee.

#### **Other key areas of student engagement**

- Whakawhanaungatanga between VUWSA, Ngāi Taurira, and our Partner Associations on campus
- VUWSA Referenda and By Election
- Vice-Chancellor Appointment Process

Maraming Salamat | Mā te wā

Ralph Zambrano

VUWSA President 2022

Agenda Item 15

**Resolution to exclude the public**

**To receive:** a recommendation that certain items be taken with the public excluded (document VUWC 22/29).

**To resolve:** 1 that the public be excluded from the following parts of the proceedings of this meeting, namely agenda items 16-28. The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Ground(s) under section 48(1) for the passing of this resolution
	The public conduct of each item below would be likely to result in the disclosure of information for which good reason for withholding would exist under the sections of the OIA identified below.	
16. VUWSA President, confidential oral report	s 9(2)(a)	LGOIMA s48(1)(a)(ii)
17. Minutes of previous meeting held on 14 February 2022	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
18. Safety, Health & Wellbeing report	s 9(2)(a) and s 9(2)(b)(ii)	LGOIMA s48(1)(a)(ii)
19. Chancellor's report	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA s48(1)(a)(ii)
20. Acting Vice-Chancellor's report	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
21. Financial report to 28 February 2022	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
22. Q4 Annual Management plan report	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
23. Projects' stocktake	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
24. Learning Platform business case	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
25. Committee reports	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
26. Quarterly reports	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)
27. Council only time	s 9(2)(a)	LGOIMA, s48(1)(a)(ii)
28. Conversation with TEC re Learner success	s 9(2)(b)(ii) and s 9(2)(i)	LGOIMA, s48(1)(a)(ii)

This resolution is made in reliance on section 48(1)(a) of the Local Government Information and Meetings Act 1987 and the particular interest

or interests protected by section 6 or section 7 or section 9 of the Official Information Act 1982, as the case may require, which would be prejudiced by holding of the whole or the relevant part of the proceedings of the meeting in public are set out above.

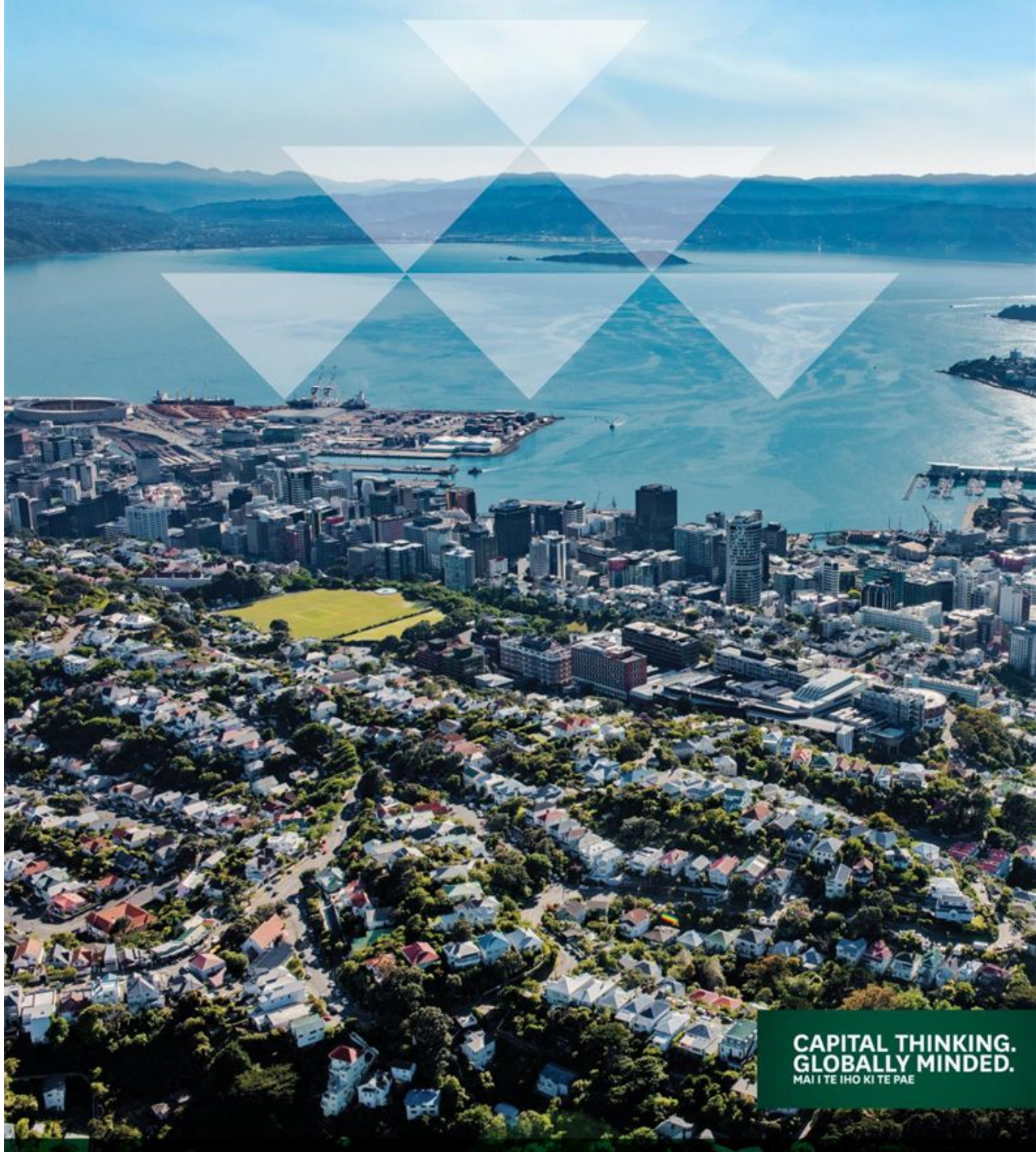
- 2 that such members of the senior leadership as the Chancellor and the Vice-Chancellor request be permitted to remain at this meeting, after the public has been excluded, with the exception of agenda item 20, because of their knowledge of the relevant matters identified above which will be of assistance in relation to those matters.

Te Herenga Waka  
Victoria University of Wellington

# Council Manual



VICTORIA UNIVERSITY OF  
**WELLINGTON**  
TE HERENGA WAKA



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## EXECUTIVE SUMMARY

### ***Te Herenga Waka Victoria University of Wellington***

Te Herenga Waka - Victoria University of Wellington (the University) was established in 1897 for the advancement of knowledge and the dissemination and maintenance of this knowledge by teaching and research. It is one of the major universities in New Zealand, and is engaged in a wide range of national and global programmes.

### ***Strategic Plan***

The 2020-2024 Strategic Plan, approved by Council on 14 October 2019, contains the University's vision, values and commitments, purpose and six key strategies:

Ambitious research for transformative impact

Education preparing students for an extraordinary life

Engagement that depends relevance, impact and reputation

Equitable outcomes for all

Belonging to the Asia-Pacific region

Transforming the way we work.

### ***Role of Council***

The role of Council is to be the governing body of the University. The functions, powers and duties of Council are set out in the Education and Training Act 2020 and can be summarised as follows:

#### ***Functions of Council (section 280)***

1. Appointing a Vice-Chancellor (and monitoring and evaluating his or her performance);
2. Preparing and submitting a proposed investment plan;
3. Ensuring the University is managed in accordance with, and determining policies to implement, the investment plan;
4. Determining policies in relation to the management of the University's affairs; and
5. Undertaking planning relating to the University's long-term strategic direction.

#### ***Powers of Council (section 283)***

Council has all powers reasonably necessary to enable it to perform its functions efficiently and effectively. Many of these powers are delegated to the Vice-Chancellor as Chief Executive.

#### ***Duties of Council (section 281)***

1. Strive to ensure that the University attains the highest standards of excellence in education, training and research;
2. Acknowledge the principles of the Treaty of Waitangi;
3. Encourage the greatest possible participation by the communities served by the University so as to maximise the educational potential of all members of those communities with particular emphasis on those groups in those communities that are under-represented among the University's students;
4. Ensure that the University does not discriminate unfairly against any person;
5. Ensure that the University operates in a financially responsible manner that ensures the efficient use of resources and maintains the University's long-term viability; and
6. Ensure that proper standards of integrity, conduct and concern for the public interest and the wellbeing of students attending the University are maintained.

### ***Management of the University***

The Vice-Chancellor is responsible and accountable for the management of the University.

### ***Council composition and mix***

The composition of Council is determined by the Education and Training Act 2020, Council's Constitution and the Council Membership Statute.

Council consists of 12 members, each of whom may serve a maximum of three terms of up to four years each. The Vice-Chancellor is appointed *ex officio* for the term of appointment or reappointment.

Updated on 18 December 2021

The Vice-Chancellor was reappointed to a term from 1 March 2019 to 31 December 2022, in August 2021 he announced his intention to retire as at 4 March 2022. An Acting Vice-Chancellor has been appointed from 5 March 2022 until such time as a new Vice-Chancellor is recruited and takes up the role.

The Minister of Education appoints four Council members and Council appoints eight (including the Vice-Chancellor). The Nominations Panel oversees the appointments process of the three external members. The Returning Officer and Secretary to Council conducts elections to determine two staff representatives and two student representatives.

### ***Chancellor and Pro-Chancellor***

Each year Council elects from among the lay members (i.e. those who are not staff or students) a Chancellor and Pro-Chancellor. The Pro-Chancellor deputises for the Chancellor in his or her absence or at his or her request.

### ***Secretary to Council***

The Secretary to Council is responsible for ensuring that Council procedures are followed, that the applicable rules and regulations for the conduct of the affairs of Council are complied with and that Council is efficiently managed.

All Council members have access to the advice and services of the Secretary to Council.

### ***Vice-Chancellor***

The Vice-Chancellor is the link that connects the University's governance (Council) and management functions. All Council authority conferred on management is delegated through the Vice-Chancellor so that the authority and accountability of management is considered to be the authority and accountability of the Vice-Chancellor.

Between Council meetings the Chancellor maintains communication between Council and the Vice-Chancellor, expects to be kept informed by the Vice-Chancellor on all important matters, and is available to the Vice-Chancellor to provide counsel and advice where appropriate.

### ***Council procedures***

Council takes a disciplined approach to performing its role, with emphasis on strategic issues and stewardship. Council members must always act within any limitations imposed by Council on its activities.

Council and committee meetings are conducted in accordance with Council's Standing Orders. Council members are expected to perform their individual duties under Schedule 11 section 10 of the Act and comply with Council's Code of Conduct.

**Council has sole authority over its agenda and exercises this through the Chancellor. Any person may, through the Chancellor, request the addition of an item to the agenda.**

Council meetings are held at approximately 6-weekly intervals from approximately 9am to 5pm. The length of the meetings allows time for in-depth discussion on specific topics. Additional meetings may be scheduled as the occasion requires.

### ***Council committees***

Council committees are formed to facilitate efficient decision-making and provision of advice. Council committees operate under approved terms of reference and observe the same rules of conduct and procedure as Council unless Council determines otherwise. Council committees only speak or act for Council when authorised. The authority conferred on a Council committee does not derogate from the authority delegated to the Vice-Chancellor.

Council has four standing committees, namely the Audit and Risk, Finance, Te Aka Matua (Māori Advisory), and Human Resources committees. Two other committees, the Victoria Honours Committee and the Nominations Panel meet as and when required. In 2020 a VC appointment Committee was formed and will disband when the appointment of a new VC is completed. Additional committees may be formed for specific purposes and disbanded as required.

### ***Council and member evaluations***

Each year Council critically evaluates its own performance including its processes and procedures. From time to time the performance of individual members is also evaluated by self-assessment.

Updated on 18 December 2021

***Induction of new members***

An induction programme is run for all new Council members, to ensure that all Council members have a good understanding of the University and the environment in which it operates. As part of the programme, members receive essential Council and University information, meet key management and visit the University's facilities.

***Members' remuneration***

Council members will be paid fees in accordance with Schedule 11 section C of the Education and Training Act 2020. Actual out of pocket expenses (such as travel costs) may also be claimed.

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## 1 INTRODUCTION TO THIS MANUAL

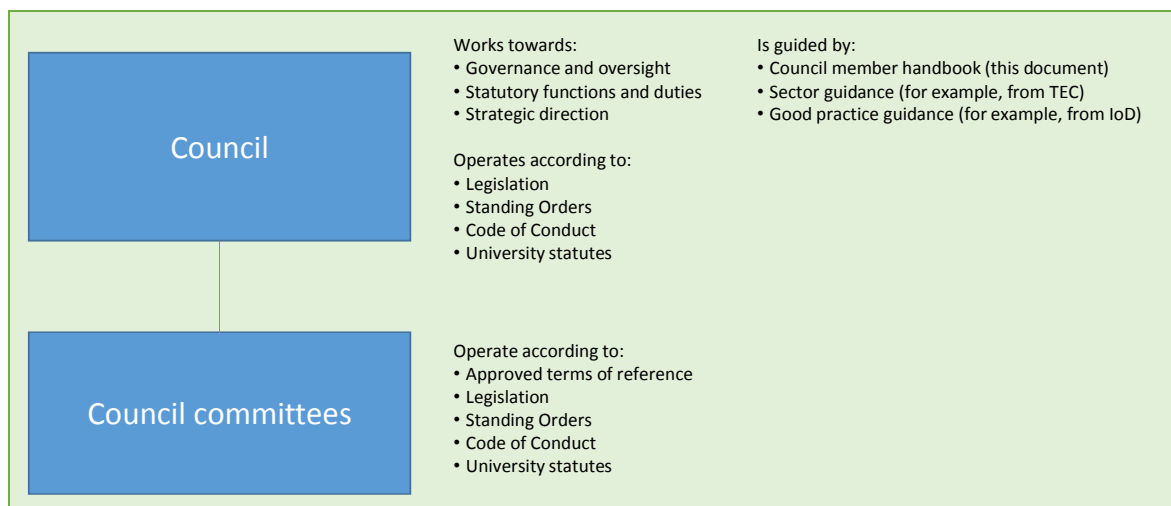
This section provides a brief introduction to this manual.

### 1.1 Context

It is Council's intention to be a high-performing governance team. This manual is one element in the set of governance resources provided to support Council to operate effectively and efficiently.

Other key governance resources include:

- Standing Orders;
- Code of Conduct;
- Terms of reference for Council committees;
- Tertiary Education Commission's (TEC) "*Governance Guide for Council Members of Tertiary Education Institutions*" (a generic guide applicable to all tertiary education institutions in New Zealand);
- Institute of Directors (IoD): corporate membership, "*Four Pillars*" Governance Best Practice guide (a generic guide applicable to all types of organisations) and related training; and
- The University's Strategic Plan, Investment Plan, Annual Budget and Annual Report.



### 1.2 Purpose of this manual

This manual is intended to be:

- A standard reference for Council members;
- High-level and explanatory in nature – with information about where to get more detail when required;
- Publicly available to other people interested in how the University's Council works; and
- Relatively static (i.e. not contain rapidly changing data such as contact details in the main document).

### 1.3 Manual ownership

The owner of this manual is the Secretary to Council.

Updated on 18 December 2021

## 1.4 Distribution

This manual is expected to be made available to:

- Council and Senior Leadership Team (SLT) members, as a Board book (and in hardcopy if required);
- Other senior leadership electronically (as a Board book for those managers who have access to Board books, and as a PDF file for those who do not) and/or on the University website; and
- Other parties, on the University website.

## 2 TE HERENGA WAKA VICTORIA UNIVERSITY OF WELLINGTON

*This section sets out the context of the University's operations and the Council's scope of governance responsibilities.*

### History and current operations

Victoria University of Wellington was established in 1897 for the advancement of knowledge and the dissemination and maintenance of this knowledge by teaching and research.

It is one of the major universities in New Zealand. It operates across three campuses in Wellington (Kelburn, Pipitea, and Te Aro). It also has operations in Auckland, Miramar (Miramar Creative Centre), Gracefield (Ferrier and Robinson Institutes), and Island Bay (Coastal Ecology Laboratory). It is engaged in a wide range of national and global programmes.

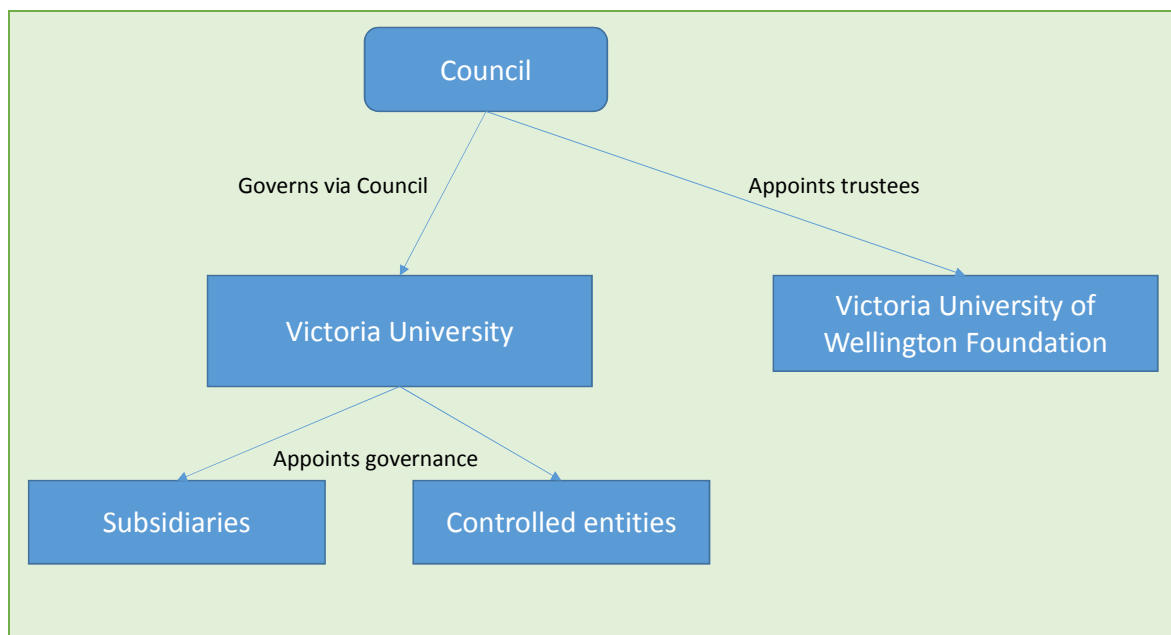
### 2.1 University, subsidiaries and controlled enterprises

Victoria University of Wellington is a registered charity (CC47181) with its main sector of operation recorded as education, training and research.

As well as having responsibility for the University's governance, Council members need to be aware of the governance relationship between Council, the Victoria University of Wellington Foundation (the Foundation) and subsidiaries and other entities that the University controls.

Council governs the University through the approval of the Strategic Plan, the direction it gives the Vice-Chancellor, approval of University statutes and consideration of the information it receives. It governs the Foundation indirectly through appointing the trustees.

Council does not directly govern other University subsidiaries and controlled entities. Directors and Trustees of these are appointed by the Vice-Chancellor (in consultation with the Chancellor) who is ultimately responsible to Council for their operation and performance.



### 3 COUNCIL OVERVIEW

*This section sets out the purpose of Council and explains how it obtains its authority. It also identifies the key legislation and governance documents that are relevant to Council in directing the University towards its strategic goals.*

#### 3.1 Treaty of Waitangi commitments

The Te Tiriti o Waitangi Statute is the formal expression of the University's commitment to Māori as tangata whenua and Treaty partners.

The University values te Tiriti o Waitangi, rangatiratanga (leadership), manaakitanga (the generous fostering of knowledge), kaitiakitanga (responsibility for, and guardianship of, knowledge), whai mātauranga (intellectual curiosity), whanaungatanga (collaboration and collectiveness) and akoranga (collective responsibility for learning).

#### 3.2 Council's role and responsibilities

Council is the governing body of Victoria University of Wellington. The functions, powers and duties of Council are defined in the Education and Training Act 2020 as set out below.

##### 3.2.1 Functions of Council (section 280)

Council's functions are:

**280 Functions of councils**

*The functions of an institution's council are—*

- (a) to appoint a chief executive in accordance with the [\[Public Service Act 2020\]](#), and to monitor and evaluate the chief executive's performance:*
- (b) to prepare and submit a proposed plan if the institution is seeking funding under a funding mechanism that provides for funding via plans:*
- (c) if the institution has a plan,—*
  - (i) to ensure that the institution is managed in accordance with that plan; and*
  - (ii) to determine policies to implement that plan:*
- (d) to determine, subject to the [\[Public Service Act 2020\]](#), the policies of the institution in relation to the management of its affairs:*
- (e) to undertake planning relating to the institution's long-term strategic direction.*

### 3.2.2 Powers (283)

Council has all powers reasonably necessary to enable it to perform its functions efficiently and effectively. Many of the powers in section 283 have been delegated to management through the Vice-Chancellor. The Reserved Powers of Council are found in Appendix 3 to the Delegations Statute.

<b>283 Powers of councils</b>	
(1)	An institution's council has all powers reasonably necessary to enable it to perform its functions efficiently and effectively.
(2)	Except where they are exercised by delegation under this Act, the following powers of an institution may be exercised only by its council:
(a)	to provide courses of study or training, admit students (including by discretion and ad eundem statum) and grant awards:
(b)	to grant fellowships, scholarships, bursaries, or prizes:
(c)	to authorise the making of grants or loans out of the money of the institution to the chief executive, to members of the staff or students of the institution, or to any association of staff or students, on the terms and conditions that the council thinks fit and guarantee loans made by other persons to the chief executive or members of the staff of the institution for housing purposes:
(d)	to accept gifts, devises, and bequests made to the institution, whether on trust or otherwise:
(e)	to agree to the disestablishment of the institution and its incorporation in another institution of the same class or a different class:
(f)	to agree to the incorporation in the institution of another institution or other institutions, whether of the same class as itself or a different class from itself:
(g)	to arrange for the manufacture of, and distribution of (whether by way of sale or otherwise), any article or thing bearing a mark, symbol, or writing that is associated with the institution:
(h)	to arrange for the provision of (whether by sale or otherwise) goods and services to staff or students of the institution or other persons using, or otherwise attending at, facilities of the institution:
(i)	to prescribe fees payable by students of the institution or any of them:
(j)	to establish bodies within the institution to give advice to the council:
(k)	to do anything incidental to the exercise of any of the preceding powers.
(3)	Subsection (2)(e) and (f) does not apply to [Te Pūkenga—New Zealand Institute of Skills and Technology's] council.
(4)	An institution's council has the power to appoint committees consisting of the persons, whether or not members of the council, that the council determines to exercise the powers that are delegated to them under section 285 and the powers that are conferred on them by statutes made by the council, and to alter, discharge, and reconstitute committees so appointed.

<b>Reserved Powers of Council</b>	
Govern the University	in accordance with relevant legislation and the Investment Plan and Strategic Plan
Approve the University's long-term strategic direction, Strategic Plan, Mission, Values and Vision	
Approve the Investment Plan	
Approve the budget	on the recommendation of the Finance Committee
Approve the Annual Report	on the recommendation of the Audit and Risk Committee
Appoint, reappoint (and remove) a Vice-Chancellor	
Monitor and evaluate the Vice-Chancellor's performance	on the recommendation of the HR Committee
Appoint (and remove) an acting Vice-Chancellor	
Authorise other people to execute documents on behalf of the University	
Oversee and monitor the assessment and management of risk across the University and its controlled entities	
Approve the internal audit charter	on the recommendation of the Audit and Risk Committee
Approve statutes	
Agree to the disestablishment of the University and its incorporation in another institution of the same class or a different class	
Agree to the incorporation in the University of another institution or other institutions, whether of the same class as itself or a different class from itself	

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Grant honorary degrees and Hunter Fellowships	on the recommendation of the Victoria Honours Committee
Approve naming rights	on the recommendation of the Victoria Honours Committee
Determine objective criteria for consideration of Council members	
Appoint as a member of Council the Vice-Chancellor and up to 7 other people	taking into account the recommendations of the Nominations Panel
Elect (and remove) a Chancellor and Pro-Chancellor	
Determine rates of payment for members of Council (other than the Vice-Chancellor)	
Dismiss or suspend a member of Council or recommend to the Minister that a member be removed from office	
Initiate action against a member of Council for breach of any individual duty	
Recommend to the Minister that the Constitution of the Council be amended	
Determine Council's annual work plan and anything necessary to support the business of Council	
Determine procedures for meetings of Council and Committees of Council	
Manage disclosures of interest from Members of Council	
Assess the performance of Council	
Appoint, alter, discharge and reconstitute committees of Council, other committees to exercise delegated powers and boards or other bodies within the University to give advice to Council	
Delegate or revoke any of Council's powers to the Vice-Chancellor or to a committee (including the Academic Board)	
Decide how to fill casual vacancies on Council	Includes deciding not to fill a vacancy that occurs within 3 months of the end of Council member's term of office
Appoint trustees of the Victoria University of Wellington Foundation	
Approve any new subsidiary or controlled entity of the University or the disestablishment of any existing entity	
<b>Academic</b>	
Establish an Academic Board and consider any advice from the Academic Board	
Determine the composition of the Academic Board	
Grant (and revoke) qualifications and awards	
Decide on grievance about an action of the Academic Board	in accordance with section 4.3(c) of the Academic Board statute
Establish targeted admissions schemes for students from equity groups	
Determine minimum entry requirements	
Determine wording on Qualification Certificate	
Approve amendment of already issued Qualification Certificate	
<b>Finance and Contracts</b>	
Approve any matter requiring a "Level 0" approval in the Financial Delegated Authority Limits set out in Appendix 2	
Determine tuition fees and student services levies	taking into account the recommendations of the Finance Committee
Approve limits on treasury financial transactions and financial authorities (as set out in the Treasury Statute)	taking into account the recommendations of the Finance Committee
Authorise grants or loans to the Vice-Chancellor, members of staff, students, or to any association of staff or students, and guarantee loans to the Vice-Chancellor or members of staff for housing purposes	
Approve the level of insurance coverage	
Authorise the common seal to be affixed to any document	Common seal must be countersigned by two people (other than for qualification certificates), one of whom must be a member of Council.
Enter into agreements which, if made by a private person, must be by deed.	Requires common seal to be affixed and countersigned by two people with

*delegated authority (at least one of whom must be a member of Council).*

### 3.2.3 Duties (section 281)

The duties of Council are:

#### **281 Duties of councils**

(1) *It is the duty of an institution's council, in performing its functions and exercising its powers,—*

- (a) *to strive to ensure that the institution attains the highest standards of excellence in education, training, and research:*
- (b) *to acknowledge the principles of Te Tiriti o Waitangi:*
- (c) *to encourage the greatest possible participation by the communities served by the institution so as to maximise the educational potential of all members of those communities, with particular emphasis on groups in those communities that are under-represented among the students of the institution:*
- (d) *to ensure that the institution does not discriminate unfairly against any person:*
- (e) *to ensure that the institution operates in a financially responsible manner that ensures the efficient use of resources and maintains the institution's long-term viability:*
- (f) *to ensure that proper standards of integrity, conduct, and concern for the public interest and the well-being of students attending the institution are maintained.*

(2) *In addition, NZIST's council must comply with section 97 of the Crown Entities Act 2004 in respect of its subsidiaries.*

Under the Health and Safety at Work Act 2015, Council members have a duty as officers of the University to exercise “due diligence” to ensure that the University complies with its duties and obligations under that Act.

Due diligence is defined (in section 44(4)) as including taking reasonable steps to:

- (a) *to acquire, and keep up to date, knowledge of work health and safety matters; and*
- (b) *to gain an understanding of the nature of the operations of the business or undertaking of the PCBU and generally of the hazards and risks associated with those operations; and*
- (c) *to ensure that the PCBU has available for use, and uses, appropriate resources and processes to eliminate or minimise risks to health and safety from work carried out as part of the conduct of the business or undertaking; and*
- (d) *to ensure that the PCBU has appropriate processes for receiving and considering information regarding incidents, hazards, and risks and for responding in a timely way to that information; and*
- (e) *to ensure that the PCBU has, and implements, processes for complying with any duty or obligation of the PCBU under this Act; and*
- (f) *to verify the provision and use of the resources and processes referred to in paragraphs (c) to (e).*

(PCBU = a person conducting a business or undertaking (section 17(1)). In this context, the relevant PCBU is Victoria University of Wellington.

This duty essentially directs that the University's health and safety culture be controlled and managed by those in governance (and senior management) roles.

## 3.3 Strategy

### 3.3.1 Strategic Plan

Council is responsible for setting the University's strategic direction and for monitoring progress toward attaining the strategic goals. It does this by approving the Strategic Plan which sets out the University's:

- Vision;
- Context – heritage and position;
- Mission and purpose;
- 6 key strategies;
- and
- Values and commitments.

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The 2020-2024 Strategic Plan, approved by Council on 14 October 2019, is available on the website: <https://www.wgtn.ac.nz/about/governance/strategic-plan> and as a downloadable file [https://www.wgtn.ac.nz/\\_data/assets/pdf\\_file/0005/1791824/strategic-plan-2020-2024.pdf](https://www.wgtn.ac.nz/_data/assets/pdf_file/0005/1791824/strategic-plan-2020-2024.pdf).

One consideration when Council determines the University's Strategic Plan is the Tertiary Education Strategy (TES) described below. While our Strategic Plan needs to align with the TES priorities, the scope of the University's Strategic Plan is broader.

### **3.3.2 The Statement of National Education and Learning Priorities (NELP) and the Tertiary Education Strategy**

The Statement of National Education and Learning Priorities (NELP) and the Tertiary Education Strategy (TES) are issued under the Education and Training Act 2020. The TES sets out the Government's long-term strategic direction for tertiary education, including economic, social, and environmental goals and the development aspirations of Māori and other population groups

The full Tertiary Education Strategy (TES) can be found at this link:

<https://www.education.govt.nz/assets/Documents/NELP-TES-documents/FULL-TES-2020.pdf>

## **3.4 Legislation**

A further consideration in governing Victoria University of Wellington is the application of relevant legislation.

The primary items of legislation directing the University are:

- Education and Training Act 2020 (parts 5 and in particular part 4 subpart 3– Administration of tertiary institutions);
- Victoria University of Wellington Act 1961. Most of the original elements of this Act have now been repealed and replaced by equivalent elements in the Education Act. The provisions that remain include:
  - Section 3 – defines what the University consists of
  - Section 20 – gives Council power to award certificates, fellowships, scholarships, bursaries, and prizes, and to make other awards.
  - Section 21 – gives Council power to provide lectures and instruction to members of the public and award certificates for this;
- Crown Entities Act 2004. The University is a Crown Entity. Only the provisions listed in Schedule 4 apply to Tertiary Education Institutions; and
- Public Finance Act 1989. Only certain provisions of the Act apply, particularly the restrictions on investment in section 65I of that Act.

Governance and management of the University must also comply with other legislation.

The General Counsel conducts an annual legislative compliance survey and the results of this are reported to the Audit and Risk Committee and then Council.

## **3.5 Other key governance material**

Council members also need to be familiar with the following key governance material:

### **3.5.1 Standing Orders**

Standing Orders set out Council's rules for meetings of Council and its committees. They incorporate and extend the rules set out in Schedule 11 sections 15 and 16 of the Education and Training Act 2020. They also incorporate key requirements of Part 7 of the Local Government Official Information and Meetings Act 1987 which apply to Council and its committees.

### **3.5.2 Council Code of Conduct**

The Council Code of Conduct sets out expected standards of behaviour for Council members. It should be read in conjunction with applicable legislative requirements.

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### 3.5.3 Investment Plan

The Investment Plan is submitted to the TEC to seek government funding for domestic students.

To meet TEC's requirements, the Investment Plan must describe:

- How the University will achieve government priorities set out in the TES;
- The University's mission and role in the tertiary sector;
- All the tertiary education programmes and activities run or undertaken by the University; and
- The outcomes proposed by the University, including performance indicators.

### 3.5.4 Annual Budget

The Annual Budget identifies the projected revenue and operating and capital expenditures required to achieve the University's fiscal targets, the targets specified in the Investment Plan and agreed initiatives to support the Strategic Plan in each calendar year. Council approves the Annual Budget.

### 3.5.5 Annual Report

The Annual Report includes the University's audited financial statements and the Statement of Service Performance (SSP) which reports against performance measures specified in the Investment Plan. Council approves the Annual Report.

### 3.5.6 Council statutes

Section 284 of the Education and Training Act 2020 gives Council the authority to make statutes. University statutes are the highest level component of the University's policy framework.

Where a statute has academic implications, Council must take advice from the Academic Board. Statutes are permanent in nature although subject to periodic review. Compliance with statutes is mandatory and non-compliance is actionable through appropriate conduct policy documents.

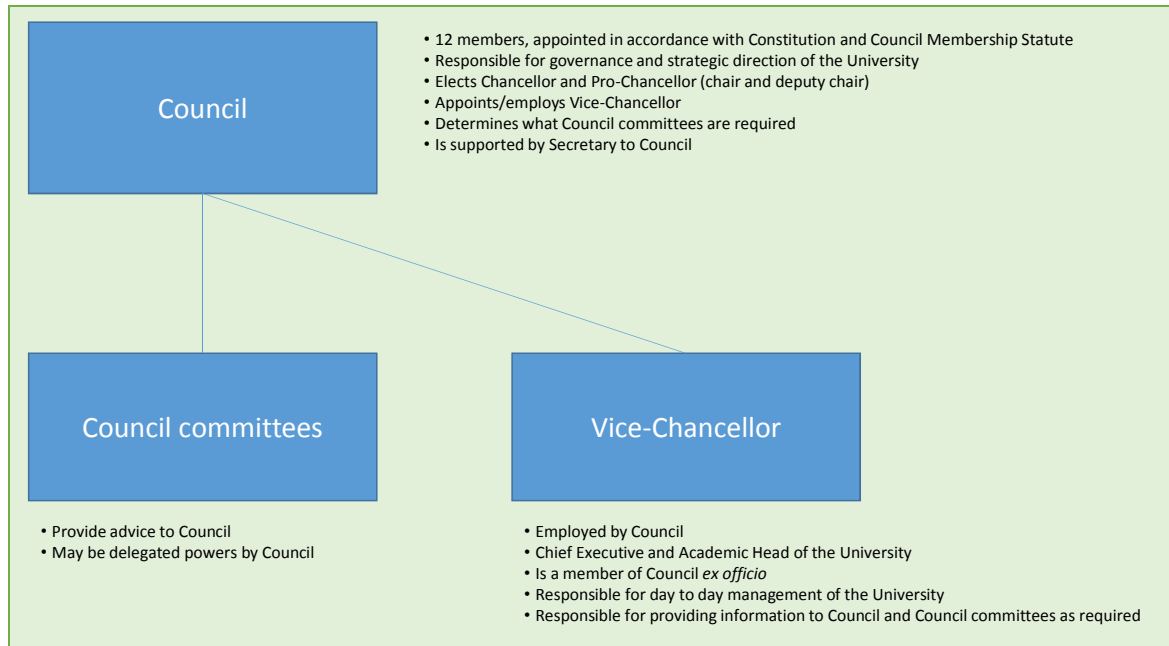
Other components of the University's policy framework include policies, regulations, procedures and guidelines. These are published in a standard format on the website.

### 3.5.7 Delegations

Delegations are the formal mechanism by which Council authorises committees and the Vice-Chancellor to exercise the power of Council. The Delegations Statute sets out all the decision-making authorities across the University (including those sub-delegated by the Vice-Chancellor). More information can be found at this link - <https://www.wgtn.ac.nz/about/governance/delegations>. [The 2020 Council has asked for a review of delegations which has yet to be completed as at December 2021](#)

## 4 COUNCIL ORGANISATION

*This section sets out how Council organises itself.*



### 4.1 Council composition

The composition of Council is determined by the Education and Training Act 2020, Council's Constitution (gazetted on 24 September 2015 <https://gazette.govt.nz/notice/id/2015-au5554>) and the Council Membership Statute (<https://www.wgtn.ac.nz/documents/policy/governance/council-membership-statute.pdf>).

The Constitution is a short document that essentially states that the University's Council must comprise 12 members, of whom:

- Four are appointed by the Minister of Education; and
- Eight are appointed by Council in accordance with its statutes.

The Constitution also specifies that the maximum number of occasions on which a person may be appointed as a Council member is three, although Council's expectation is that no Council member would be appointed on more than two occasions.

The detail of the composition of Council is set out in the Council Membership Statute, which also sets out the basis on which the eight members appointed by Council are elected or selected.

**4.2 The Nominations Panel oversees the appointments process for the three positions appointed directly by Council.**

**4.3 The Secretary to Council and Returning Officer conducts the elections for the two staff representatives and the two student representatives. The successful candidates are appointed at the next available Council meeting.**

**4.4 The Vice-Chancellor is appointed *ex officio* for the length of his/her employment contract.**

**4.5 Chancellor and Pro-Chancellor (Chair and Deputy Chair)**

Council has two specific positions that are determined by election within Council each year.

**4.5.1 Chancellor**

The Chancellor is elected by Council as chairperson and is eligible for re-election to this office. This is traditionally for a one year term.

The Chancellor chairs Council and is responsible for providing leadership to Council in the execution and review of its governance responsibilities. The Chancellor represents Council at meetings concerned with governance issues across the sector (for example, Chancellors' meetings, meetings with representatives of government etc.) and provides advice and support to the Vice-Chancellor. The Chancellor is also typically the spokesperson for Council and the University on governance issues.

The Chancellor:

- Convenes Council meetings;
- Is a member *ex officio* of all committees of Council;
- Convenes or is a member of working parties of Council as deemed appropriate;
- Attends meetings of the NZ Chancellors;
- Is a Trustee of the Victoria University of Wellington Foundation (*ex officio*);
- Presides at the University's Graduation Ceremonies; and
- Represents the University at corporate and cultural functions.

**4.5.2 Pro-Chancellor**

The Pro-Chancellor is elected by Council as deputy chairperson and is eligible for re-election to this office. This is traditionally for a one year term.

The Pro-Chancellor is the Chancellor's deputy in governance and ceremonial roles and, on occasion as required, acts on the Chancellor's behalf.

If the Chancellor is not present at a meeting of Council or if there is no Chancellor, the Pro-Chancellor presides at the meeting and has all the powers and functions of the Chancellor for the purpose of the meeting.

The Pro-Chancellor:

- Deputises for the Chancellor as the leader of Council and as the Ceremonial Head of the University ;
- Is a member *ex officio* of all committees of Council except the Nominations Panel; and
- Represents the University at corporate and cultural functions.

**4.5.3 Election process**

Schedule 11 section 15 of the Education and Training Act 2020 sets out general guidance, including the constraint that Council members who are the Vice-Chancellor, members of staff or students are not eligible for election to be Chancellor or Pro-Chancellor.

The election process will be supervised by the Secretary to Council, who will provide formal notice of the date of the election, receive nominations and, if there are two or more nominations for one office, conduct a secret ballot.

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The election process normally followed is:

1. The election will be held at the last meeting of Council in each calendar year.
2. The Secretary to Council will call for nominations two weeks before the final Council meeting of the year
3. Nominations (proposed and seconded) must be received in writing by the Secretary to Council by the Monday preceding the Council meeting.
4. Nominations will only be accepted from the floor if no written nominations have been received.
5. If there are two or more nominations for one office, a secret ballot will be conducted.
6. If the ballot results in a tie, a second ballot will be held. If the second ballot results in a tie, then the Secretary to Council will supervise the determination of the outcome by lot.

## 4.6 Officers of Council

The “Officers of Council” are the Chancellor, the Pro-Chancellor, the Vice-Chancellor and the Chair of the Finance Committee.

## 4.7 Council committees

Council committees are formed to facilitate efficient decision-making and provision of advice. Council has the power to form committees under section 283(4) of the Education and Training Act 2020 as required. Standing Orders require committees to operate under approved terms of reference and observe the same rules of conduct and procedure as Council unless Council determines otherwise. Council committees only speak or act for Council when authorised. The authority conferred on a Council committee does not derogate from the authority delegated to the Vice-Chancellor.

The committee structure is as follows:

### **Permanent committees**

- Finance Committee;
- Audit and Risk Committee;
- Te Aka Matua (Māori Advisory Committee); and
- Human Resources (HR) Committee.

### **Special purpose committees**

- Victoria Honours Committee; and
- Nominations Panel.
- Vice-Chancellor Appointment Committee

Membership of each committee is determined by Council at the start of each year, and on other occasions as the need arises.

Each committee operates with agreed terms of reference, which set out:

- The scope, purpose, responsibilities and authority of the committee;
- Membership and attendance;
- Meetings;
- Information and reporting; and
- Review requirements.

The Secretary to Council has a template for the creation of terms of reference for new committees or advisory groups when required.

Committee	Purpose	Meeting frequency	Members
<b>Finance</b>	Assists Council in relation to financial planning, capital management and financial performance.	Quarterly (or as required)	Chancellor and/or Pro-Chancellor Vice-Chancellor Up to five other Council Members
<b>Audit and Risk</b>	Assists Council in relation to oversight of strategic, financial and operational risk management, health and safety management, internal and external audit, statutory financial reporting and legislative compliance.	Quarterly (or as required)	Chancellor and/or Pro-Chancellor Up to five other Council Members
<b>Te Aka Matua – Māori Advisory committee</b>	Provides Council with timely advice on the University's relations with Māori communities as they support the development and implementation of the strategic plan.	As required	Chancellor Pro-Chancellor Vice-Chancellor Deputy Vice-Chancellor Māori Up to two other Council members Up to two external members At least two Ngāi Taurira student members
<b>Human resources (HR) committee</b>	Assists Council to meet its responsibility to monitor and evaluate the Vice-Chancellor's performance and undertake the Vice-Chancellor's annual remuneration review.	As required	Chancellor ( <i>ex officio</i> ) Pro-Chancellor up to two other lay members of Council
<b>Victoria Honours committee</b>	Makes recommendations to Council regarding the criteria, conferment or award for/of an honorary degree, Hunter Fellowship, naming rights, or any other honorary award which Council may wish to bestow.	As required	Chancellor Pro-Chancellor Vice-Chancellor two other Council members President of the PGSA Academic staff as per TOR
<b>Nominations Panel</b>	Ensures Council has the skills, knowledge, diversity and experience for the University to meet the challenges ahead and to achieve its strategic goals. It also oversees the appointment and election of Council members.	As required	Chancellor Vice-Chancellor Academic Board nominee member of Professional Staff VUWSA President or nominee Te Aka Matua nominee two external members
<b>VC Appointment Committee</b>	To assist Council in appointing a new Vice-Chancellor as defined by the Terms of Reference	As required	Chancellor Pro Chancellor Chair of Te Aka Matua Committee Student Member of Council Staff Member of Council

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## 4.8 Academic Board

Schedule 11 section 18(2) of the Education and Training Act 2020 requires Council to establish an academic board to advise Council on matters relating to courses of study or training, awards, and other academic matters.

The Academic Board may exercise powers delegated to it by Council and the Vice-Chancellor under sections 285 and 295 of the Education and Training Act 2020.

The Academic Board is not a committee of Council, although for convenience it is deemed to be one for the purposes of receiving and exercising delegated authority from Council.

The Academic Board is chaired by the Vice-Chancellor (*ex officio*). The membership, functions and powers of the Board are defined in the Academic Board statute and the Delegations Statute.

## 4.9 Vice-Chancellor

The Vice-Chancellor is the University's Chief Executive and as such is responsible for managing the academic and administrative affairs of the University. The Vice-Chancellor is the employer of all University staff. The Vice-Chancellor is *ex officio* a member of Council and of all committees of Council except the Human Resources committees.

One of the key functions of Council is to appoint, and then monitor the performance of, the Vice-Chancellor.

The Vice-Chancellor is the link that connects the University's governance (Council) and management functions. All Council authority conferred on management is delegated through the Vice-Chancellor so that the authority and accountability of management is considered to be the authority and accountability of the Vice-Chancellor so far as Council is concerned.

Between Council meetings the Chancellor maintains communication between Council and the Vice-Chancellor, expects to be kept informed by the Vice-Chancellor on all important matters, and is available to the Vice-Chancellor to provide counsel and advice where appropriate. Only decisions of Council acting as a body are binding on the Vice-Chancellor. Individual Council members, officers or committees should not give decisions or instructions to the Vice-Chancellor except in those instances where specific authorisation is given by Council.

### **Accountability of Vice-Chancellor to Council**

The Vice-Chancellor is accountable to Council for management of the University. At each of its normal monthly meetings Council expects to receive from or through the Vice-Chancellor:

- Operational and other reports and proposals; and
- Such other information and assurances as Council considers necessary.

## 4.10 Secretary to Council

The Secretary to Council is responsible for ensuring that Council procedures are followed, that the applicable rules and regulations for the conduct of the affairs of Council are complied with and for all matters associated with the maintenance of Council or required for its efficient operation.

All Council members have access to the advice and services of the Secretary's office.

The role of the Secretary includes:

- Working closely with the Chancellor to organise Council's work programme and related meetings;
- Preparing, publishing and distributing Council and Council committee papers including agendas and minutes;
- Maintaining Council's register of interests and conflicts of interest;
- Preparing the Council section of the Annual Report;
- Ensuring Council related information on the University's website is current;
- Administering Council fees and expenses;
- Organising continuing professional development for Council;

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- Managing elections and external appointments of Council members;
- Providing executive advice and support to the Chancellor (including for graduation, key events, communication, and other logistics);
- Liaising with TEC and the Minister's office on behalf of Council;
- Managing information requests from Council members to University staff through the Vice-Chancellor; and
- Being the key contact point for all matters relating to Council.

#### **4.11 General Counsel**

General Counsel is responsible for the provision of constitutional and legal advice. In relation to Council business this may include:

- Advice on interpretation and application of relevant legislation;
- Advice on appropriate procedure;
- Advice on the creation, interpretation and application of Council's own procedural documents including Standing Orders, Code of Conduct and Terms of Reference for committees, boards and advisory bodies; and
- Engaging external legal services when required.

Any request for, and provision of, legal advice should be directed through the Chancellor or, in relation to a committee, the chair of that committee.

## 5 COUNCIL PROCESSES AND PROCEDURES

*This section sets out how Council operates.*



Council takes a disciplined approach to performing its role, with emphasis on strategic issues and policy. Council members must always act within any limitations imposed by Council on its activities.

### 5.1 Annual work programme

Council works to a set timetable throughout the year. Council accomplishes its work through a series of Council meetings and Council committee meetings.

#### 5.1.1 Council meetings

Council normally holds formal meetings at six-weekly intervals during standard business hours from approximately 9 am to 5.00 pm. Council may also hold additional meetings as the occasion requires.

Formal Council meetings and Council committee meetings are conducted in accordance with Council's Standing Orders. These may be amended from time to time by Council as Council sees fit, and they are also reviewed by the Secretary to Council and General Counsel on an annual basis to ensure that they remain current and relevant.

Members are expected to use their best endeavours to attend all Council meetings and to prepare thoroughly. Members are expected to participate fully, frankly and constructively in Council discussions and other activities and to bring the benefit of their particular knowledge, skills and experience to the Council table.

Council discussions are expected to be open and constructive, recognising that genuinely-held differences of opinion can, in such circumstances, bring greater clarity and lead to better decisions. The chair of the meeting will endeavour to seek a consensus in Council but may, if necessary, call for a vote.

Minutes are prepared for all formal Council and Committee meetings. These summarise the items considered and the decisions made.

#### 5.1.2 Council committee meetings

Council committee meetings are held in accordance with the agreed timetable and the terms of reference for each specific committee. Meetings of standing committees are publicly notified on the website. Minutes of committee meetings are reported back to Council by inclusion in the agenda for the next Council meeting.

#### 5.1.3 Council workshops

From time to time Council holds a workshop to look at particular topics in more detail. Workshops are not formal meetings of Council and are therefore not open to the public. The chair of the workshop decides the extent to which the proceedings of workshops are recorded and reported back to Council.

#### 5.1.4 Council performance evaluation

Council will assess its performance on an annual basis. This process may include obtaining input from external parties.

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## 5.2 Items for Council consideration

### 5.2.1 Agenda

Council has sole authority over its agenda, and the Chancellor is responsible, in consultation with the Vice-Chancellor and the Secretary, for determining the agenda for each Council meeting. This is generally determined based on the annual work programme, matters arising from previous Council meetings and Council committees, and on new items put forward for consideration.

Any person may, through the Chancellor, request the addition of an item to the agenda.

At each ordinary meeting the interests register is updated as necessary and Council considers:

- A report from the Chancellor;
- Reports from Council committee chairs;
- A report from the Vice-Chancellor;
- A performance report (including financials and major projects)
- A Health and Safety report; and
- Reports on activities from other areas of the University's activities as appropriate.

The sequencing and the allocation of time to items is determined based on the strategic importance of the item and the range of other items that need to be considered at each meeting.

Each Council meeting has a public session and a public-excluded session. This is a critical part of agenda planning.

### 5.2.2 Council papers

Council papers (including formal notice of meeting and agenda) are distributed to Council members using Diligent Board books. Council papers are usually made available at least three calendar days before meetings. Late papers are only accepted where this has been agreed in advance by the Chancellor.

Papers are expected to use the standard template for Council and Committee papers. This template is available from the Secretary to Council.

## 5.3 Interactions with University staff

It is expected that from time to time Council members will need to interact with members of staff. While this is generally encouraged, as it enables Council collectively to obtain a broader understanding of how the University is operating, it is also important for Council members to understand that they do not have authority to direct staff to provide information or undertake other activities unless specifically authorised to do so by the Chancellor or Vice-Chancellor.

It is expected that all interactions between Council members and University staff will be conducted in an open and transparent manner to ensure there are no surprises. The Secretary to Council can facilitate interactions between Council and the appropriate University staff, keeping the Chancellor and Vice-Chancellor informed.

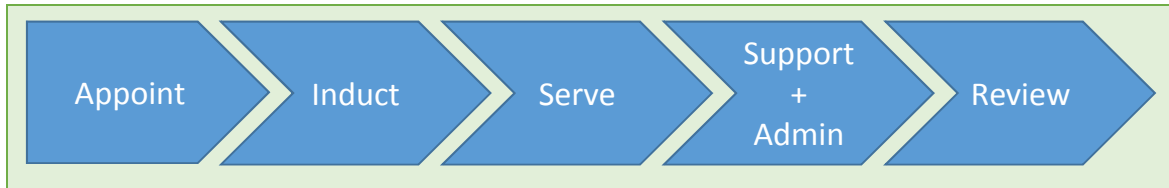
If University staff are approached directly by Council members, they are expected to advise their manager, who in turn may redirect the request to the Vice-Chancellor's Office.

## 5.4 Dealing with disruptions by members of the public

If a Council meeting is disrupted by members of the public during the public section of a meeting (to the extent that reasonable debate by Council is prevented, or Council members feel threatened harassed or intimidated) then Council will manage the situation in accordance with the provisions of section 50 of the Local Government Official Information and Meetings Act 1987.

## 6 OTHER GUIDANCE FOR COUNCIL MEMBERS

*This section addresses other topics relevant to Council members.*



### 6.1 Induction

At the start of each year, and on any occasion when a new person joins Council, an induction programme is run for all new Council members. This is intended to ensure that all Council members have a good (and consistent) understanding of the University and the environment and markets in which it operates. As part of the programme, members receive essential Council and University information and meet key members of the management team.

Having a good understanding of the context, purpose, organisation and processes of Council and the University is vital for Council to be able to operate as a high-performing team.

The induction programme ensures that:

- New Council members are brought up to speed;
- Council as a whole understands the work programme for the year ahead; and
- Council understands its current mix of knowledge, skills, experience and diversity.

### 6.2 Individual roles and responsibilities

As well as attending all Council meetings and workshops, Council members are also expected to serve on one or more Council committees.

Council members are expected to keep themselves abreast of changes and trends in the University's environment and markets and in the economic, political, social and legal climate generally.

Council members are welcome and encouraged to attend graduation ceremonies. There are usually seven ceremonies in May and five in December.

Council members are expected to perform their individual duties under Schedule 11 section 10 of the Act in accordance with Council's Code of Conduct and in accordance with all relevant University statutes (such as the Conflicts of Interest Statute).

Council members must not act as spokesperson for, or make any public comment on behalf of, Council or the University unless specifically authorised to do so by the Chancellor or Vice-Chancellor.

Council members, as officers of the University, must also ensure that they exercise due diligence to ensure that the University complies with its duties and obligations under the Health and Safety at Work Act 2015.

### 6.3 Fees, allowances and travel costs

Council members may be paid fees in accordance with Schedule 11 section 17 of the Education and Training Act 2020. Actual out of pocket expenses (such as travel costs) may also be claimed.

Where travel on Council business is required, the University will make the travel arrangements and cover the cost. Travel must be approved in advance by the Chancellor.

### 6.4 Insurance

The University maintains a comprehensive portfolio of insurance policies. This section provides an overview of the main insurance policies relevant to Council members.

Updated on 18 December 2021

#### **6.4.1 Directors & Officers**

This policy covers both individual Council members as well as the University itself for claims made against Council members for wrongful acts in the discharge of their University duties. The policy covers both defence costs and settlements of claims.

#### **6.4.2 Statutory Liability**

This policy covers both individual Council members as well as the University itself for claims alleging unintentional breaches of New Zealand statutes. The policy covers both defence costs and penalties awarded against an insured (although there are some exceptions; for instance, fines following breaches of Health and Safety legislation cannot be insured).

#### **6.4.3 Trustees Liability**

This policy covers claims made against trustees in the discharge of their duties on behalf of a Trust (or fund). The policy covers defence costs, damages and judgements against trustees.

#### **6.4.4 General requirements**

Council members are required to complete a very brief declaration annually for the purposes of the renewal of the University's liability policies. The declaration typically asks whether the Council member has been involved in any companies that have been in receivership or liquidation and whether there has ever been a claim against him or her in the capacity as a Council member or a director, officer or senior employee of any entity.

All policies also require prompt notification of any circumstance which could give rise to a claim. A failure to do so may void the insurance cover. Such notifications are to be made in the first instance to Secretary to Council.

### **6.5 Independent professional advice**

Any Council member is entitled to obtain independent professional advice relating to his or her responsibilities as a member. If a member considers such advice is necessary the member is expected to first discuss it with the Chancellor.

### **6.6 Information access and technology**

#### **6.6.1 Information access**

Council members are given digital access to all Council papers and related material via Diligent Board books which ensures timely, effective and secure provision of Council information. This is the only University provided system that Council members are required to access as a Council member. Council members may also have an xxx@vuw.ac.nz email address if they wish.

Council members are allowed access to the University's library.

Council members do not require (and are not provided with) University identity or access cards.

Council members who do not have access to suitable technology may make arrangements to borrow the necessary equipment for the duration of their term. Free WiFi is available on all University campuses.

#### **6.6.2 Information security**

Council members are expected to keep all information relating to Council and the University secure. If Council members have reason to believe that there may have been any loss of, or inappropriate access to, University information in their care, they should advise Secretary to Council as soon as possible.

## 6.7 Physical security on campus

Campus security can be contacted on 0800 842 8888

## 6.8 Self-evaluation

As part of Council's goal to be a high-performing Council, Council members are expected to periodically self-evaluate their performance, and to take any appropriate steps in response to the outcome of this evaluation.

## 6.9 Training and development

Council has a "boardWide" corporate membership of the IoD which provides all Council members full IoD membership benefits (except voting rights). Council expects that all Council members will attend basic governance training.

## 6.10 Declarations

After becoming a member of Council, and whenever circumstances change after that, Council members are required to complete a number of formal declarations. These include:

- Details for Register of Interests. These interests will be published in the Annual Report;
- Insurance declaration; and
- A declaration to confirm that:
  - The person is not disqualified from appointment under section 277 of the Education and Training Act 2020 or section 16 of the Charities Act 2005 (for example as an undischarged bankrupt); and
  - The person agrees to comply with Council's Standing Orders and Code of Conduct.

The Secretary to Council arranges the completion of these declarations.

## 6.11 Where to get more information

More information may be obtained from:

- Chancellor, Pro-Chancellor and Vice-Chancellor;
- Secretary to Council
- General Counsel; and
- The University's website and other websites (such as TEC, [legislation.govt.nz](http://legislation.govt.nz), Ministry of Education, etc.)

## Appendices

### Appendix A – Other relevant publications and information

Document name	Publisher	Link
<b>Resources for TEI councils</b>	TEC	<a href="https://www.tec.govt.nz/teo/working-with-teos/tei/governance/resources-for-councils/">https://www.tec.govt.nz/teo/working-with-teos/tei/governance/resources-for-councils/</a>
<b>Strategic Plan</b>	The University	<a href="https://www.wgtn.ac.nz/data/assets/pdf_file/0005/1791824/strategic-plan-2020-2024.pdf">https://www.wgtn.ac.nz/data/assets/pdf_file/0005/1791824/strategic-plan-2020-2024.pdf</a>
<b>Investment Plan</b>	The University	<a href="https://www.wgtn.ac.nz/about/governance/university-publications/investment-plan2/investment-plan.pdf">https://www.wgtn.ac.nz/about/governance/university-publications/investment-plan2/investment-plan.pdf</a>
<b>Annual Budget</b>	The University	On board books resource centre
<b>Annual Report</b>	The University	<a href="https://www.wgtn.ac.nz/about/governance/university-publications/annual-report">https://www.wgtn.ac.nz/about/governance/university-publications/annual-report</a>
<b>Strategies, Statutes and Policies</b>	The University	<a href="https://www.wgtn.ac.nz/about/governance/strategy">https://www.wgtn.ac.nz/about/governance/strategy</a>
<b>Detailed legislation</b>	Parliamentary Counsel Office	<a href="http://www.legislation.govt.nz/">http://www.legislation.govt.nz/</a>

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## Appendix B – Additional papers provided to Council members only

The following working papers are provided (via the resource centre of board books) to Council members on a regular basis whenever they are created and or updated.

Document name	Description
<b>Meeting schedule</b>	Schedule setting out the timetable of Council and committee meetings
<b>Work programme</b>	Schedule setting out the particular topics to be considered by Council across the year
<b>Council member contact list</b>	Details of Council members' contact details



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## Te Tiriti o Waitangi Statute

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### 1. Purpose

- a) The purpose of this Statute is to outline the principles adopted by Council to enact the University's obligations that derive from section 281(b) of the Education and Training Act 2020.
- b) As a university, we embrace the Treaty of Waitangi as one of our distinctive qualities. The principles, as articulated in this Statute, enable Victoria University of Wellington to realise opportunities under Te Tiriti o Waitangi/Treaty of Waitangi to further advance the University and contribute to the betterment of New Zealand society.

### 2. Application of Statute

- a) This Statute applies to staff members, students, and Council members of the University.

### Statute Content

### 3. Principles

- a) The following principles have been drawn from Te Tiriti o Waitangi, New Zealand case law, Waitangi Tribunal reports, Crown policy documents, the University's governance documents, and mātauranga Māori.
- b) The principle of Kāwanatanga stems from Article One of Te Tiriti o Waitangi, which used Kāwanatanga to mean governance. In the context of the University, this means that the Council has an obligation to provide good governance for the University as a whole and to act reasonably and in good faith, including with its Māori staff, students and stakeholders.
- c) The principle of Rangatiratanga recognises Māori autonomy and self-determination, as guaranteed in Article Two of Te Tiriti o Waitangi. In the context of the University, it means encouraging senior Māori leadership roles and entities, spaces and events where tikanga Māori prevails, and engagement with and rights over te reo and mātauranga Māori.
- d) The principle of Options (Kōwhiringa) acknowledges Māori rights to pursue their own personal direction, whether that be in accordance with tikanga Māori or not. In the context of the University, this means that Māori staff and students have a choice about whether or not to access Māori specific processes, services or support within the University environment.
- e) The principle of Partnership (Mahi tahi) requires Māori and the Crown to work together for mutually beneficial outcomes. In the context of the University, this Principle underpins the integrity of the relationships formed between the University and its Māori stakeholders.
- f) The principle of Kaitiakitanga (Protection) ensures Māori rights and interests are actively protected through honourable conduct, fair processes, robust consultation and good decision-making. In the context of the University, this means actively protecting Māori student, staff and stakeholder rights and interests in relation to University activities.
- g) The principle of Participation (Whai wāhi) ensures that Māori are fully involved in all parts of New Zealand society. In the context of the University, it requires the University to ensure Māori

representation in key decision-making bodies and the involvement of Māori across all parts of the University.

- h) The principle of Equality (Rite tahi) focuses on providing an environment that supports equitable Māori outcomes. In the context of the University, it means actively working towards achieving equitable outcomes for Māori students and staff.
- i) The principle of Redress (Whakaoranga) provides for the effective resolution of Māori grievances. In the context of the University, this means actively addressing any inequities for Māori across the institution.

### **Related Documents and Information**

#### **4. Related Documents**

[Education and Training Act 2020](#)

[Treaty of Waitangi](#)

#### **5. Document Management and Control**

Approver	Council
Approval Date	11 February 2019
Effective Date	11 February 2019
Last Modified	
Review Date	
Sponsor	Deputy Vice-Chancellor (Māori)
Contact Person	Deputy Vice-Chancellor (Māori) Ext: 5303

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## Standing Orders of Council

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### Introduction

These Standing Orders set out rules for meetings of Council and its committees. They incorporate and extend the rules set out in Schedule 11 sections 15 and 16 of the Education and Training Act 2020. They also incorporate key requirements of Part 7 of the Local Government Official Information and Meetings Act 1987 which apply to Council and its committees.

### Interpretation

The Chancellor (or other member presiding) is responsible for ruling on any question about the interpretation or application of these Standing Orders and for deciding cases not otherwise covered.

### Definitions

In these Standing Orders:

**Chancellor** means the Chairperson of Council

**Council** means the Council of the University

**EA** means the Education and Training Act 2020

**LGOIMA** means the Local Government Official Information and Meetings Act 1987

**member presiding** means the Chancellor, Pro-Chancellor or whoever is presiding at a meeting

**Pro-Chancellor** means the Deputy Chairperson of Council

**Secretary** means the Secretary to Council

**University** means Victoria University of Wellington

**Vice-Chancellor** means the Chief Executive of the University

### Meetings

1. Ordinary meetings of Council will be held at such places and times as the Chancellor determines are necessary for the efficient performance of the functions of Council (EA Schedule 11 sections 15(1) and (2)).
2. The Chancellor may at any time of his/her own motion call a special meeting of Council.
3. If requested by written notice by at least three members of Council, the Chancellor must convene a special meeting (EA Schedule 11 section 16(3)).
4. If there is no Chancellor, or for any reason the Chancellor is not available, the Pro-Chancellor has the powers and duties of the Chancellor under orders 1, 2 and 3 (EA Schedule 11 section 16(4)).

### Quorum

5. No business may be transacted at a Council meeting unless a majority of Council members are present (EA Schedule 11 section 16(7)). Business can be progressed by email between meetings but must be ratified at the next Council meeting.

### Notice of meeting

6. Notice of every Council meeting must be sent to each member at a contact address they have provided (which may be an email address). The notice must be sent at least five or, in the case of email or other electronic format, three calendar days before the date of the meeting and must specify the business to be transacted.

7. If the Chancellor or a majority of Council believes that an urgent meeting is required, he or she or they may call a meeting on such period of notice as he or she determines (which may, in cases of emergency, be less than 24 hours). Notice of an urgent meeting may be given or sent by any form of communication (including telephone or email) to contact details held for the relevant Council member. In the absence or unavailability of the Chancellor, the Pro-Chancellor may exercise the Chancellor's powers under this clause.
8. Failure to send or receive a notice of meeting does not invalidate proceedings at that meeting.

### **Chancellor and Pro-Chancellor**

9. The Council must elect one of its members to be the Chancellor, and another of its members to be the Pro-Chancellor (EA Schedule 11 section 15(1)). The election must be for a stated period, not exceeding the elected member's unexpired term of office as a member of Council (EA Schedule 11 section 15(3)).
10. A member of Council who is the Vice-Chancellor, a member of staff or a student enrolled at the University is not eligible for election as the Chancellor or Pro-Chancellor (EA Schedule 11 section 15(4)).
11. The Chancellor and Pro-Chancellor hold office for the period for which they are elected, but are eligible for re-election (EA Schedule 11 section 15(5)). If the term of office of the Chancellor or Pro-Chancellor expires before a successor is elected, he or she continues in office until a successor is elected (EA Schedule 11 section 15(6)).
12. The election process will be supervised by the Secretary, who will provide formal notice of the date of the election, receive nominations and, if there are two or more nominations for one office, conduct a secret ballot.
13. The Chancellor will preside at every Council meeting at which he or she is present (EA Schedule 11 section 16(8)).
14. If the Chancellor is not present at a Council meeting but the Pro-Chancellor is present, the Pro-Chancellor will preside (EA Schedule 11 section 16(9)).
15. If neither the Chancellor nor the Pro-Chancellor is present at a meeting, the members present will appoint one of their number to preside (EA Schedule 11 section 16(10)).
16. At any time during the meeting the member presiding may request any member present to take the chair temporarily as acting member presiding.

### **Attendance**

17. A meeting of Council may be held either:
  - a) by a number of members who constitute a quorum being assembled together at the place, date, and time appointed for the meeting; or
  - b) where approved by the Chancellor (or Pro-Chancellor in the Chancellor's absence) by means of audio, or audio and visual, communication by which all members participating and constituting a quorum can simultaneously hear each other throughout the meeting.

### **Conflicts of interest**

18. A member of Council who has an interest in a matter being considered or about to be considered, must, as soon as possible after the relevant facts have come to the member's knowledge, disclose the nature of the interest at a meeting of Council (EA Schedule 11 section 8(1)).
19. A disclosure under order 18 must be recorded in the minutes of the meeting and the member must not, unless Council decides otherwise:
  - (a) be present during any deliberation with respect to that matter; or
  - (b) take part in any decision with respect to that matter (EA Schedule 11 section 8(2)).

20. For the purposes of orders 18 and 19, a person has an interest in a matter if, and only if, the matter relates to the conditions of service of the person as Vice-Chancellor or a member of the staff of the University or the person has any other direct or indirect pecuniary interest in the matter (EA Schedule 11 section 8(3)).
21. The University's Conflict of Interest Statute (and any associated procedures) will apply to the identification, disclosure and management of a conflict of interest of a Council member to the extent consistent with these Standing Orders.

## **Voting**

22. Every question before Council at a meeting will be decided by a majority of the votes cast on it by the members present (EA Schedule 11 section 16(11)).
23. At any meeting of Council the member presiding has a deliberative vote on every question and, on any question where the deliberative votes for and against are equal, also has a casting vote (EA Schedule 11 section 16(12)).
24. Voting will be by voices and the member presiding will declare the result of the voting. Unless a show of hands or secret ballot is called for, his/her declaration of the result will be final.
25. At any time before the member presiding declares the result of a vote, any member may request voting to be by show of hands or secret ballot.
  - (a) If a show of hands is requested, the member presiding will call for a show of hands and will declare the result.
  - (b) If a secret ballot is requested, the Secretary will conduct a secret ballot and will declare the result.
26. When a question is decided by a show of hands, any member may request each member's vote be recorded in the minutes. Unless any three members object, the Secretary will ensure they are recorded.
27. A member who has voted against a resolution, or has abstained from voting, may request that this fact be recorded in the minutes and, if requested, the Secretary must ensure it is recorded.

## **Business**

28. The Chancellor, in conjunction with the Vice-Chancellor and Secretary, will decide the agenda for each Council meeting.
29. Matters put forward to Council should be appropriate for Council to consider in accordance with its statutory role.
30. Members of the University community or other persons who wish to put forward items for inclusion on the agenda of a Council meeting must notify the Secretary in writing at least two weeks prior to the meeting of Council. The decision on whether or not to include such items on the agenda will be made in accordance with order 28, having regard to order 29.
31. An item of business that is not on the agenda for a meeting of Council may be discussed at the meeting if it is a minor matter relating to the general business of Council. The member presiding must explain at the beginning of the meeting, at a time when it is open to the public, that the item will be discussed. No resolution, decision or recommendation may be made in respect of such an item except to refer it to a subsequent meeting for further discussion (LGOIMA section 46A(7A)).
32. An item of business that is not on the agenda for a meeting of Council may be dealt with at the meeting if Council so resolves. The member presiding must explain at the meeting, at a time when it is open to the public, the reason why the item is not on the agenda and why discussion of the item cannot be delayed until a subsequent meeting (LGOIMA section 46A(7)).
33. At any special meeting of Council no business may be transacted other than the business specified in the notice of the meeting and reasonably incidental matters.

## Procedures

34. The member presiding will have general control over the procedure to be followed at a meeting, and power to make binding rulings on the procedure to be followed.
35. Without limiting order 34, the member presiding may in his/her discretion at any stage of the meeting:
  - (a) impose speaking time limits on each member speaking on a matter; or
  - (b) rule that no member may speak more than once on a matter.

## Admission of media and public

36. Media representatives and other members of the public may be admitted to Council meetings in accordance with the provisions of the Local Government Official Information and Meetings Act 1987 and may also be excluded from Council meetings in accordance with the provisions of that Act (LGOIMA sections 48 and 50).
37. A person who is not a Council member may be invited to speak at a Council meeting at the discretion of the member presiding.

## Committees and delegation of powers

38. The Council may establish boards or other bodies within the University to give advice to Council (EA section 283(2)(j)).
39. The Council may appoint committees consisting of such persons, whether or not members of Council, as Council determines to exercise delegated powers under EA section 285 and 286 and such powers as are conferred on them by statutes made by Council. The Council may alter, discharge and reconstitute committees so appointed (EA section 238(4)).
40. The quorum of a board, advisory body or committee to which Council has delegated power will be a majority of the members unless the Terms of Reference for that board, advisory body or committee state otherwise.
41. The chairs of Council committees will be appointed annually by Council, on the recommendation of the Chancellor. This does not apply to the Academic Board or where otherwise provided in University statutes or law.
42. Boards, advisory bodies and committees of Council must operate under terms of reference approved by Council and in accordance with these Standing Orders, which will apply to them with all necessary changes. In the event of conflict between any terms of reference and these Standing Orders, the terms of reference will prevail, unless the Standing Orders reflect legal requirements.

## Minutes

43. Accurate minutes must be kept of the proceedings of meetings of Council, and of any board, advisory body or committee. Minutes will be reasonably promptly circulated following the meeting to all members of the Council or relevant Committee. The next appropriate meeting will formally approve the minutes when they have been accepted as a true and correct record of proceedings.

## Alteration of Standing Orders

44. Except where these Standing Orders embody statutory provisions, they may be amended by ordinary resolution passed at any meeting of Council. Notice of intention to propose an alteration of Standing Orders and of the terms of the proposed alteration must be included in the relevant notice of the meeting.

## Suspension of Standing Orders

45. Standing Orders may be suspended, with the unanimous consent of the meeting, in regard to any item of business. The suspension will not apply to Standing Orders which reflect legal requirements.

### **Review of Standing Orders**

46. Standing Orders will be reviewed on an annual basis.

# Code of Conduct

## Council of Victoria University of Wellington

### Purpose of the Code

This Code sets out expected standards of conduct for Council members. It should be read in conjunction with applicable legislative requirements.

### Code of Conduct for Council Members

When acting as a Council member in any circumstance, Council members must:

1. behave in a way that reflects and upholds the values of Victoria University as contained in the Strategic Plan;
2. comply with the individual duties in Schedule 11 section 10 of the Education and Training Act 2020 (set out below);
3. accept and support all Council decisions once made. Council operates under the principle of collective responsibility;
4. accept and, together with other Council members, ensure that Council can undertake its statutory responsibilities, duties and functions;
5. uphold the principles of being a good employer;
6. avoid, to the extent possible, any conflicts of interest. Where an interest in a matter being considered or about to be considered by Council arises, promptly disclose that interest in accordance with the Standing Orders;
7. not direct the actions of or raise concerns with individual members of University staff unless authorised to do so by the Chancellor or Vice-Chancellor.
8. not act as spokesperson for, or make any public comment on behalf of, Council or the University unless specifically authorised to do so by the Chancellor (in consultation with the Vice-Chancellor, where appropriate); and
9. be familiar with the operations of the University and the environment in which it operates.

### Breach of Duties or the Code

A breach of any of the individual duties in Schedule 11 section 10 of the Education and Training Act 2020, or this Code of Conduct, may result in removal from office under sections 12 and 13 of the Act.

#### Schedule 11

##### 10 Individual duties of council members

(1) A council member, when acting as a council member in any circumstances,—

(a) must—

- (i) act with honesty and integrity; and
- (ii) act in the interests of the institution as a whole; and
- (iii) act in a manner that promotes the performance of the functions characteristic of an institution of the kind to which the member belongs, and the duties of the council; and
- (iv) act in good faith, and not pursue the member's own interests at the expense of the council's interests; and

(b) must exercise the care, diligence, and skill that a reasonable person would exercise in the same circumstances, taking into account—

- (i) the nature of the institution; and
- (ii) the nature of the action; and
- (iii) the position of the person as a council member, and the nature of the responsibilities undertaken by the council member; and

(c) may not disclose any information to which subclause (3) applies to any person, or make use of, or act on, that information, except—

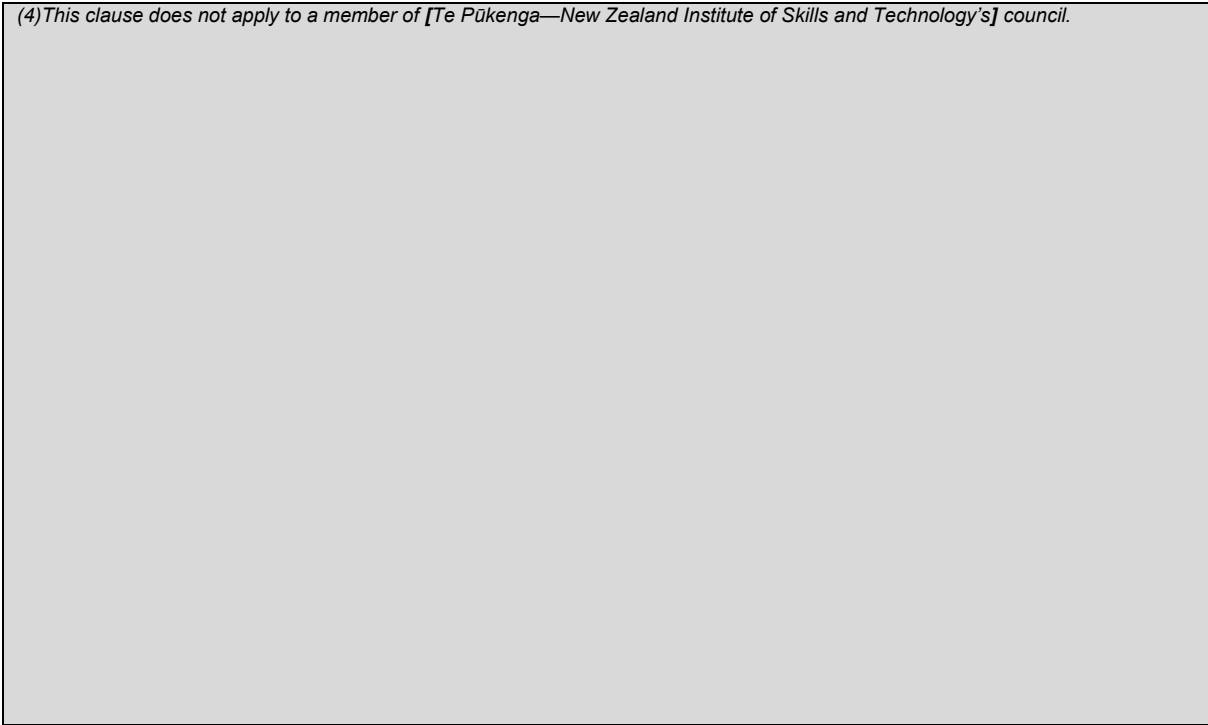
- (i) in the performance of the council's functions; or
- (ii) as required or permitted by law; or
- (iii) if the member has earlier been authorised to do so by the council; or
- (iv) if disclosing, making use of, or acting on it does not, or is unlikely to, prejudice the council or the institution; or
- (v) in complying with requirements for members to disclose interests.

(2) The fact that a council member was appointed by the council in accordance with a statute providing for the appointment of a member (or 2 or more members) to represent the interests of a stated institution or of people or institutions of a stated description does not limit or affect the council member's duty under subclause (1)(a)(ii) to act in the interests of the institution as a whole.

(3) This subclause applies to information that—

- (a) a council member has in their capacity as a council member; and
- (b) would not otherwise be available to the member.

*(4) This clause does not apply to a member of [Te Pūkenga—New Zealand Institute of Skills and Technology's] council.*



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## **Audit and Risk Committee**

### **Terms of reference**

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#### **1 Introduction**

The Audit and Risk Committee is a committee of Council. It operates within the relevant provisions of the Education and Training Act 2020, the Local Government Official Information and Meetings Act 1987, the Health and Safety at Work Act 2015 and Standing Orders of Council. The Committee's scope encompasses the University and its subsidiaries and controlled entities.

#### **2 Purpose and responsibilities**

2.1 The purpose of the Committee is to assist Council to discharge its governance responsibilities in relation to oversight of strategic, financial and operational risk management, health and safety management, internal and external audit, statutory financial reporting and legislative compliance.

2.2 The scope of the Committee is outlined below.

##### **2.3 Strategic and Operational Risk**

- a) Risk management framework.
- b) Quarterly strategic & operational risk status reports.
- c) Disaster management and business continuity planning.
- d) Annual insurance plan.

##### **2.4 Health and Safety**

- a) Health & safety policy and management systems.
- b) Quarterly health & safety report.

##### **2.5 External Audit**

- a) Recommend appointment of external auditors, terms of reference and their remuneration.
- b) Annual external audit plan.
- c) External auditors report & opinions.
- d) Status of open audit items

##### **2.6 Internal Audit**

- a) Internal Audit Plan (to be approved by the Committee along with any subsequent changes to the Plan)
- b) Recommend appointment of internal auditors.
- c) Internal audit reports
- d) Status of open audit items

##### **2.7 Statutory Financial Reporting**

- a) Annual financial statements, accounting policies and annual report.

##### **2.8 Legislative Compliance**

- a) Legislative compliance policy.

- b) Annual legislative compliance status report.

## 2.9 Independence

In addition to the scope above, the Committee will assure the independence of the Audit function by:

- a) Reviewing and ratifying the appointment or dismissal of the Director, Safety Risk Assurance..
- b) Acting as an independent reporting channel for the external and internal audit functions to report any matters of concern which involve senior management and/or where senior management is conflicted.
- c) Considering any difficulties encountered in the work, and any restrictions placed on the scope of work or access to required information or personnel.

## 2.10 General

The Committee will maintain oversight of specific audit and risk issues and examine other matters as required by Council.

## 3 Authority

- 3.1 The Committee has no authority independent of the functions delegated to it by Council in these terms of reference.
- 3.2 The Committee, through the Chair, is authorised by Council to investigate any activity within its terms of reference. All staff members will be directed to cooperate with any reasonable request.
- 3.3 The Committee, through the Chair, is authorised by Council to obtain outside legal or other independent professional advice and to arrange for the attendance of outside parties with relevant experience and expertise at meetings. General Counsel will be consulted before obtaining outside legal advice. These services will be funded from the Council cost centre. If the resulting expenditure will exceed the approved budget, then the Committee will need to consult with Council and obtain its approval at the next Council meeting.
- 3.4 Nothing in this terms of reference limits the responsibility and authority of the Vice-Chancellor to commission internal audits and reviews to be undertaken at any time. The Committee will be consulted or informed of any such audits as appropriate.

## 4 Membership

- 4.1 Unless Council decides otherwise the membership of the Committee will be as follows:
  - a) The Chancellor and/or the Pro-Chancellor;
  - b) Up to five other members of Council appointed by the Council each year on the recommendation of the Chancellor.
- 4.2 Council will, each year on the recommendation of the Chancellor, appoint a Committee Chair from among the members of the Committee. The Vice-Chancellor and any staff or student members of the Committee will not be eligible for appointment as Committee Chair.

## 5 Meetings

- 5.1 The Committee will meet at least four times a year as determined by the Chair or as otherwise requested by Council.
- 5.2 A quorum at meetings of the Committee will be three Members, one of whom must be the Chancellor, Pro-Chancellor or Committee Chair.
- 5.3 Meetings will be chaired by the Chair, or in their absence by another member of the Committee as decided by the members of the Committee present at the meeting.

- 5.4 The Committee may have in attendance any other people it considers necessary to provide appropriate information and explanations.
- 5.5 The Committee Chair will appoint a Secretary of the Committee in conjunction with the Secretary to Council.
- 5.6 The Standing Orders of Council will apply to any meetings of the Committee except to the extent inconsistent with these Terms of Reference.

## **6 Information and reporting**

- 6.1 The Committee will maintain direct lines of communication with the Vice-Chancellor, the Director, Safety Risk Assurance and the external auditors.
- 6.2 The Director, Safety Risk Assurance and external auditors are encouraged to meet with the Chair of the Committee independent of University management.
- 6.3 The Committee may receive advice from external parties who have relevant expertise and experience.
- 6.4 In addition to provision of scheduled information and reports, the Vice-Chancellor is responsible for drawing to the Committee's attention any material matter that appears likely to create a significant risk for the University in relation to audit and risk matters.
- 6.5 After each meeting of the Committee the Chair will report the Committee's findings, conclusions and recommendations to Council.
- 6.6 The confirmed minutes of all Committee meetings will be circulated to members of Council, the Vice-Chancellor and to any other people as Council and the Vice-Chancellor direct.

## **7 Review**

- 7.1 The Committee will undertake an annual review of its responsibilities and activities and report to Council on that review.

## **8 Key dates**

These terms of reference were approved on:	21 March 2016 by Council
These terms of reference take effect from:	21 March 2016
These terms of reference were amended on:	12 December 2016 by Council
	27 February 2017 by Council
	26 February 2018 by Council

## **9 Delegation by Council**

In accordance with sections 285(1) and 286(1) of the Education and Training Act 2020, Council delegates to the Audit and Risk Committee all functions and powers necessary to discharge its responsibilities in accordance with these terms of reference.

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

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## Finance Committee

### Terms of reference

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#### 1 Introduction

The Finance Committee is a committee of Council. It operates within the relevant provisions of the Education and Training Act 2020, the Local Government Official Information and Meetings Act 1987 and Standing Orders of Council. The Committee's scope encompasses the University and its subsidiaries and controlled entities.

#### 2 Purpose and responsibilities

2.1 The purpose of the Committee is to assist Council to discharge its governance responsibilities in relation to financial planning, capital management and financial performance.

2.2 The Committee will review the areas listed below, provide feedback to management and to Council.

##### 2.3 Financial planning

a) Long term financial and capital plans to support the Strategic Plan.

b) The business plan, budget and statutory Investment Plan.

c) Business cases for major investments.

##### 2.4 Capital management

a) Treasury policy

b) Funding strategy

c) New funding facilities and/or transactions outside the Vice-Chancellor's delegated authority

##### 2.5 Financial performance

a) Periodic review of business performance<sup>1</sup> versus approved business plan

b) Review of quarterly Treasury report

Note: The Audit & Risk Committee is responsible for reviewing the Annual Report and Financial Statements

#### 3 Authority

3.1 The Committee has no authority independent of the functions delegated to it by Council in these terms of reference.

#### 4 Membership

4.1 Unless Council decides otherwise the membership of the Committee will be as follows:

a) The Chancellor and/or the Pro-Chancellor;

b) The Vice-Chancellor; and

c) Up to five other members of Council appointed by Council each year on the recommendation of the Chancellor.

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<sup>1</sup> Includes performance versus budget

- 4.2 Council will, each year on the recommendation of the Chancellor, appoint a Committee Chair from among the members of the Committee. The Vice-Chancellor and any staff or student members of the Committee will not be eligible for appointment as Committee Chair.

## 5 Meetings

- 5.1 The Committee will meet at least four times a year as determined by the Chair or as otherwise requested by Council.
- 5.2 A quorum at meetings of the Committee will be three Members, one of whom must be the Chancellor, Pro-Chancellor or Committee Chair.
- 5.3 Meetings will be chaired by the Chair, or in his or her absence by another member of the Committee as decided by the members of the Committee present at the meeting.
- 5.4 The Committee may have in attendance any other people it considers necessary to provide appropriate information and explanations.
- 5.5 The Committee Chair will appoint a Secretary of the Committee in conjunction with the Secretary to Council.
- 5.6 The Standing Orders of Council will apply to any meetings of the Committee except to the extent inconsistent with these Terms of Reference.

## 6 Information and reporting

- 6.1 In addition to provision of scheduled information and reports, the Vice-Chancellor is responsible for drawing to the Committee's attention any material matter that appears likely to create a significant risk for the University in relation to financial matters.
- 6.2 After each meeting of the Committee the Chair will report the Committee's findings and conclusions to Council.
- 6.3 The confirmed minutes of all Committee meetings will be circulated to members of Council, the Vice-Chancellor and to any other people as Council and the Vice-Chancellor direct.

## 7 Review

- 7.1 The Committee will undertake an annual review of its responsibilities and activities and report to Council on that review.

## 8 Key dates

These terms of reference were approved on:	21 March 2016 by Council
These terms of reference take effect from:	21 March 2016
These terms of reference were amended on:	12 December 2016 by Council
	27 February 2017 by Council
	26 February 2018 by Council

## **9 Delegation by Council**

In accordance with section 285(1) of the Education and Training Act 2020, Council delegates to the Finance Committee all functions and powers necessary to discharge its responsibilities in accordance with these terms of reference.

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

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## **Te Aka Matua Kōmiti – Māori Advisory Committee**

### **Terms of reference**

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#### **1 Introduction**

The Te Aka Matua Kōmiti – Māori Advisory Committee is a committee of Council. It operates within the relevant provisions of the Education and Training Act 2020, the Local Government Official Information and Meetings Act 1987 and Standing Orders of Council. The Committee's scope encompasses the University and its subsidiaries and controlled entities.

#### **2 Purpose and responsibilities**

- 2.1 The purpose of the Committee is to assist Council to discharge its governance responsibilities in relation to Māori.
- 2.2 The committee will monitor and provide feedback to management and Council on the areas listed below:
- Meeting Victoria's Treaty obligations.
  - Long term vision and strategic planning for Māori at Victoria.
  - Resourcing of the university's Māori programming.
  - Differentiation (comparison with other universities, both domestically and internationally).
  - Māori and iwi stakeholder relationships of Council and of the University.
- 2.3 The Committee will also review business performance on a quarterly basis, including:
- Māori student recruitment, retention and achievement;
  - Māori human resource issues including staff capacity; and
  - Non-Māori engagement with kaupapa Māori.

#### **3 Authority**

- 3.1 The Committee has no authority independent of the functions delegated to it by Council in these terms of reference.

#### **4 Membership**

- 4.1 Unless Council decides otherwise the membership of the Committee will be as follows:
- The Chancellor;
  - The Pro-Chancellor;
  - The Vice-Chancellor;
  - The Deputy Vice-Chancellor (Māori);
  - Up to two other members of Council;
  - Up to two members not on Council who have strong relationships with Māori communities relevant to the university, appointed for up to a four year term; and
  - At least two Ngāi Taura Executive student members, for a term of at least one year and up to two years. At the time of appointment student members must be members of the Ngāi Taura Executive but can remain on the Te Aka Matua committee beyond the conclusion of their term on the Ngāi Taura Executive.

- 4.2 Council will, each year on the recommendation of the Chancellor, appoint a Committee Chair from among the members of the Committee.

## **5 Meetings**

- 5.1 The Committee will meet at least four times a year as determined by the Chair or as otherwise requested by Council.
- 5.2 A quorum at meetings of the Committee will be a majority of the members of the Committee.
- 5.3 Meetings will be chaired by the Chair, or in his or her absence by another member of the Committee as decided by the members of the Committee present at the meeting.
- 5.4 The Committee may have in attendance any other people it considers necessary to provide appropriate information and explanations.
- 5.5 The Executive Assistant to the Deputy Vice-Chancellor (Māori) will be the Secretary of the Committee.
- 5.6 The Standing Orders of Council will apply to any meetings of the Committee except to the extent inconsistent with these Terms of Reference.

## **6 Information and reporting**

- 6.1 After each meeting of the Committee the Chair will report the Committee's findings, conclusions and recommendations to Council.
- 6.2 The confirmed minutes of all Committee meetings will be circulated to members of Council, the Vice-Chancellor and to any other people as Council and the Vice-Chancellor direct.

## **7 Review**

- 7.1 The Committee will undertake an annual review of its responsibilities and activities and report to Council on that review.

## **8 Key dates**

These terms of reference were approved on:	7 June 2016
These terms of reference take effect from:	7 June 2016
These terms of reference were amended by Council on	26 February 2018
These terms of reference were amended by Council on	26 March 2018
These terms of reference were amended by Council on	29 October 2018

## **9 Delegation by Council**

In accordance with section 285(1) of the Education and Training Act 2020, Council delegates to the Te Aka Matua Kōmiti – Māori Advisory Committee all functions and powers necessary to discharge its responsibilities in accordance with these terms of reference.

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

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## Victoria Honours Committee

### Terms of reference

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## 1 Introduction

The Victoria Honours Committee is a committee of Council. It operates within the relevant provisions of the Education and Training Act 2020, the Local Government Official Information and Meetings Act 1987 and Standing Orders of Council. The Committee's scope encompasses the University and its subsidiaries and controlled entities.

## 2 Purpose and responsibilities

2.1 The purpose of the Committee is to:

- a) Recommend to Council, from time to time, criteria to be considered when proposing Honorary Degrees, Hunter Fellowships and Distinguished Alumni Awards;
- b) Recommend to the Academic Board and to Council the names of persons considered to be worthy of the conferment of an honorary degree, a Hunter Fellowship or a Distinguished Alumni Award, and the reasons for such recommendations in the context of the Honorary Degrees and Hunter Fellowships Statute and the Committee's current guidelines for such honours;
- c) Recommend to Council naming in accordance with the Victoria Naming Statute;
- d) Oversee the Chancellor's Lecture and the Chancellor's Dinner; and
- e) Recommend to Council, from time to time, the establishment of, and criteria for, any other honorary awards which the Council may wish to bestow.

## 3 Authority

3.1 The Committee has no authority independent of the functions delegated to it by Council in these terms of reference.

## 4 Membership

4.1 Unless Council decides otherwise the membership of the Committee will be as follows:

- a) The Chancellor;
- b) The Pro-Chancellor;
- c) The Vice-Chancellor;
- d) Two members of Council who are not members of the Academic Board;
- e) Two senior members of the academic staff appointed by Council on the nomination of the Chancellor for an initial term of four years, renewable once for a further four year term;
- f) Three members of the academic staff of different faculties appointed by Council on the nomination of the Academic Board for an initial term of four years, renewable once for a further four year term;
- g) One member, being a graduate, nominated by the Post Graduate Students' Association and appointed by Council for a term of two years.

4.2 Council will, each year on the recommendation of the Chancellor, appoint a Committee Chair from among the members of the Committee.

## 5 Meetings

- 5.1 The Committee will meet as determined by the Chair or as otherwise requested by Council.
- 5.2 A quorum at meetings of the Committee will be a majority of the members of the Committee.
- 5.3 Meetings will be chaired by the Chair, or in his or her absence by another member of the Committee as decided by the members of the Committee present at the meeting.
- 5.4 The Committee may have in attendance any other people it considers necessary to provide appropriate information and explanations.
- 5.5 The Secretary to Council will also be the Secretary of the Committee.
- 5.6 All proceedings under the Honorary Degrees and Hunter Fellowships Statute are confidential and taken in committee. A resolution of Council conferring an honorary degree or a Hunter fellowship is also taken in committee and remains confidential until the award is accepted.
- 5.7 The Standing Orders of Council will apply to any meetings of the Committee except to the extent inconsistent with these Terms of Reference.

## 6 Information and reporting

- 6.1 After each meeting of the Committee the Chair will report the Committee's findings, conclusions and recommendations to Council.
- 6.2 The confirmed minutes of all Committee meetings will be circulated to members of Council, the Vice-Chancellor and to any other people as Council and the Vice-Chancellor direct.

## 7 Review

- 7.1 The Committee will undertake an annual review of its responsibilities and activities and report to Council on that review.

## 8 Key dates

These terms of reference were approved on: 25 July 2016 by Council

These terms of reference were amended by Council on: 26 February 2018

## 9 Delegation by Council

In accordance with section 285(1) of the Education and Training Act 2020, Council delegates to the Victoria Honours Committee all functions and powers necessary to discharge its responsibilities in accordance with these terms of reference.

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

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## **Council People and Culture Committee**

### **Terms of reference**

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#### **1 Introduction**

The People and Culture Committee is a committee of Council. It operates within the relevant provisions of the Education and Training Act 2020, the Local Government Official Information and Meetings Act 1987, the Health and Safety at Work Act 2015 and Standing Orders of Council.

#### **2 Purpose and responsibilities**

The Committee shall assist Council to meet its responsibilities to:

- establish key performance objectives for the Vice-Chancellor, evaluate the Vice-Chancellor's performance and undertake the Vice-Chancellor's annual remuneration review;
- review and support personal development priorities and programs for the Vice-Chancellor;
- develop a culture at the University which supports the delivery of its strategic priorities as they relate to people and culture;
- ensure the wellbeing, health and safety of staff and students;
- ensure the Vice-Chancellor, as the employer of staff, is discharging their responsibilities effectively and is building organisational performance and culture;
- provide advice and guidance in relation to key staff-related strategies, policies and priorities;
- review the approach to and feedback from formal staff engagement exercises;
- provide an environment in which staff and students can achieve to their full potential;
- ensure that equity, diversity and inclusion practices and priorities are being achieved;
- ensure there are effective succession plans in place for key personnel; and
- such other matters as may be referred to it by Council from time to time.

#### **3 Authority**

- 3.1 The Committee has no authority independent of the functions delegated to it by Council in these terms of reference.
- 3.2 The Committee, through the Chair, is authorised by Council to investigate any activity within its terms of reference.

#### **4 Membership**

- 4.1 The membership of the Committee will be as follows:
  - a) The Chancellor (Chair)
  - b) The Pro-Chancellor

c) Up to two lay members of Council

- 4.2 The Committee Chair will be the Chancellor or such other member of the Committee appointed as Chair by the Council.

## **5 Meetings**

- 5.1 The Committee will meet as determined by the Chair or as otherwise requested by Council.
- 5.2 A quorum at meetings of the Committee will be two Members, one of whom must be the Chancellor or Pro-Chancellor.
- 5.3 Meetings will be chaired by the Chair or in their absence by another member of the Committee.
- 5.4 The Committee may have in attendance any other people it considers necessary to provide appropriate information and explanations.
- 5.5 The Committee Chair will appoint a Secretary of the Committee in conjunction with the Secretary to Council.
- 5.6 The Standing Orders of Council will apply to any meetings of the Committee except to the extent inconsistent with these Terms of Reference.

## **6 Information and reporting**

- 6.1 The Committee will maintain direct lines of communication with the Council.

## **7 Review**

- 7.1 The Committee will undertake an annual review of its responsibilities and activities and report to Council on that review.

## **8 Key Dates**

- 8.1 These terms of reference were approved on: 14 February 2022 by Council  
These terms of reference take effect from: 14 February 2022

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## Council People and Culture Committee

### Terms of reference

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#### 9 Delegation by Council

In accordance with section 285(1) of the Education and Training Act 2020, Council delegates to the People and Culture Committee all functions and powers necessary to discharge its responsibilities in accordance with these terms of reference.

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

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## Nominations Panel

### Terms of reference

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#### 1 Introduction

The Nominations Panel is a committee of Council. It operates within the relevant provisions of the Education and Training Act 2020, the Local Government Official Information and Meetings Act 1987, the Health and Safety at Work Act 2015 and Standing Orders of Council.

#### 2 Purpose and responsibilities

- 2.1 The purpose of the Committee is to make recommendations to Council on its membership in accordance with the Council Membership Statute.
- 2.2 In particular, the Nominations Panel is responsible for:
- a) identifying and recommending to Council suitable candidates to fill Council vacancies as and when they arise;
  - b) ensuring that there is an appropriate mix of knowledge, skills, experience and diversity on Council so as to ensure the Council is capable of undertaking its responsibilities, duties and function; and
  - c) considering any other matters referred to the Panel by Council.

#### 3 Authority

- 3.1 The Committee has no authority independent of the functions delegated to it by Council in these terms of reference.

#### 4 Membership

- 4.1 The membership of the Committee will be as follows:
- a) The Chancellor (or the Pro-Chancellor where the person holding office as Chancellor is being considered for recommendation)
  - b) a senior member (Professor or Associate Professor) of the University's academic staff appointed by Council on the nomination of the Academic Board for a term of up to four years
  - c) a member of the University's professional staff appointed by Council for a term of up to four years
  - d) the President of the Victoria University of Wellington Students' Association (or nominee);
  - e) a person appointed by Council on the nomination of Te Aka Matua for a term of up to four years
  - f) the Vice-Chancellor;
  - g) up to 2 external members appointed by the Council for a term of up to four years
- 4.2 The Panel will be chaired by the Chancellor (or Pro-Chancellor if the person holding office as Chancellor is being considered for recommendation)

- 4.3 At least two members of the Panel must be graduates of the University.
- 4.4 None of the members of the Nominations Panel, other than the Chancellor (or Pro-Chancellor) and Vice Chancellor, may be members of Council.

## **5 Meetings**

- 5.1 The Panel will meet when convened by the Chair or as requested by the Council.
- 5.2 A quorum at meetings of the Committee will be three Members, one of whom must be the Chancellor (or Pro-Chancellor).
- 5.3 The Committee may have in attendance any other people it considers necessary to provide appropriate information and explanations.
- 5.4 The Chair will appoint a Secretary of the Panel in conjunction with the Secretary to Council.
- 5.5 The Standing Orders of Council will apply to any meetings of the Panel except to the extent inconsistent with these Terms of Reference.

## **6 Information and reporting**

- 6.1 The Committee will maintain direct lines of communication with the Council.

## **7 Review**

- 7.1 The Panel will undertake a periodic review of its responsibilities and activities and report to Council on that review.

## **8 Key dates**

These terms of reference were approved on: 29 July 2019 by Council  
These terms of reference take effect from: 29 July 2019

These terms of reference were amended and approved by Council on: 23 March 2020  
These terms of reference take effect from: 23 March 2020

## **9 Delegation by Council**

In accordance with section 285(1) of the Education and Training Act 2020, Council delegates to the Nominations Panel all functions and powers necessary to discharge its responsibilities in accordance with these terms of reference.

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Signed: \_\_\_\_\_  
Council member

Full name: \_\_\_\_\_

Date: \_\_\_\_/\_\_\_\_/\_\_\_\_